U.S. DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION

ORDER 8130.2G

8/31/2010

National Policy

SUBJ: Airworthiness Certification of Aircraft and Related Products

This order establishes procedures for accomplishing original and recurrent airworthiness certification of aircraft and related products and articles. The procedures contained in this order apply to Federal Aviation Administration (FAA) manufacturing aviation safety inspectors (ASI), to FAA airworthiness ASIs, and to private persons or organizations delegated authority to issue airworthiness certificates and related approvals.

Suggestions for improvement of this order may be submitted using FAA Form 1320-19, Directive Feedback Information, found in appendix G of this order.

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Initiated By: AIR-200

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Chapter 1. Introduction

100. Purpose of This Order. This order establishes procedures for accomplishing original and recurrent airworthiness certification of aircraft and related products and articles.

101. Audience. FAA manufacturing ASIs, FAA airworthiness ASIs, and private persons or organizations delegated authority to issue airworthiness certificates and related approvals.

102. Where Can I Find This Order. You can find this order on the MYFAA Employee website or the FAA public website.

103. Explanation of Policy Changes. This revision—

a. Incorporates the required amendments (numbers 1-64, 21-92, 43-43, and 45-26) to Title 14 of the Code of Federal Regulations (14 CFR) parts 1, 21, 43, and 45 released October 16, 2009.

b. Incorporates changes to chapter 4, section 10, Certification and Operation of Aircraft Under the Experimental Purpose(s) of Exhibition and Air Racing.

c. Incorporates numerous changes originating from input through the directive feedback system. Areas affected are throughout this order and include light-sport aircraft (LSA).

d. Incorporates changes to several FAA forms including FAA Forms: 8100-2, Standard Airworthiness Certificate; 8130-1 Application for Export Certificate of Airworthiness; 8130-4, Export Certificate of Airworthiness; 8130-7, Special Airworthiness Certificate; 8130-9, Statement of Conformity; 8130-10, Surplus Military Aircraft Inspection Record; 8130-11, Checklist and Inspection Record; and 8130-31, Statement of Conformity-Military Aircraft, which supersedes 8130-2.

e. Deletes examples for an applicant to complete FAA Form 8130-6, Application for U.S. Airworthiness Certificate. The applicant's primary reference for this form is Advisory Circular (AC) 21-12, Application for U.S. Airworthiness Certificate, FAA Form 8130-6. Greater detail is added to the review instructions for FAA Form 8130-6 in chapter 8 of this order.

f. Updates the order format in accordance with the guidelines of FAA Order 1320.1E, FAA Directives Management.

104. Cancellation. FAA Order 8130.2F, Airworthiness Certification of Aircraft and Related Products, dated November 5, 2004, is cancelled upon the effective date of this order.

105. Effective Date. This order is effective April 16, 2011.

Chapter 2. General Policies and Procedures

Section 1. General Information

200. Definition Of The Term "Airworthy" for U.S. Type Certificated (TC) Aircraft. Although the term "airworthy" is defined in 14 CFR § 3.5(a), a clear understanding of its meaning is essential for use in the FAA's airworthiness certification program. Below is a summary of the conditions necessary for the issuance of an airworthiness certificate. A review of case law relating to airworthiness reveals two conditions that must be met for an aircraft to be considered "airworthy." Title 49, United States Code (49 U.S.C.) § 44704(c) and 14 CFR § 21.183(a), (b), and (c) state that the following two conditions necessary for issuance of an airworthiness certificate:

a. The aircraft must conform to its type design. Conformity to the type design is considered attained when the aircraft configuration and the engine, propeller, and articles installed are consistent with the drawings, specifications, and other data that are part of the TC. This includes any supplemental type certificate (STC) and repairs and alterations incorporated into the aircraft.

b. The aircraft must be in a condition for safe operation. This refers to the condition of the aircraft relative to wear and deterioration, for example, skin corrosion, window delamination/crazing, fluid leaks, and tire wear.

> **Note:** If one or both of these conditions are not met, the aircraft would not be considered airworthy. Aircraft that have not been issued a TC must meet the requirements of paragraph 200b of this order.

201. Representatives of the FAA Authorized to Issue Airworthiness Certificates And Related Approvals.

a. Consistent with applicable Aircraft Certification Service policies and instructions, an FAA manufacturing or airworthiness ASI is authorized to issue airworthiness certificates and related approvals covered in this order.

b. The FAA is authorized under 14 CFR part 183, Representatives of the Administrator, to designate private persons or organizations to act as representatives of the Administrator to issue airworthiness certificates and related approvals. A designated manufacturing inspection representative (DMIR) or designated airworthiness representative (DAR) may issue standard and special airworthiness certificates, airworthiness approvals, and export approvals, and may perform certain other examinations, inspections, and testing services relative to certification functions in the areas of manufacturing and maintenance. ASI certification functions will be delegated to the fullest extent practical, but not to the extent an advisor's technical skills are jeopardized. The designee's Certificate of Authority must specify the type and limitation of authority granted.

> **Note:** The authority of a DMIR must be specifically linked to a production approval holder (PAH) or a PAH's approved supplier.

c. The FAA is authorized under 14 CFR part 183, subpart D, to delegate specific functions to organizations on behalf of the FAA, related to engineering, manufacturing, operations, airworthiness, or maintenance for the purpose of issuing airworthiness certificates and related approvals. An organization designation authorization (ODA) may be approved to issue airworthiness certificates, airworthiness approvals, conformity certifications, and export approvals. See FAA Order 8100.15, Organization Designation Authorization Procedures, for further information on ODA.

d. The use of electronic signatures on airworthiness certificates, including Export Certificates of Airworthiness, is not permitted.

202. Responsibilities of FAA ASIs and Designees.

a. The procedures in this order cover original airworthiness certification for which FAA manufacturing ASIs are primarily responsible, and recurrent airworthiness certification for which FAA airworthiness ASIs are primarily responsible. FAA manufacturing and airworthiness ASIs may assist each other by mutual agreement.

b. The FAA designees, within the limits of their authority, are authorized to issue original or recurrent airworthiness certificates and related approvals. They are responsible for determining that the products or articles submitted to them conform to the approved type design, are in a condition for safe operation, and meet any other specified requirements. They also are responsible for the completeness, accuracy, and processing of all official documents and paperwork as provided for in this order. All actions taken by the designees on behalf of the FAA are subject to the monitoring, review, and approval of the supervising ASIs.

c. ASIs are responsible for training and supervising designees assigned to them regarding airworthiness certification procedures and all related documentation. The supervising ASI should also ensure that designees have been provided (or have access to) the appropriate regulations, instructions, and forms necessary for the performance of their designated duties.

d. ASIs will supervise and maintain surveillance over the certification activities accomplished by designees to ensure that all certifications and approvals comply with the applicable rules, policies, and procedures.

> **Note:** In this order, the term "FAA" or "ASI," as it relates to airworthiness certification activity, refers to the FAA airworthiness inspector (that is, manufacturing and flight standards) and that person's authorized designee. Designees will perform only authorized functions on behalf of their managing office and FAA advisor.

e. Before a designee can issue a special airworthiness certificate or a special flight permit, they must obtain in writing from the geographically responsible Manufacturing Inspection District Office **(**MIDO**)**, Flight Standards District Office **(**FSDO**)** or Certificate Management Office **(**CMO**)** that the limitations are acceptable, including any additional limitations and areas of operation the FAA considers necessary for safety.

203. Possession and Display of Airworthiness Certificates. Any airworthiness certificate issued to a U.S.-registered civil aircraft must be displayed at the cabin or cockpit entrance so that the certificate is legible to passengers or flightcrew members (14 CFR part 91, General Operating and Flight Rules, § 91.203(b)).

204. Aircraft Registration.

a. Registration. The procedures for aircraft registration and issuance of registration numbers are contained in 14 CFR part 47, Aircraft Registration. The registration of aircraft is not a function of airworthiness certification; however, U.S. registration is a prerequisite for issuance of an airworthiness certificate. The FAA must ensure that an aircraft presented for airworthiness certification is properly registered (49 U.S.C. § 44704(c) and 14 CFR § 21.173).

b. Proof of Ownership. The applicant for registration of an aircraft must submit proof of ownership to the Flight Standards Service, Aircraft Registration Branch (AFS-750) that meets the requirements prescribed in 14 CFR part 47. The Aeronautical Center Form 8050-2, Aircraft Bill of Sale, or its equivalent, may be used as proof of ownership. If the applicant did not purchase the aircraft from the last registered owner, the applicant must submit a complete chain of ownership from the last registered owner to the applicant. The purchaser under a contract of conditional sale is considered the owner for the purpose of registration. The contract of conditional sale may be submitted as proof of ownership in lieu of a bill of sale.

c. Aircraft Operation Outside the U.S. Pending U.S. Registration. For aircraft operations to or from the United States, including operations conducted wholly outside the United States, a current airworthiness certificate and Aeronautical Center Form 8050-3, Certificate of Aircraft Registration, must be carried in the aircraft. Pending receipt of Aeronautical Center Form 8050-3, AFS-750 will, upon request, transmit a telex/fax confirmation of registration on Form AFS-750-FAX-4 to the party whose name appears on the application as owner or authorized agent. The telex/fax may be used as a temporary Certificate of Aircraft Registration pending receipt of the original certificate.

205. Aircraft Nationality, Registration Marks, Reservation of Special Registration Numbers, and Display of Registration Marks.

a. Registration Numbers. All U.S. civil aircraft registration numbers are prefixed by an "N." The registration number, apart from the "N" prefix, is made up of one to five symbols, the last two of which may be alphabetical. This alphabetical suffix must be preceded by at least one numerical symbol. The lowest possible number is N1. A zero never precedes the first number. For example:

N1 through N99999, all symbols are numeric.
N1A through N9999Z, single alphabetical suffix.
N1AA through N999ZZ, double alphabetical suffix.

Note: To avoid confusion with the numbers zero and one, the letters "O" and "I" are never used as alphabetical suffixes.

b. Reservation of Registration Numbers.

(1) A person may reserve a registration number of his or her choice, if available, for 1 year by sending a written request and the appropriate fee for each number to be reserved to the following address:

FAA Aircraft Registration Branch, AFS-750
Mike Monroney Aeronautical Center
P.O. Box 25504
Oklahoma City, OK 73125-0504

(2) The applicant should list five numbers in case the first choice is not available. Reservations may be renewed from year to year by paying the appropriate fee before the end of the renewal period. If the renewal payment is not received before the end of the 1-year period, reservation of the special registration number will expire.

> **Note:** Once AFS-750 has been notified that the numbers have been permanently affixed to the aircraft and the airworthiness certificate has been issued, no subsequent fees apply.

c. Special Registration Numbers.

(1) Aircraft owners must apply for a special registration number in writing to AFS-750 and describe the aircraft. Permission to place the special number on the aircraft will be given on Aeronautical Center Form 8050-64, Assignment of Special Registration Numbers. The owner must complete, sign, and return the original form to AFS-750 within 5 days after the special registration number is affixed to the aircraft. The duplicate of Aeronautical Center Form 8050-64 and the present airworthiness certificate must be presented to the FAA representative, who will issue a replacement airworthiness certificate showing the new registration number. The old Certificate of Aircraft Registration and the duplicate Aeronautical Center Form 8050-64 must be carried in the aircraft until the new Certificate of Aircraft Registration is received (see 14 CFR § 47.15(f) and figure 2-1 of this order).

(2) Any changes in the current assignment of nationality and registration numbers will be processed as a request for assignment of special registration numbers.

d. Size of Registration Numbers.

(1) Nationality and registration marks displayed on all aircraft, with exception of aircraft covered in 14 CFR part 45, Identification and Registration Marking, § 45.29(b)(1)(iii) and (b)(2), must be at least 12 inches high in accordance with 14 CFR § 45.29. However, certain aircraft may display smaller registration marks as identified in 14 CFR § 45.29(b)(1)(i) and (b)(3) until the aircraft are repainted, restored, or changed. Once these aircraft are repainted or the marks are repainted, restored, or changed, 12-inch-high registration numbers must be displayed.

(2) FAA inspectors should be alert for newly repainted aircraft registration marks and ensure the marking is consistent with 14 CFR § 45.29. An enforcement action should be initiated against the aircraft owner/operator whenever an FAA inspector finds that an aircraft has not been marked with 12-inch-high registration numbers in accordance with 14 CFR § 45.29(b)(1)(i) or (b)(3).

(3) Nationality and registration marks of at least 3 inches high may be displayed on an aircraft issued an experimental certificate under 14 CFR § 21.191(d), (g), or (i) to operate as an exhibition aircraft or an amateur-built aircraft, or when the aircraft maximum cruising speed does not exceed 180 knots calibrated airspeed. Marks of at least 3 inches high also may be displayed on airships, spherical balloons, nonspherical balloons, powered parachutes, and weight-shift-control aircraft in accordance with 14 CFR § 45.29.

(4) When marks include only the Roman capital letter "N" and the registration number is displayed on limited, restricted, light-sport, experimental, or provisionally certificated aircraft, the operator must also display on that aircraft near each entrance to the cabin, cockpit, or pilot station, in letters not less than 2 inches nor more than 6 inches high the words "limited," "restricted," "light-sport," "experimental," or "provisional," as applicable per 14 CFR § 45.23.

(5) Powered parachute and weight-shift-control aircraft must display the marks required by 14 CFR § 45.23. The marks must be displayed horizontally and in two diametrically opposite positions on any fuselage structural member.

206. Display of Nationality and Registration Marks on Antique and Antique Replica Aircraft.

a. 14 CFR § 45.22(b)(1)(ii) provides, in pertinent part, that small U.S-registered aircraft at least 30 years old, or aircraft that have been issued an experimental certificate for the purpose of exhibition or operating an amateur-built aircraft and that have the same exterior configuration as a 30-year-old aircraft, may display marks consisting of the Roman capital letter "N" followed by the U.S. registration number or the symbol appropriate to the airworthiness certificate of the aircraft (for example, "C," standard; "R," restricted; "L," limited; or "X," experimental) followed by the U.S. registration number. The symbol used must be appropriate for the airworthiness certificate of the aircraft being certificated, NOT the aircraft being replicated.

Example 1. A Great Lakes 2T-1A aircraft manufactured in 1929 is registered in the United States and has been issued nationality and registration mark N1234. The aircraft has been issued a standard airworthiness certificate. The owner/operator may display the mark NC1234 if so desired.

Example 2. An aircraft that has the same exterior configuration as the Great Lakes 2T-1A is registered in the United States and has been issued nationality and registration mark N5678. An experimental airworthiness certificate has been issued under 14 CFR § 21.191(d) or § 21.191(g). The owner/operator may display the mark NX5678 if so desired.

b. When aircraft are marked as described in 14 CFR § 45.22(b)(1)(ii), the airworthiness and registration certificates will not include the inserted symbol. In example 1 above, the aircraft could be marked NC1234, but the registration and airworthiness certificates would reflect only the N1234.

c. When making a query of the FAA Civil Aviation Registry (FAA Aircraft Registry) computer database, the inserted symbol must be omitted in order to obtain accurate information concerning the aircraft.

d. In addition, 14 CFR § 45.23(b) provides that when the appropriate symbol is used with the nationality and registration marks in accordance with 14 CFR § 45.22(b)(1)(ii), the word "limited," "restricted," or "experimental" is not required to be displayed on the aircraft.

207. Original and Replacement Aircraft Identification Plates.

a. Original Data Plates. Each aircraft presented for airworthiness certification must meet the requirements of 14 CFR § 21.182. Each aircraft, aircraft engine, propeller, propeller blade, and propeller hub manufactured under a TC or PC, or an aircraft to be certificated as an amateur-built, primary kit-built, or LSA, must be identified with the information specified in 14 CFR § 45.13. Manned free balloons are required to comply with 14 CFR § 45.11(d).

b. Replacement Data Plates.

(1) When FAA personnel receive inquiries regarding replacement, removal, or destruction of identification (ID) plates, the sample letter in figure 2-2 of this order may be used as a guide for responding.

(2) When a new ID plate is required, the owner or the owner's authorized representative will contact their local FAA office. The FAA determines whether the request is valid and provides a letter to the applicant with the FAA's finding. If the FAA determines that the request is valid, the applicant includes the FAA letter with his or her request for the replacement data plate from the appropriate manufacturer.

(3) Upon notification by the applicant, which must include the FAA's letter, the product manufacturer may then issue the replacement ID plate.

(4) The old ID plate, when available, must be voluntarily surrendered by the owner with a written statement to the FAA office who authorized the replacement. The FAA office must make a copy of the plate and then physically destroy it. The FAA office must then submit a letter to AFS-750 stating that the surrendered plate has been destroyed. AFS-750 will include the letter in the permanent aircraft records file.

c. Removal of Data Plates. 14 CFR § 45.13 permits persons performing maintenance operations under 14 CFR part 43, Maintenance, Preventive Maintenance, Rebuilding, and Alteration, to remove an aircraft data plate. The removal must be done in accordance with the methods, techniques, and practices acceptable to the FAA. The ID plate removed may be reinstalled only on the product from which it was removed.

d. Misuse of Data Plates.

(1) ASIs should be on alert for any indication of ID plate misuse or suspicious activity, such as the building of a complete aircraft by a person performing work under 14 CFR part 43. Installation of an ID plate by a person performing work under 14 CFR part 43, where the ID plate has been purchased or salvaged from another aircraft, is not approved unless written approval is obtained from the FAA.

(2) Before issuing an airworthiness certificate for an aircraft that appears to be a repair or restoration of an aircraft that previously has been destroyed or demolished, the ASI should seek the assistance of the manager of AFS-750. That office can assist the ASI in determining whether the serial number of the aircraft on which certification is sought is the serial number of an aircraft previously classified as destroyed or demolished by the FAA or the National Transportation Safety Board (NTSB). If the ASI determines that the ID plate comes from a previously destroyed or demolished aircraft, the ASI must initiate an investigation to determine whether a violation of 14 CFR § 45.13(c) or (e) has occurred before the airworthiness certificate may be issued. If a violation of 14 CFR § 45.13(c) or (e) is found, the ASI must deny the airworthiness certificate and initiate an enforcement action.

> **Note:** When the ID plate is surrendered, the ID plate is no longer considered personal property.

e. New Data Plates. The appropriate local MIDO, Manufacturing Inspection Satellite Office (MISO), or FSDO may authorize a builder of an aircraft authorized to be assembled from spare and/or surplus articles in accordance with AC 21-13, Standard Airworthiness Certification of Surplus Military Aircraft and Aircraft Built From Spare Parts, to make a new data plate for that aircraft upon a satisfactory showing that the aircraft conforms to its type design and is in a condition for safe operation. However, ensure aircraft is eligible for an airworthiness certificate as built from spare and/or surplus articles in accordance with 14 CFR § 21.6, § 21.183, and paragraph 317 of this order before authorizing the builder to make a data plate. The data plate will be made in accordance with 14 CFR part 45 and affixed to the aircraft prior to the issuance of any airworthiness certificate.

208. Public Aircraft.

a. Public aircraft are defined in 49 U.S.C. § 40102(a)(41).

b. "Public Aircraft" is not a status that is granted by the FAA. There is no requirement to make a declaration in writing of this status, nor is there any responsibility to carry any proof of this status. The burden of proof is on the operator to establish to the FAA's satisfaction that an aircraft is a public aircraft if its status is questioned.

c. A U.S.-registered public aircraft operating within the territorial limits of the United States is not required to have an airworthiness certificate. However, any U.S.-registered public aircraft engaged in international air navigation is required to have a valid C of A, in accordance with the International Civil Aviation Organization (ICAO) agreements.

d. Safety is enhanced through the operation of aircraft certificated according to 14 CFR part 21, and the FAA encourages those who operate public aircraft to obtain the appropriate airworthiness certification, if possible. An application for an airworthiness certificate for a public aircraft will be processed in accordance with the applicable procedures in this order. The airworthiness certificate, when issued, is effective only if all terms and conditions of the certificate are complied with. If 14 CFR parts 43, 45, and 91 are NOT complied with, FAA Form 8100-2, Standard Airworthiness Certificate, must be surrendered.

e. Public aircraft must be registered in accordance with 14 CFR part 47 and must display nationality and registration marks in accordance with 14 CFR part 45. Any deviations from these requirements must be processed in accordance with the procedures in 14 CFR part 11, General Rulemaking, applicable to petitions for exemptions.

f. Aircraft operated by the FAA will be certificated in accordance with 14 CFR part 21, except for those aircraft authorized by the Director, Office of Aviation System Standards, or by the Director's designee, to be operated as public aircraft. Certificated aircraft must display an appropriate airworthiness certificate.

g. Non-certificated FAA aircraft will display a public aircraft document in lieu of the airworthiness certificate. All requests for the public aircraft document will be processed through the Director, Aviation Systems Standards, Oklahoma City, Oklahoma (AVN-100). The letter of request must contain, at a minimum, the—

(1) Nationality and registration marks,

(2) Manufacturer and model,

(3) Aircraft serial number,

(4) Location (base of operation/airport) of the aircraft, and

(5) Registered owner and operator of the aircraft.

h. The signed public aircraft document will be displayed in the aircraft at all times in lieu of the airworthiness certificate. For procedures applicable to public aircraft operated by the FAA, see TI-4100.24, Aviation System Standards General Maintenance Manual.

209. Aircraft Being Removed from a Continuous Maintenance System.

a. No change in the airworthiness certificate is required if the aircraft has a current airworthiness certificate, FAA Form 8100-2.

b. Operators of aircraft previously operated under 14 CFR part 121, Operating Requirements: Domestic, Flag, and Supplemental Operations, or 14 CFR part 91, subpart D, Special Flight Operations, and intending to operate them under 14 CFR part 91, must select, identify, establish, and use an inspection program as prescribed in 14 CFR § 91.409(e), (f), (g), and (h).

c. It also is important for the operator to know the current status of the aircraft relative to applicable requirements; for example, (1) weight and balance data, (2) flight manual appropriate to the operation, and (3) compliance with airworthiness directives (AD). Some carriers have exemptions or adjusted AD compliance times.

210. Operation of Civil Aircraft with a Door Open or Removed for Parachuting, Skydiving, or Other Special Operations.

a. AC 105-2, Sport Parachute Jumping, appendix 2, identifies aircraft that the FAA has determined can safely be flown with one door open or removed if operated in accordance with specified operating limitations.

b. Owners or operators using aircraft listed in AC 105-2, appendix 2, and who are interested in obtaining authorization with operating limitations for operation of such aircraft for parachuting or other special operations, must forward a written request to the FSDO having jurisdiction over the area in which the operations are to be conducted. The request must contain the following information:

(1) Name and address of the registered aircraft owner;

(2) Make, model, serial, and registration number of the aircraft;

(3) Location where aircraft normally is based; and

(4) Reason for the aircraft to be operated with a door removed.

c. There are two avenues for operation with the door removed:

(1) If the aircraft is identified in AC 105-2 to operate with the door removed and the aircraft does not have a type certificate data sheet (TCDS), approved flight manual authorization, or STC installed, operating limitations must be issued by the FAA.

(2) Applicable aircraft may be modified in accordance with STC procedures in 14 CFR part 21, or in accordance with the field approval guidance defined in FAA Order 8900.1, Flight Standards Information Management System (FSIMS). If altered in accordance with an STC, no other limitations are required.

d. Sample operating limitations are outlined in figure 2-3 of this order and must be issued by ASIs for any aircraft without a TC or STC. The ASI must note on the operating limitations the aircraft make, model, registration and serial number, type of operation authorized, date of issuance, ASI's name, and district office number. On an aircraft that requires removal or opening of a particular door, the ASI must specify in the limitations which door may be removed or opened.

Note: A copy of the limitations must be forwarded to AFS-750.

e. Removal or installation of a cabin door for the specified aircraft is considered maintenance and as such must be accomplished by persons authorized under 14 CFR § 43.3.

f. If operations of rotorcraft with the doors opened or removed obstructs the nationality and registration marks from view, the operator must notify the appropriate managing office in writing detailing the nature of the proposed operation and the proposed dates of operation with doors removed. The managing office will then instruct the operator to affix temporary nationality and registration marks on an authorized surface required by 14 CFR § 45.27(a). The size of the marks must comply with 14 CFR § 45.29(b) unless no authorized surface is large enough for display of marks meeting the size requirements of this section. The rotorcraft would then be marked on the largest authorized surface with marks as large as practicable, as allowed by 14 CFR § 45.29(f). Any remnants of the permanent nationality and registration marks must be obliterated so as not to confuse identification of the rotorcraft with temporary markings. The temporary markings must be able to endure flight operations in various weather conditions. Flight operations must be authorized in writing by the managing office for a specified time and purpose. The managing office will verify that the temporary markings comply with 14 CFR part 45 and that the rotorcraft is returned to its permanent marking scheme.

g. Under appendix A to 14 CFR part 43, paragraph (c)(15), a pilot may be authorized to remove or reinstall passenger seats if the pilot is specifically listed by name in the operating limitations for the aircraft. The issuing ASI may require the pilot to demonstrate his or her ability in this preventive maintenance function.

h. Removal or installation of control sticks and wheels must be performed in accordance with the applicable sections of 14 CFR part 43.

211. Banner Towing. An aircraft that is in full compliance with its type design and has an FAA-approved banner tow installation may be operated under a standard airworthiness certificate for banner towing purposes. An aircraft that has a standard airworthiness certificate and is modified for a special purpose operation must be operated under a multiple airworthiness certificate (standard/restricted) when the following conditions occur:

a. The special purpose modification does not meet the type design.

b. The special purpose modification is not approved for standard category use.

c. The aircraft will be operated outside the normal category operating limitations.

212. Reserved.

Section 2. Airworthiness Certificates

213. General. FAA Form 8100-2 and FAA Form 8130-7 will be referred to as being either a standard or a special classification within this order.

214. Classification and Category of Airworthiness Certificates.

a. Standard Classification. FAA Form 8100-2 may be issued for an aircraft that fully complies with all of the requirements for a standard airworthiness certificate in the category of normal, utility, acrobatic, commuter, or transport category, manned free balloons, or any other special classes of aircraft designated by the FAA.

b. Special Classification. FAA Form 8130-7 may be issued for an aircraft that does not meet the requirements for a standard airworthiness certificate. The certificate may be issued for an aircraft that meets the following:

(1) Primary. Aircraft that satisfies the requirements of 14 CFR § 21.184.

(2) Restricted. Aircraft that satisfies the requirements of 14 CFR § 21.185.

(3) Limited. Aircraft that satisfies the requirements of 14 CFR § 21.189.

(4) Provisional. Aircraft that satisfies the applicable requirements of 14 CFR part 21, subpart C, Provisional Type Certificates, and 14 CFR part 21, subpart I, Provisional Airworthiness Certificates.

(5) Light-Sport. Aircraft that meets the requirements of 14 CFR § 21.190.

(6) Experimental. For any category of aircraft, including amateur-built (14 CFR §§ 21.191, 21.193, and 21.195).

(7) Special Flight Permits. FAA Form 8130-7 may be issued for an aircraft that does not currently meet applicable airworthiness requirements, but is capable of safe flight, and meets the requirements of 14 CFR §§ 21.197 and 21.199.

215. Replacement, Exchange, or Amendment of Airworthiness Certificates.

a. Replacement.

(1) The FAA may issue a replacement airworthiness certificate when a certificate is declared lost, has been mutilated, is no longer legible, or contains inaccurate and/or erroneous information. The replacement airworthiness certificate must carry the original issue date of the certificate being replaced, preceded by a capital "R" in the Date block of the certificate. Replacement certificates also will be issued when the aircraft registration number has been changed. In these cases, a new application for airworthiness certification is not required.

(2) Request for a replacement certificate will be made to the applicable certification office. The registered owner or certificate operator will certify this by submitting a signed statement containing the registration number (N-Number), serial number, make, and model of the aircraft, and a reason the replacement certificate is needed. Replacement of airworthiness certificates must not be accomplished by verbal agreement with the assigned ASIs or through procedures contained in air carriers' manuals that allow the continued operation of an aircraft without an airworthiness certificate. Such actions are contrary to 14 CFR §§ 91.203(b) and 121.153(a)(1), and 14 CFR part 135, Operating Requirements: Commuter and On-Demand Operations and Rules Governing Persons on Board Such Aircraft, 14 CFR § 135.25(a).

(3) A replacement airworthiness certificate may be issued without supporting documentation from AFS-750 if the date of issuance and the airworthiness classification and/or category of the lost or mutilated certificate can be positively established from the aircraft records, or from the remains of the certificate. If there is insufficient data on which to base

issuance of the replacement certificate, the FAA ASI will obtain the required data electronically, by telephone, or by mail (such as the application form or previously issued airworthiness certificate) from AFS-750.

(4) Before issuing a replacement certificate, the FAA must review the aircraft records and, if necessary, inspect the aircraft to ensure that the applicant's request is justified and the aircraft is eligible for the airworthiness certificate requested.

(5) Both a copy of the replacement certificate and a copy of the owner's request for a replacement certificate (see paragraph 215a(2) of this order) must be forwarded to AFS-750.

b. Amendment.

(1) A standard or special airworthiness certificate may be amended when there is—

(a) A modification to the aircraft, such as one that has been approved by an STC or amended TC, that changes the category of the aircraft specified in block No. 4 of the standard airworthiness certificate.

(b) A change to the exceptions specified in block No. 5 of the standard airworthiness certificate.

(c) A change in the aircraft model specified in block No. 2 of the standard airworthiness certificate.

(d) A change in the operating limitations for an aircraft with a special airworthiness certificate.

(e) A change in the aircraft model specified in block "D" of the special airworthiness certificate for those aircraft that have been issued a TC (for example, restricted, primary, limited).

(2) An ODA may amend a standard airworthiness certificate, if authorized to perform the function in accordance with 14 CFR §183.49.

(3) When a certificate is amended, the issuance date will be the current date. Also, the capital letter "A" will be typed in front of the date.

(4) Any amendment of an airworthiness certificate will require submission of FAA Form 8130-6. See paragraph 801 of this order for instructions on completing this form.

(5) Paragraph 217 of this order details further information on aircraft model changes.

(6) Operating limitations that were issued based on a previous edition of this order may be updated to include limitations contained in the current edition. The FAA does not require a new aircraft certification inspection for this type of administrative paperwork amendment (except as provided in paragraph 4107 of this order).

c. Exchange. It is highly desirable that all aircraft currently certificated in the standard category carry FAA Form 8100-2 to be consistent with the regulations. Owners and operators of general aviation and air carrier aircraft that still have FAA Form 1362A, Certificate of Airworthiness, should be encouraged to exchange such forms for the standard airworthiness certificate, FAA Form 8100-2. In exchanging these certificates, the operating certificate number will NOT be entered on the revised form. FAA Form 1362A will be attached to and forwarded with a copy of the revised certificate to AFS-750 to establish an official record of the exchange action. The foregoing exchange procedure also applies to FAA Form 8130-7, in lieu of FAA Form 1362B, Certificate of Airworthiness. The new airworthiness certificate will reflect the date as indicated on FAA Forms 1362A or 1362B, preceded by a capital "E" in the Date block of the certificate. The procedure to exchange a C of A (ACA-1362 (12-50)) does not apply to an expired C of A issued before July 17, 1956. Block 4 of FAA Form 1362 indicates the date of expiration. See the procedures in chapter 3 of this order for a standard airworthiness certificate.

216. Surrendered Airworthiness Certificate.

a. Airworthiness certificates voluntarily surrendered by written authorization from an aircraft owner or authorized representative must state why the certificate is being surrendered. The authorization and certificate must be forwarded to AFS-750 for retention in the permanent airworthiness files for that aircraft.

b. The airworthiness certificate must be surrendered to the FAA by the aircraft owner or operator as specified in 14 CFR § 21.335(e) when:

(1) The title of a U.S.-owned aircraft passes or has passed to a purchaser in another country, or

(2) The aircraft is leased for operations, registered in another country, and is removed from the U.S. registry.

> **Note:** The exporting FAA representative will confirm deregistration and surrendered airworthiness certificate by reviewing the aircraft status on the FAA web site. The FAA representative will make copies of these documents from the FAA web site to complete their file.

217. Aircraft Model Change.

a. When an aircraft has been modified to conform to another model of the same make, the aircraft registration, airworthiness certificate, and aircraft ID plate must reflect the new model designation.

b. In addition to the existing ID plate, a new fireproof plate with the new model designation must be attached as close as physically possible to the original ID plate without obscuring it.

c. To maintain an accurate and continuous operating history for the aircraft, the original ID plate must not be altered in any manner.

d. The normal procedures, including any applicable inspections, apply when processing FAA Form 8130-6. The amended airworthiness certificate will be identified with a capital "A" preceding the current date of the certificate being issued. If ownership of the aircraft has not changed, an application for aircraft registration, reflecting the new model designation, need not be submitted. AFS-750 will issue an amended registration certificate.

218. Safeguarding FAA Airworthiness Certificates. Airworthiness certificates are official forms and must be safeguarded by those FAA representatives who are charged with the responsibility for their issuance. Airworthiness certificates may not be produced in a computerized electronic format. Every measure must be taken to ensure these certificates are not obtained by unauthorized persons. At no time may a blank certificate be given to any unauthorized individual. Airworthiness certificates must be secured in a locked container when left unattended.

219. Recording of Conformity Inspections. FAA Form 8100-1, Conformity Inspection Record, must be used to document conformity inspections during type, production, and airworthiness certification programs (see figure 2-4 of this order).

a. Preparation. FAA Form 8100-1 must be prepared in accordance with the instructions shown on the back of the form.

b. Retention. FAA Form 8100-1, original or copy, should be retained by the managing office until it has been determined that it would serve no useful purpose.

220. Airworthiness Certification of Manned Free Balloons. Manned free balloons are type-certificated as complete aircraft consisting of three major articles: the envelope, the burner and fuel system, and the basket. The burner and fuel system and basket also are known as the "bottom-end" articles. Airworthiness certificates will not be issued for any individual article. The following are situations that may be encountered in certificating balloons in the standard category:

a. An applicant for a standard airworthiness certificate must present a complete system (three major components) for the purpose of making a determination of airworthiness.

b. Many balloon TCDS require each individual balloon envelope to be assigned an individual aircraft serial number, aircraft data plate, and aircraft registration number. As such, the balloon manufacturer obtains a registration number from the FAA Aircraft Registry, assigns the N-Number to the aircraft, and reports the aircraft model and serial number to the FAA Aircraft Registry. When an eligible envelope is mated with the necessary articles to make a complete aircraft as described in the applicable TCDS, it is eligible for a standard airworthiness certificate.

c. Manufacturers of manned free balloons may deliver a balloon envelope when the envelope is the only article ordered. A balloon envelope that is manufactured, assembled to a burner and basket, and flight tested is eligible for a standard airworthiness certificate. The envelope, along with the standard airworthiness certificate and the logbook, may be delivered without the burner and basket. The envelope may then be assembled to a different burner and basket in accordance with the TC. A person may accomplish the interchange of the burner and basket as a preventive maintenance task as described below.

d. A new airworthiness certificate is not required when the aircraft is disassembled and a different burner and basket combination is installed, as allowed by the TC. Reassembly of the envelope and bottom-end articles into a complete aircraft may be performed as preventive maintenance under 14 CFR part 43, appendix A, paragraph (c)(27). The aircraft records must properly reflect the installation of the bottom-end articles and record the new empty weight. The bottom-end components must be in a current "annual or 100-hour" inspection status. The individual records of the bottom-end articles must be maintained. The due date of the next required inspection is determined based on the time the articles are due for inspection.

e. If an envelope is provided only as a replacement article without obtaining a new aircraft serial number, registration number, or ID data plate, the installation of the replacement envelope is a maintenance item under 14 CFR part 43. This requires appropriate documentation of the work performed and a return to service entry in the aircraft records by a person authorized to perform the maintenance. The aircraft ID data plate, serial number, and registration number are carried over from the previous aircraft envelope.

f. For model changes, see paragraph 217 of this order.

221. Reserved.

Section 3. Initial or Subsequent Issuance of Airworthiness Certificates (Original/Recurrent) or Related Approvals

222. General. This section clarifies the terms "original" and "recurrent" as related to the issuance of airworthiness certificates or approvals. Also identified in this section are the FAA offices responsible for performing such functions, including, as appropriate, the cross-utilization of FAA inspection personnel.

a. A variety of airworthiness functions are performed by the FAA. Many of these functions must be accomplished by or coordinated with FAA manufacturing or airworthiness ASIs who have expertise in the particular specialty. These ASIs may include the principal ASI for a major aircraft manufacturer, or the principal maintenance or avionics ASI for an air carrier with aircraft of the same type and complexity as the one for which certification is requested. A number of airworthiness functions can be accomplished by cross-utilization of the FAA. Cross-utilization by the FAA must be employed whenever possible in accordance with the guidance contained in this section.

b. The terms "original" and "recurrent" distinguish between those functions for which FAA manufacturing ASIs have primary responsibility and those for which FAA airworthiness ASIs have primary responsibility.

c. The FAA manufacturing ASI has primary responsibility for the issuance of original airworthiness certificates and approvals. The FAA airworthiness ASI has primary responsibility for the issuance of recurrent airworthiness certificates and approvals.

223. Airworthiness Certification.

a. Original Certification. The term "original certification" applies to the issuance of standard or special airworthiness certificates and approvals, including FAA Form 8130-4, Export Certificate of Airworthiness, for aircraft holding a U.S. type design for the following:

(1) Aircraft or related products or articles that have not left, and are under the control of, the original product manufacturer's quality system.

(2) Aircraft or related products and articles for which an FAA airworthiness certificate or approval has never been issued. Examples include—

(a) Surplus military aircraft,

(b) Aircraft built from spare and/or surplus articles,

(c) U.S.-manufactured aircraft returning from another country without having been issued a U.S. airworthiness certificate or U.S. export airworthiness approval,

(d) Provisional airworthiness certificates and amendments thereto,

(e) Limited airworthiness certificates,

(f) Experimental airworthiness certificates, and

(g) Aircraft manufactured to other than U.S. requirements imported to the United States.

(3) Aircraft that previously have been issued an airworthiness certificate and presented for certification in another category or classification, for example; aircraft converted from standard to restricted for the first time or from a special airworthiness certificate to standard for the first time.

(4) Aircraft that have undergone changes to the type design and require flight testing, for example, under an experimental certificate for the purpose of showing compliance with regulations including, as applicable, the issuance or reissuance of a standard airworthiness certificate.

(5) Prototype or test articles to be used for design evaluation for TC or STC purposes. This includes articles or installation approvals.

(6) Aircraft with an FAA-approved type design that have undergone a model change, as listed on the airworthiness certificate, and require a conformity inspection before issuance of an amended airworthiness certificate.

(7) Issuance of special flight permits for aircraft that previously have not been issued an airworthiness certificate.

b. Recurrent Certification. The term "recurrent certification" applies to the issuance of standard or special airworthiness certificates or approvals for the following:

(1) Aircraft that previously have been issued an airworthiness certificate except those listed in paragraphs 223a(3) through (5) of this order.

(2) Issuance of special flight permits for aircraft that previously have been issued an airworthiness certificate.

(3) Export certification or approval of aeronautical products or articles that previously have been issued an airworthiness certificate or approval.

(4) Issuance of airworthiness certificates for aircraft with certificates that have expired, been surrendered, or been revoked.

(5) Changes to operating limitations.

(6) Issuance of experimental certificates for aircraft with expired experimental certificates issued for research and development (R&D) or exhibition.

(7) U.S.-manufactured aircraft returning to the United States that previously were issued an airworthiness certificate or an Export C of A in the United States.

(8) Aircraft manufactured to a U.S. TC and exported from a country with which the United States has a bilateral agreement, when accompanied by an Export C of A from a bilateral civil aviation authority (CAA). The CAA's Export C of A must contain a statement from that CAA stating that the aircraft conforms to its U.S. type design and is in a condition for safe operation.

224. Exceptions.

a. Any requests, original or recurrent, for a special airworthiness certificate for LSA, amateur-built, exhibition, market survey, crew training, or air racing aircraft may be handled by FAA manufacturing ASIs, FAA airworthiness ASIs, or their authorized designees. If the responsible office cannot support the certification request, an appropriate delegation should be coordinated with the alternate office.

b. Any requests, original or recurrent, for an experimental certificate showing compliance with the regulations is the primary responsibility of the FAA manufacturing ASI or authorized designee. In remote areas or under special circumstances, an FAA airworthiness ASI may be delegated the authority by the Aircraft Certification Service if it is established that the person has had experience in type certification programs of a type and complexity comparable to the certificate requested.

225. Recording of Conformity Inspections. All inspections conducted by an ASI or designee to determine conformity to an approved type design before an airworthiness certificate is issued should be recorded on FAA Form 8100-1 (see paragraph 219 of this order).

226. Eligibility and Evaluation of U.S. Military Surplus Flight Safety-Critical Aircraft Parts (Articles) (FSCAP), Engines, and Propellers.

a. General.

(1) This paragraph provides guidance for use in evaluating and determining the eligibility of U.S. military surplus FSCAPs, engines, and propellers for installation on FAA type-certificated products. Many military surplus FSCAPs have the potential to be approved for installation on aircraft that hold special or standard airworthiness certificates.

(2) Military engines, propellers, and articles are categorized as new or used and fall into one of the following categories:

(a) Dual-use FSCAPs;

(b) Military-unique FSCAPs;

(c) Dual-use military surplus engines, propellers, and articles; and

(d) Military-unique surplus engines, propellers, and articles.

(3) Before these military engines, propellers, and articles are installed on type-certificated products, the installer must determine that they are:

(a) Eligible for installation, and

(b) Airworthy.

(4) There are certain unique design considerations and FAA certification requirements for engines and propellers. Therefore, the eligibility and evaluation processes for military surplus engines, propellers, and articles are described separately in paragraph 226d of this order.

Note: For eligibility and evaluation of non-flight safety-critical articles, safety-critical aircraft articles, engines/propellers, and their articles, use AC 20-62, Eligibility, Quality, and Identification of Aeronautical Replacement Parts.

b. Dual-Use FSCAP (New or Used).

(1) Eligibility Screening. New or used dual-use FSCAPs may be eligible for installation on FAA type-certificated products with standard or special airworthiness certificates. The eligibility determination is made based on a review of the following pertinent Department of Defense (DOD) historical records:

(a) FSCAP identification: part number, DOD National Stock Number, and serial number.

(b) Manufacturer, DOD Commercial and Government Entity (CAGE) code, and date of manufacture.

(c) Total time-in-service.

(d) Current status of life-limited FSCAPs.

(e) Time since the last overhaul of each FSCAP that is required to be overhauled on a specified time basis.

(f) Identification of current inspection status, including time since last required inspection or maintenance performed.

(g) Current status of applicable AD and DOD directives (for example, engineering changes, technical orders, or maintenance work orders) including the date and method of compliance. If the AD involves recurring action, the current status includes the time and date when the next action is required.

(h) A list of current major alterations, repairs, or modifications for each FSCAP.

(i) Date any work was accomplished.

(j) Work authentication.

(2) Airworthiness Determination. After determining the FSCAP is eligible to be installed on a type-certificated product, the FSCAP must be evaluated to determine whether it is airworthy.

(a) New Dual-Use FSCAP.

1 For an FSCAP to be installed on products with standard airworthiness certificates, the FSCAP must conform to its FAA-approved type design and must be in a condition for safe operation.

2 For an FSCAP to be installed on products with special airworthiness certificates, the FSCAP must be cited in the FAA-accepted maintenance manual and illustrated parts catalog (IPC) specified on the applicable TCDS, and must be in a condition for safe operation.

(b) Used Dual-Use FSCAP.

1 For an FSCAP to be installed on products with standard or special airworthiness certificates, the FSCAP must be evaluated using the procedures for new dual-use FSCAPs above, as appropriate, to determine the FSCAP's airworthiness in accordance with 14 CFR § 43.13.

2 The FSCAP also must be evaluated by persons authorized under 14 CFR § 43.7(a), (c), (d), or (e) by using the following applicable methods, means, or data sources:

(aa) Differences between military and civil version (for example, possible DOD modifications, alterations, or repairs performed);

(bb) Current manufacturer or DOD technical data and procedures to perform tests and inspections, including current life-limited articles list;

(cc) Comparison of military time and/or cycle count for accumulated operational time versus civil (for example, "Did the military use a different method than civil operators to account for accumulated operational time?");

(dd) Nondestructive tests, as required;

(ee) Bench test or functional test, as required;

(ff) Results of tests and inspections recorded;

(gg) Complete historical and modification, alteration, or repair records;

(hh) Manufacturer's ID plate;

(ii) Flight, maintenance, and/or structural manual(s), and IPC; and

(jj) Instructions for Continued Airworthiness (ICAW).

(3) Approval for Installation. Persons authorized under 14 CFR § 43.7 may approve dual-use FSCAPs for installation on type-certificated products if the FSCAP successfully completed the eligibility screening and airworthiness evaluation. The installer must be able to determine that the installation of the FSCAP will leave the product in compliance with all regulations and in a condition for safe operation. The authorized individual completing the eligibility screening and/or airworthiness evaluation must make a maintenance record entry that clearly documents the results of the evaluation. Each maintenance record entry in accordance with 14 CFR § 43.9 should include a description of the work performed, the completion date of the work performed, and the name of the person performing the work or authorized to sign.

c. Military-Unique FSCAP.

(1) Eligibility Screening. New or used military-unique FSCAPs may be eligible for installation on civil aircraft with special airworthiness certificates under 14 CFR § 21.305(c) in conjunction with type certification procedures for a product or in accordance with a TCDS. Military-unique FSCAPs are not eligible for installation on a civil aircraft with a standard airworthiness certificate. The eligibility determination is made based on a review of the following pertinent DOD historical records:

(a) FSCAP identification: part number, DOD National Stock Number, and serial number.

(b) Manufacturer, DOD CAGE code, and date of manufacture.

(c) Total time-in-service.

(d) Current status of life-limited FSCAPs.

(e) Time since the last overhaul of each FSCAP that is required to be overhauled on a specified time basis.

(f) Identification of current inspection status, including time since last required inspection or maintenance performed.

(g) Current status of applicable ADs and DOD directives, (for example, engineering changes, technical orders, or maintenance work orders) including the date and method of compliance. If the AD involves recurring action, the current status includes the time and date when the next action is required.

(h) A list of current major alterations, repairs, or modifications for each FSCAP.

(i) Date any work was accomplished.

(j) Work authentication.

(2) Airworthiness Determination. After determining the FSCAP is eligible to be installed on a type-certificated product with a special airworthiness certificate, the FSCAP must be evaluated to determine whether it is airworthy.

(a) New Military-Unique FSCAP. The FSCAP must be cited in the FAA-accepted, military-approved maintenance manual and IPC specified on the applicable aircraft TCDS and must be in a condition for safe operation.

(b) Used Military-Unique FSCAP.

1 The FSCAP must be cited in the FAA-accepted, military-approved maintenance manual and IPC specified on the applicable aircraft TCDS and must be in a condition for safe operation.

2 The FSCAP also must be evaluated to determine airworthiness in accordance with 14 CFR § 43.13, by using the following applicable methods, means, or data sources:

(aa) Special equipment or test apparatus, as required;

(bb) Current manufacturer or DOD technical data and procedures to perform tests and inspections;

(cc) Comparison of military time and/or cycle count for accumulated operational time versus civil time (for example, "Did the military use a different method than civil operators to account for accumulated operational time?");

(dd) Nondestructive tests, as required;

(ee) Bench test or functional test, as required;

(ff) Results of tests and inspections recorded;

(gg) Complete historical and modification, alteration, or repair records;

(hh) Manufacturer's ID plate;

(ii) Flight, maintenance, and/or structural manual(s), and IPC; and

(jj) ICAW.

(3) Approval for Installation. Persons authorized under 14 CFR § 43.7 may approve military-unique FSCAPs for installation on type-certificated products if the FSCAP successfully completed the eligibility screening and the airworthiness evaluation. The installer must be able to determine that the installation of the FSCAP will leave the product in compliance with the TCDS and in a condition for safe operation. The authorized individual completing the eligibility screening and/or airworthiness evaluation must make a maintenance record entry that clearly documents the results of the evaluation. Each maintenance record entry in accordance with 14 CFR § 43.9 should include a description of the work performed, the completion date of the work performed, and the name of the person performing the work or authorized to sign.

d. Dual-Use and Unique Military Surplus Engines, Propellers, and Their Articles.

(1) New, used, or parted-out military surplus engines, propellers, and articles should not be presumed to be eligible for installation on FAA type-certificated aircraft. Military surplus engines, propellers, and articles are either dual-use or military-unique.

(2) The pertinent accompanying historical records documentation is essential for:

(a) The Defense Reutilization and Marketing Office's (DRMO) public sale of engines, propellers, and articles;

(b) Categorizing the engines, propellers, and articles as dual-use or military-unique; and

(c) Establishing the eligibility and airworthiness of the engine, propeller, and articles.

(3) Military surplus engines and propellers may be type-certificated under 14 CFR § 21.17, which requires issuance of a new TC and compliance with the applicable requirements, such as 14 CFR part 33, Airworthiness Standards: Aircraft Engines, for engines and 14 CFR part 35, Airworthiness Standards: Propellers, for propellers. For a military aircraft issued a TC under 14 CFR § 21.25 or § 21.27, the applicable engine or propeller is not required to be issued a separate TC. However, it should be noted that the engine and propeller cannot be certificated separately under these two sections. Any eligible military surplus engines or propellers will be referenced on the aircraft's TCDS. However, military-unique surplus engines, propellers, and articles may be eligible for installation only on civil military surplus aircraft with special airworthiness certificates.

(4) Engines, propellers, and articles are deemed flight safety-critical if their failure, malfunction, or absence could cause a catastrophic failure resulting in loss or serious damage to the aircraft or an un-commanded engine shutdown resulting in an unsafe condition. Such conditions include, but are not limited to, release of engine or propeller debris, propeller separation, and, in rotorcraft, a transient or continuous power loss, or loss of power response. Examples of flight safety-critical engine and propeller articles are life-limited articles, rotating articles, and, for rotorcraft, actuating articles.

(5) Dual-Use Military Surplus Engines, Propellers, and Articles. Dual-use military surplus engines and propellers that hold a TC, and their articles, may be eligible for installation on civil products in accordance with the applicable regulations. The authorized individual completing the eligibility screening and/or the airworthiness evaluation should make a record entry to document the result(s).

(a) Eligibility Screening. New or used dual-use engines, propellers, and articles may be eligible for installation on FAA type-certificated civil or surplus military aircraft with standard or special airworthiness certification. A U.S. TC must have been issued for a corresponding civil model engine or propeller under 14 CFR § 21.21 at the time of manufacture, or a U.S. aircraft TC must have been issued and the engines or propellers referenced in the aircraft TCDS under 14 CFR § 21.27 or § 21.25. The eligibility determination is made based on a review of the following pertinent historical records:

1 Engine, propeller, and article ID (article part number and serial number and manufacturer).

2 Contract or purchase order number under which the engine, propeller, or article was manufactured.

3 Evidence of engine, propeller, and article status, for example; serviceable or unserviceable, in accordance with DOD Form (DD Form) 1574-1 or Department of the Army (DA) Form 2410.

4 Complete historical records maintained by the military, the manufacturer, and any other prior owner(s), pertaining to inspection, modification, repair, alteration, maintenance, and operation of the engine from the time of acceptance by the military, including, but not limited to, DA Form 2408-5 and DA Form 2408-16. The maintenance records should also include the date on which the work was accomplished and work authentication.

5 Current status of applicable ADs and DOD directives (for example, engineering changes, technical orders, or maintenance work orders) including the date and method of compliance; and, if the AD involves recurring action, the time and date when the next action is required.

(b) Airworthiness Determination. After determining the article is eligible to be installed on a type-certificated product, the article must be evaluated to determine whether it is airworthy.

1 New Dual-Use Engines, Propellers, and Articles.

(aa) For engines, propellers, and articles to be installed on aircraft with standard airworthiness certificates, each engine, propeller, and article must conform to the approved TC, must have been manufactured under an FAA-approved production system, and must be in a condition for safe operation.

(bb) For engines, propellers, and articles to be installed for aircraft with special airworthiness certificates, each engine, propeller, and article must be listed in the FAA-accepted, military-approved maintenance manual or FAA-accepted civil maintenance manual and IPC specified on the TCDS, and must be in a condition for safe operation.

2 Used Dual-Use Engines, Propellers, and Articles.

(aa) For engines, propellers, and articles to be installed on aircraft with standard airworthiness certificates, an evaluation should be performed by an FAA engineer or an appropriately authorized designated engineering representative (DER). When a DER is used, the DER's recommendations or decisions must be substantiated in writing using FAA Form 8100-9, Statement of Compliance With Airworthiness Standards, and include supporting documents. Each engine, propeller, and article must conform to the approved TC, must have been manufactured under an FAA-approved production system, and be in a condition for safe operation. In addition, the following should be evaluated:

(1) Operational differences between military and civil versions (for example, possible DOD modification, alteration, or repair performed) in performance standards as listed in the TCDS (for example, thrust, shaft horsepower, revolutions per minute (RPM), and ratings), and in specifications, as listed in the TCDS and the maintenance manuals (for example, fuel type, oil, weight).

(2) Complete historical operational records. This includes extreme operational conditions such as accidents, fires, or exceeding engine operating limits.

(3) Complete historical maintenance records; for example, modifications, alterations, and repairs, and complete documentation of work performed by an FAA-approved facility that was properly rated for the work performed and that conformed to the FAA-approved data.

(4) ICAW.

(5) Emission requirements as stated in the TCDS (engine only).

(6) Comparison of military time and/or cycle count for accumulated operational time and cycle versus civil (for example, "Did the military use a different method than civil operators to account for accumulated operational time and what are the expended equivalent civil cycles of the articles, taking into account their past operational history and mission profile?").

(7) Current manufacturer's technical data to perform tests or inspections.

(8) Written results of inspections performed (for example, maintenance record entry, FAA Form 8130-3, Authorized Release Certificate, or FAA Form 337, Major Repair and Alteration, for approval for return to service) and a completed FAA Form 8130-9, Statement of Conformity.

(9) The application of the identifying marking requirements in accordance with 14 CFR §§ 45.11 and 45.13, as applicable.

(10) Engine, propeller, or article overhaul records, including overhaul in accordance with civil engine/propeller manuals.

(11) Verification that the engine, propeller, or article was produced by an FAA PAH.

(bb) For engines, propellers, and articles to be installed on aircraft with special airworthiness certificates, an evaluation should be performed by an FAA engineer or an appropriately authorized DER. When a DER is used, the DER's recommendations or decisions must be substantiated in writing using FAA Form 8110-3, and include supporting documents. Each engine, propeller, and article must be listed in the FAA-accepted, military-approved maintenance manual or FAA-accepted maintenance manual and IPC specified on the TCDS, and be in a condition for safe operation. In addition, the following should be evaluated:

(1) Complete historical operational records. This includes extreme operational conditions such as accidents, fires, or engine exceeding operating limits.

(2) Complete historical maintenance records; for example, modifications, alterations, and repairs, and complete documentation of the work performed.

(3) ICAW.

(4) Emission requirements as stated in the TCDS (engine only).

(5) Comparison of military versus civil time and/or cycle count for accumulated operational time and cycle (for example, "Did the military use a different method than civil operators to account for accumulated operational time and what are the expended equivalent civil cycles of the articles, taking into account their past operational history and mission profile?").

(6) Current manufacturer's technical data to perform tests or inspections.

(7) Written results of inspections performed (for example, maintenance record entry, FAA Form 8130-3, or FAA Form 337, for approval, for return to service) and a completed FAA Form 8130-9, signed by an authorized person.

(8) The application of the identifying marking requirements in accordance with 14 CFR §§ 45.11 and 45.13, as applicable.

(9) Engine, propeller, or article overhaul records, including overhaul in accordance with civil engine/propeller manuals.

(10) Verification that the engine, propeller, or article was produced by an FAA PAH.

(c) Approval for Installation. Persons authorized under 14 CFR § 43.7 may determine dual-use engines, propellers, or articles for installation if the engine, propeller, or article has successfully completed the eligibility screening and airworthiness evaluation. The installer must be able to determine that the use of the engine or propeller, and/or the installation of the article, will leave the aircraft in compliance with pertinent regulations and in a condition for safe operation. The authorized individual completing the eligibility screening and/or airworthiness evaluation must make a maintenance record entry that clearly documents the results of the evaluation. Each maintenance record entry in accordance with 14 CFR § 43.9 should include a description of the work performed, the completion date of the work performed, and the name of the person performing the work or authorized to sign.

(6) Military-Unique Engines, Propellers, and Their Military-Unique Articles. Military-unique engines, propellers, and articles are FSCAPs that were specifically and uniquely designed and manufactured for the U.S. military for which there originally was no corresponding FAA-approved PAH engine, propeller, or article for civil application.

(a) Eligibility Screening. New or used military-unique engines, propellers, and articles may be eligible for installation on surplus U.S. military aircraft type-certificated under 14 CFR §§ 21.25(a) and 21.8 with special airworthiness certificates. The eligibility determination is made based on a review of the following pertinent DOD historical records:

1 Engine, propeller, article ID (article part number and serial number and manufacturer).

2 Contract or purchase order number under which the engine, propeller, or article was manufactured.

3 Evidence of engine, propeller, and article status; for example, serviceable or unserviceable, per DD Form 1574-1 or DA Form 2410.

4 Complete historical records maintained by the military, the manufacturer, and any other prior owner(s), pertaining to inspection, modification, repair, alteration, maintenance, and operation of the engine from the time of acceptance by the military, including, but not limited to, DA Form 2408-5 and DA Form 2408-16. The maintenance records also should include the date that the work was accomplished and work authentication.

5 Current status of applicable ADs and DOD directives (for example, engineering change, technical order, maintenance work order), including the date and method of compliance; and, if the AD involves recurring action, the time and date when the next action is required.

(b) Airworthiness Determination. After determining that the engine, propeller, or article is eligible to be installed on a surplus military aircraft with special airworthiness certificates, each engine, propeller, or article must be evaluated to determine whether it is airworthy.

1 New Military-Unique Engines, Propellers, and Articles. For new military-unique engines, propellers, and their associated articles to be installed on surplus military aircraft with special airworthiness certificates, each engine, propeller, and article must be listed in the FAA-accepted, military-approved maintenance manual or FAA-accepted civil maintenance manual and IPC specified on the TCDS, and must be in a condition for safe operation.

2 Used Military-Unique Engines, Propellers, and Articles. For used military-unique engines, propellers, and articles to be installed on surplus military aircraft with special airworthiness certificates, each engine, propeller, and article must be evaluated by an FAA engineer or an appropriately authorized DER. When a DER is used, the DER's recommendations or decisions must be substantiated in writing using FAA Form 8110-3, and include supporting documents. Each engine, propeller, accessory, and associated article must be listed in the FAA-accepted, military-approved maintenance manual or FAA-accepted civil maintenance manual and the IPC specified on the TCDS, and must be in a condition for safe operation.

(c) Approval for Installation. Persons authorized under 14 CFR § 43.7 may approve military-unique engines, propellers, or articles for installation on surplus military aircraft with special airworthiness certificates if they have successfully completed the eligibility screening and airworthiness evaluation. The installer must be able to determine that the use of the engine or propeller, and/or the installation of the article, will leave the product in compliance with the TCDS and in a condition for safe operation. The authorized individual completing the eligibility screening and/or airworthiness evaluation must make a maintenance record entry that clearly documents the results of the evaluation. Each maintenance record entry in accordance with 14 CFR § 43.9 should include a description of the work performed, the completion date of the work performed, and the name of the person performing the work or authorized to sign.

Figure 2-1. Sample Aeronautical Center Form 8050-64, Assignment of Special Registration Numbers

U.S. Department of Transportation
Federal Aviation Administration

ASSIGNMENT OF SPECIAL REGISTRATION NUMBERS

Special Registration Number: N54321

Aircraft Make and Model: Lockheed L-100, 382G

Present Registration Number: N12345

Serial Number: 78361C

Issue Date: October 31, 20XX

ABC Cargo, Inc.
P.O. Box 390
Washington National Airport
Washington, DC 20001

This is your authority to change the United States registration number on the above described aircraft to the special registration number shown.

Carry duplicate of this form in the aircraft together with the old registration certificate as interim authority to operate the aircraft pending receipt of revised certificate of registration. Obtain a revised certificate of airworthiness from your nearest Flight Standards District Office.

The latest FAA Form 8130-6, Application for Airworthiness on file is dated:

May 21, 20XX

The airworthiness classification and catagory:

Standard Transport

INSTRUCTIONS:

SIGN AND RETURN THE ORIGINAL of this form to the Civil Aviation Registry, AFS-750, within 5 days after the special registration number is placed on the aircraft. A revised certificate will then be issued.

The authority to use the special number expires: October 30, 20XX

CERTIFICATION: I certify that the special registration number was placed on the aircraft described above.

Signature of Owner:

Title of Owner: Chief, Aircraft Services

Date Placed on Aircraft: November 5, 20XX

RETURN FORM TO:

Civil Aviation Registry, AFS-750
P.O. Box 25504
Oklahoma City, Oklahoma 73125-0504

AC FORM 8050-64 (5/2005) Supercedes Previous Edition

Figure 2-2. Sample Response Letter Regarding Identification Plates

U.S. Department
of Transportation
Federal Aviation
Administration

March 3, 2000

Mr. William Blue
220 West Broad St.
Boston, MA 26204

Dear Mr. Blue:

This is in response to your letter dated February 14, 2000, concerning disposition of the identification plate from Cessna Model 305A, Registration No. N5297G, Serial No. 305A-12345.

The aircraft will be scrapped as a result of an accident. It is requested that the aircraft registration, airworthiness certificate, identification plate, and a copy of this letter be forwarded to the address listed below.

Federal Aviation Administration
Aircraft Registration Branch, AFS-750
Mike Monroney Aeronautical Center
P.O. Box 25504
Oklahoma City, OK 73125-0504

Sincerely,

John J. Doe
Manager
Burlington Manufacturing Inspection District Office

Figure 2-3. Sample Limitations for the Operation of an Aircraft With a Door Removed

U.S. Department
of Transportation
Federal Aviation Administration

Make _____
Model _____ Serial No._____
Registration No. _____

AIRCRAFT OPERATING LIMITATIONS

The aircraft described above may be flown with not more than one cabin door removed for the purpose of (see note below), provided the aircraft is operated in accordance with the applicable sections of Title 14 of the Code of Federal Regulations and the following limitations:

Note: Show specific operations; for example, intentional parachute jumping, skydiving, etc.

1. Maximum speed not to exceed any of the following:

   The approved maneuvering speed.
   70 percent maximum level flight speed.
   70 percent maximum structural cruising speed.

2. Aerobatic maneuvers are not permitted.

3. Maximum yaw angle 10 degrees; maximum bank angle 15 degrees.

4. A Federal Aviation Administration (FAA)-approved safety belt must be provided and worn by each occupant during takeoff and landing and at all other times when required by the pilot-in-command.

5. All occupants must wear parachutes when intentional parachute jumping and skydiving operations are conducted.

6. Smoking is not permitted.

7. When operations other than intentional parachute jumping and skydiving are conducted, a suitable guardrail or equivalent safety device must be provided for the doorway.

8. All loose articles must be tied down or stowed.

9. No baggage may be carried.

Figure 2-3. Sample Limitations for the Operation of an Aircraft With a Door Removed (Continued)

10. Parachutists' static lines must be kept free of pilot's controls and control surfaces.

11. Operations are limited to visual flight rules conditions.

12. Cabin door hold-open clips installed on wing brace struts and/or under surface of wing must be removed before conducting intentional parachute jumping or skydiving operations.

13. When intentional parachute jumping, skydiving, or other specified operations are being conducted, the pilot at the controls must hold at least a private pilot certificate and appropriate rating.

14. This aircraft must not be operated in solo flight by the holder of a student pilot certificate.

15. Operation of this aircraft with a door removed for any purpose other than that for which it is certificated is prohibited.

16. The following placard must be placed on the instrument panel in full view of the pilot:

"For flight with door removed, see aircraft operating limitations dated _____."

17. A copy of these limitations must be carried in the aircraft when flight operations are conducted with the door removed.

18. These operating limitations are a part of the airworthiness certificate.

FAA Inspector _____ Date _____

Office No. _____

Figure 2-4. Sample FAA Form 8100-1, Conformity Inspection Record (Face Side Only)

CONFORMITY INSPECTION RECORD				1. Project Number, TIA/Request Date:		2. SHEET of Sheets
3. Applicant/Manufacturer:				4. Beginning Date:		5. Ending Date:
6. Model:				7. Inspected By:		
8. Item No.	9. Nomenclature of Item Inspected	10. Drawing, Document, Specification, etc.	11. Revision and Date	12. No. of Items Determined		13. Comments
				SAT.	UNSAT.	

FAA Form 8100-1 (5-92) SUPERSEDES PREVIOUS EDITION

Chapter 3. Standard Airworthiness Certification

Section 1. General Information

300. General. In no case may any aircraft be operated unless there is an appropriate airworthiness certificate issued to and valid for that aircraft. This chapter provides policy and guidance material associated with airworthiness certification and issuance of FAA Form 8100-2.

a. 14 CFR § 21.183(a) prescribes the basic requirements for issuance of standard airworthiness certificates for aircraft manufactured under a PC.

b. 14 CFR § 21.183(b) prescribes the basic requirements for issuance of standard airworthiness certificates for aircraft manufactured under a TC.

c. 14 CFR § 21.183(c) prescribes the basic requirements for issuance of the standard airworthiness certificates for an import aircraft type-certificated in accordance with 14 CFR §21.21 or § 21.29 and produced under the authority of another State of Manufacture. The CAA certifications must be made by issuance of an Export C of A that contains either the certification statement noted on the corresponding FAA TCDS or a certification statement that the aircraft meets its FAA-approved type design and is in a condition for safe operation.

d. 14 CFR § 21.183(d) prescribes the basic requirements for issuance of standard airworthiness certificates for used aircraft (aircraft with time in service for other than production flight testing,) and for surplus aircraft of the U.S. Armed Forces.

e. 14 CFR § 21.183(h) prescribes the basic requirement for issuance of a standard airworthiness certificate for new aircraft manufactured to a TC, when the applicant does not hold the TC or a licensing agreement from the TC holder. A person seeking to manufacture a new aircraft under this provision must demonstrate to the FAA that the manufacturing began before August 5, 2004. Typically, these aircraft are built from spare and surplus articles. Paragraph 317 of this order provides detailed guidance for these aircraft.

> **Note:** No FAA field office or FAA representative is authorized to waive regulatory requirements.

f. The FAA has full responsibility for finding that each aircraft, at the time an airworthiness certificate is issued, conforms to the type design and is in a condition for safe operation. Therefore, sufficient FAA inspections of each aircraft must be conducted by the certificating ASI or authorized designee.

301. Standard Airworthiness Certificate.

a. FAA Form 8100-2 ((Government Printing Office (GPO) pad only) is used for all original and recurrent certification of aircraft in the standard category only and for replacement of FAA Form 1362A still in effect. See chapter 8 of this order for instructions on completing FAA Form 8100-2 (see figure 3-1 of this order).

b. A standard airworthiness certificate remains valid as long as maintenance, preventive maintenance, and alterations are performed in accordance with 14 CFR parts 21, 43, and 91.

302. Application for Airworthiness Certificate. FAA Form 8130-6 is required whenever an airworthiness certificate is issued or amended. The application for a U.S. airworthiness certificate must be made by the registered owner or an agent who has a notarized letter of authorization (LOA) from the registered owner. The applicant must complete and sign the appropriate sections of FAA Form 8130-6 before submitting it to the FAA. Instructions for reviewing and completing FAA Form 8130-6 are found in chapter 8 of this order. Applicant instructions for completing FAA Form 8130-6 are contained in AC 21-12, Application for U.S. Airworthiness Certificate, FAA Form 8130-6.

303. Statement of Conformity.

a. FAA Form 8130-9 should be submitted to the FAA as required by 14 CFR §§ 21.53 and 21.130 under the following circumstances:

(1) By the applicant at the time the aircraft or articles thereof are submitted for FAA tests during the type certification program;

(2) By the applicant for each aircraft, aircraft engine, or propeller submitted for type certification; or

(3) By a TC holder or licensee manufacturing products under a TC—

(a) with the initial transfer of ownership of each product,

(b) upon application for the original issue of an airworthiness certificate, or

(c) an export airworthiness approval.

Note: For the purpose of this order, type certification programs include any tasks associated with the issuance of a TC or STC or the approval of FAA Form 337.

b. The FAA should review FAA Form 8130-9 for completion and ensure that all of the entries are typewritten or printed legibly in permanent blue or black ink. The form also must be signed in permanent blue or black ink by an authorized person who holds a responsible position in the manufacturing organization. If the certifier is also an FAA designee, the designee title should not be used. If the inspection and certification is delegated to a supplier by the applicant, a copy of the letter of delegation must be submitted to the FAA at the time of conformity.

304. Use of Parts Catalogs and Maintenance Manuals.

a. When an aircraft is submitted for airworthiness certification, a determination must be made that the aircraft is in conformance with its type design. This does not imply that every article must be subjected to a conformity inspection. Conformity inspections should only be conducted when, in the FAA's judgment, conformity to the type design for a particular article cannot be substantiated by any other means.

b. Conformity to the type design can only be established when a determination has been made that the article(s) conform to FAA-approved design data.

c. Parts catalogs or maintenance manuals may not be used to conduct conformity inspections. However, they should be used when applicable as an aid in establishing the configuration of a particular aircraft or in determining that the aircraft has been properly maintained.

d. MIDOs, MISOs, and CMOs/certificate management units (CMU) having certificate management responsibility for a particular manufacturer interface with the applicable aircraft certification office (ACO) to provide technical data and other pertinent information necessary to support the certification process. It is the applicant's responsibility to provide the type design data for those products and articles for which a conformity determination must be made.

305. Basic Eligibility Requirements. Before a standard airworthiness certificate can be issued, the applicant must show the following:

a. The aircraft conforms to its approved type design and is in a condition for safe operation.

b. Any major alterations were accomplished in accordance with an approved STC or other FAA-approved data.

c. The aircraft complies with all applicable ADs.

d. If altered while in another category, the aircraft continues to meet, or has been returned to, its approved type design configuration and is in a condition for safe operation.

306. Certification Procedures. The procedures described herein are consistent with any other specific procedures prescribed in paragraphs dealing with individual airworthiness categories.

a. Obtain from the applicant a properly executed FAA Form 8130-6, and any other documents required for certification. The applicant must have the form completed and the appropriate sections signed before submitting it to the FAA. The application for a U.S. airworthiness certificate must be made by the registered owner or an agent who has a notarized LOA from the registered owner.

b. Contact AFS-750 to determine that an application for airworthiness certification previously has not been denied. If it was denied, the reasons stated in the denial letter must be rectified before issuing an airworthiness certificate.

c. Arrange with the applicant to make available for inspection and review the aircraft, aircraft records, and any other data necessary to establish conformity to its type design.

d. Determine that the aircraft is properly registered in accordance with 14 CFR part 47.

Note: AFS-750 should be contacted to ensure that the N-Number has been properly issued through that branch. For example, has it been issued permanently or is it a temporary or reserved number that has not been permanently issued?

e. As applicable, ensure compliance with the noise standards of 14 CFR § 21.93(b), § 21.183(e), 14 CFR part 36, Noise Standards: Aircraft Type and Airworthiness Certification, or 14 CFR part 91. Also ensure compliance with the fuel venting and exhaust emission requirements of 14 CFR part 34, Fuel Venting and Exhaust Emission Requirements for Turbine Engine Powered Airplanes, and the applicable passenger emergency exit requirements of 14 CFR § 21.183(f).

f. Review records and documentation to the extent necessary to establish the following:

(1) All of the required records and documentation are provided for the aircraft, that is, an up-to-date approved flight manual, a current weight and balance report, an equipment list, the maintenance records, the FAA-accepted ICAW, the FAA-accepted maintenance manual(s), and any other manuals required by 14 CFR §§ 21.31, 21.50, 33.4, and 35.4; by 14 CFR part 23, Airworthiness Standards: Normal, Utility, Acrobatic, and Commuter Category Airplanes, § 23.1529; by 14 CFR part 25, Airworthiness Standards: Transport Category Airplanes, § 25.1529; by 14 CFR part 27, Airworthiness Standards: Normal Category Rotorcraft, § 27.1529; and by 14 CFR part 29, Airworthiness Standards: Transport Category Rotorcraft, § 29.1529. These documents must be in the English language.

(2) The aircraft is eligible by make, model, and serial number, using the TCDS, aircraft specifications, and/or applicable aircraft listing.

(3) The inspection records and technical data reflect that the aircraft conforms to the type design, that all required inspections and tests have been satisfactorily completed, and that the records are complete and reflect no unapproved design changes.

(4) The aircraft has been flight tested in accordance with paragraph 322 of this order, if required. If it has not been flight tested, issue the appropriate special airworthiness certificate prescribed in chapter 4 of this order. The flight test must be recorded in the aircraft records in accordance with 14 CFR § 91.417(a)(2)(i) as time-in-service as defined in 14 CFR part 1. Aircraft assembled by a person other than the manufacturer (for example, a dealer or distributor) must have been assembled and, when applicable, flight tested in accordance with the manufacturer's FAA-approved procedures.

(5) Large airplanes, turbojet, or turbopropeller multi-engine airplanes comply with the inspection program requirements of 14 CFR part 91, subpart E, Maintenance, Preventive Maintenance, and Alterations, or other CFR referenced therein. A supplemental structural inspection program also is required for certain large transport category airplanes. See AC 91-56, Continuing Structural Integrity Program for Airplanes.

(6) The TC holder or STC holder has furnished one set of FAA-accepted ICAW or one complete set of FAA-accepted maintenance manuals to the owner of the aircraft when the first standard airworthiness certificate is issued, or has procedures in place to ensure that FAA-accepted ICAW or maintenance manuals are provided upon delivery of the aircraft, as required by 14 CFR §§ 21.17(a) and (b), 21.31, and 21.50. The ICAW or maintenance manuals also are required for all products with a TC or STC. If no FAA-accepted ICAW or maintenance manuals are available, the ASI having certificate management responsibility over the manufacturer will contact the ACO and Aircraft Evaluation Group (AEG) to determine the status of the ICAW or maintenance manuals. The ASI is responsible for ensuring that the manufacturer and company designees are made aware of the status of the ICAW or maintenance manuals. No deliveries will be allowed before the ICAW or maintenance manuals are approved.

> **Note:** For additional information relative to imported products, see AC 21-23, Airworthiness Certification of Civil Aircraft, Engines, Propellers, and Related Products Imported to the United States.

g. Inspect the aircraft for the following:

(1) The nationality and registration marks and ID plate are displayed and marked in accordance with 14 CFR part 45. The information therein agrees with the application for airworthiness certification.

(2) All equipment, both required and optional, is properly installed and listed in the aircraft equipment list.

(3) Instruments and placards are correctly located, installed, and properly marked in the English language.

(4) All applicable ADs have been accomplished and appropriately recorded.

(5) The aircraft conforms to its approved U.S. TC and is in a condition for safe operation.

(6) All aircraft systems have been satisfactorily checked for proper operation.

(7) Operation of the engine(s) and propeller(s) has been checked in accordance with the aircraft manufacturer's instructions.

h. If it is determined that the aircraft meets the requirements for the certification requested, the ASI or authorized designee should—

(1) Make an aircraft logbook entry in accordance with paragraph 801a(8)(d) of this order.

(2) Issue FAA Form 8100-2 in accordance with paragraph 802 of this order.

(3) Complete sections V and VIII of FAA Form 8130-6, as appropriate, in accordance with the instructions contained in paragraph 801a(5) and 801a(8) of this order.

(4) Examine, review, and route the certification file in accordance with paragraph 807 of this order.

i. If the aircraft does not meet the requirements for the certification requested and the airworthiness certificate is denied, the ASI must write to the applicant stating the reason(s) for denying the certificate. The ASI also will attach a copy of the denial letter to the application and forward the application to AFS-750 to be made a part of the aircraft record.

307.-308. Reserved.

Section 2. New Aircraft

309. General. In addition to the instructions contained in section 1 of this chapter, this section provides further guidance material associated with the airworthiness certification of new aircraft being produced under a TC, a PC, an ODA, or a bilateral agreement.

310. Use of Designees. With the exception of paragraph 314 of this order, designees authorized under 14 CFR § 183.33 may perform the necessary inspections leading to the issuance of airworthiness certificates for completed products and articles thereof. A designee authorized under 14 CFR §§ 183.31 and 183.33 may be appointed to inspect and issue airworthiness certificates for aircraft manufactured under a PC, including articles thereof. The designees are under the direct supervision of the MIDO having certificate management responsibility over the manufacturer.

311. Certification Procedures. The ASI or authorized designee should follow the appropriate procedures in section 1 of this chapter in conjunction with any applicable steps listed in this order.

312. Aircraft Manufactured Under a TC (Without an FAA Production Approval).

a. The FAA has full responsibility for ensuring that each aircraft for which an airworthiness certificate is issued conforms to the type design and is in a condition for safe operation. Sufficient inspections of each aircraft must be conducted by ASIs or authorized designees.

b. Under the provisions of 14 CFR §§ 21.183(b) and 21.123, FAA Form 8100-2 may be issued for aircraft produced by a manufacturer who does not have an FAA production approval.

(1) All inspections conducted or witnessed by the FAA must be documented on FAA Form 8100-1, and all nonconformities must be corrected and documented before issuing an airworthiness certificate.

(2) The appropriate MIDO must establish and retain an FAA inspection record file for each aircraft manufactured without an FAA production approval to substantiate the basis for issuance of the airworthiness certificate. Nonconformities involving material review actions must be resolved through the certificating ACO before certification.

(3) FAA Form 8130-9 requirements found in paragraph 312d of this order apply.

c. FAA inspections should be adjusted for any significant changes in manufacturing systems, procedures, and personnel, or when major changes have been introduced into the aircraft.

d. FAA Form 8130-9 must be submitted by the applicant with each application for an original airworthiness certificate in accordance with 14 CFR § 21.183(b).

313. Aircraft Manufactured Under a Production Certificate (PC).

a. FAA inspections may be reduced to a minimum when aircraft are manufactured under the terms of a PC. The manufacturer must have demonstrated to the satisfaction of the FAA that it has the facilities, equipment, personnel, systems, and procedures that will ensure continuous conformity with the approved type design.

b. Aircraft manufactured under the terms of a PC are eligible for the issuance of an airworthiness certificate without further showing in accordance with 14 CFR § 21.183(a). The submission of FAA Form 8130-9 is not required, nor is it mandatory for the FAA to inspect each aircraft to determine conformity with the approved type design. The inspection frequency may be adjusted by the geographic MIDO, MISO, or CMO/CMU having certificate management responsibility over the certificate holder.

314. Aircraft Manufactured under a PC with a PC ODA. Manufacturers with a PC and an approved PC ODA may issue airworthiness certificates if it is an approved function within their PC ODA. Procedures for airworthiness certification are different for each manufacturer and must be approved by the FAA before PC ODA approval is granted. The organization management team (OMT) is the group of FAA personnel from the managing MIDO responsible for the oversight of the ODA. See Order 8100.15 for more information on ODA procedures and specific functions that may be authorized to an approved PC ODA.

315. Airworthiness Certification of Very Light Aircraft (VLA).

a. A VLA is considered a special class of aircraft under 14 CFR § 21.17(b). A VLA is defined as an airplane with a single engine (spark or compression-ignition), not more than two seats, a maximum certified takeoff weight of not more than 750 kilograms (approximately 1654 pounds), and a stall speed of not more than 45 knots calibrated airspeed in the landing configuration. The operation of these airplanes is limited to normal category maneuvers and to Visual Flight Rules (VFR), day only, under 14 CFR part 91.

b. All VLA are eligible to receive FAA Form 8100-2 under 14 CFR § 21.183(a) or (b) if the airplane has a TC and is manufactured under an FAA TC or PC. Because the VLA is type-certificated as a special class of aircraft under 14 CFR § 21.17(b), the category in block No. 4 on FAA Form 8100-2 must be identified as VLA-Special Class.

c. The import airworthiness certification requirements of 14 CFR § 21.183(c) are applicable to VLA designed to meet the criteria of the European Aviation Safety Agency (EASA) CS-VLA (formerly Joint Aviation Requirements (JAR) for VLA). The FAA type certification basis for import VLA with EASA CS-22 (formerly JAR 22) engines and propellers installed will be shown on the TCDS. The category in block No. 4 on FAA Form 8100-2 will be identified as VLA-Special Class for Imported VLA.

316. Aircraft Manufactured in a Bilateral Country.

a. New aircraft manufactured in a bilateral country will be inspected and certificated in a manner similar to that noted in paragraph 312 of this order, except that under a bilateral agreement, the CAA of the State of Manufacture must certify that the aircraft has been examined, tested, and found to meet its U.S. type design (see paragraph 511 of this order for a definition of a "new" product). An ASI or authorized designee must inspect the aircraft to determine airworthiness eligibility using the current TCDS before the 14 CFR § 21.183(c) airworthiness certificate is issued for the completed aircraft.

b. The extent of each inspection conducted depends on many factors requiring good judgment. All articles and completed aircraft should be given a thorough inspection upon delivery of the aircraft to the U.S. owner/operator.

c. The certifying statement from the CAA of the State of Manufacture must be submitted by the applicant with each application for the first U.S. airworthiness certificate to be issued for a particular aircraft. See paragraph 223b(8) of this order and 14 CFR §§ 21.183(c) and 21.185(c).

317. New Aircraft Manufactured Under the Provisions of 14 CFR § 21.6(b).

a. General. The following provides guidance and instructions on issuing a standard airworthiness certificate, under the provision of 14 CFR § 21.183(h), for new aircraft manufactured to a TC issued under 14 CFR § 21.21 or § 21.27. This requirement only applies to an applicant that does not hold the TC or a licensing agreement from the TC holder. Additionally, under the provision of 14 CFR § 21.6(b), an applicant may build and certificate only one new aircraft (one aircraft, one person, one time), and the applicant must have started manufacturing that aircraft before August 5, 2004. Typically, these aircraft are built from spare and surplus articles.

> **Note:** This guidance and instructions do not apply to an applicant that holds the TC or a licensing agreement from the TC holder to build an aircraft. These aircraft may be certificated only under the provisions of 14 CFR § 21.183(a) or (b).

(1) A person seeking to manufacture a new aircraft under the provisions of 14 CFR §§ 21.6(b) and 21.183(h) must demonstrate to the FAA that the manufacturing began before August 5, 2004. Documents that could prove manufacturing began before August 5,

2004, include items such as receipts for the purchase of articles, dated photographs, and dated information received from the FAA related to the manufacturing or certification process for the specific aircraft. This information must be provided to the FAA no later than the time of application for an original airworthiness certificate.

(2) If an applicant meets the requirement of paragraphs 317a and 317a(1) of this order, immediately contact your division manager, directorate manager, or managing office for approval to proceed with the project. The directorates will maintain a record of all projects approved under this paragraph. The following will be discussed with each applicant:

(a) Building aircraft from spare and/or surplus articles does not include the repair of destroyed aircraft. However, articles obtained from a destroyed aircraft may be used provided the articles are inspected and tested as required to ensure they are acceptable for installation and conform to the type design used to substantiate conformity. For such articles, the applicant must be in compliance with all applicable requirements of 14 CFR part 43.

(b) For any STC the applicant intends to incorporate into the aircraft during assembly, the applicant must own or have written permission from the STC holder/owner permitting the use of the STC.

(c) 14 CFR § 21.9 does not provide authority to produce articles needed for the assembly of a new aircraft built from spare and/or surplus articles.

b. Applicant Responsibilities. An applicant must show that the products and articles meet the airworthiness and environmental standards that are the basis for their individual approvals. In addition, the collectively assembled aircraft will satisfy the certification basis identified on the referenced type certificate and meet the applicable requirements of 14 CFR § 21.183(h) and any special conditions prescribed by the FAA. The applicant begins by submitting a design package to the cognizant (local) FAA ACO.

(1) The applicant will deliver to the local ACO a compatibility document/matrix to show what STCs are proposed for installation on each aircraft. The matrix should show that the applicant has reviewed the STCs and determined that there are no compatibility issues. The local ACO review is an evaluation as to how the applicant made the determination of compatibility. The compatibility document will be submitted to and accepted by the local ACO and certificate management ACO (CMACO) (the ACO that manages the current TC) before certifying the aircraft.

(2) The applicant will submit to its local ACO a complete design package for the aircraft. The type design data must meet the requirements in 14 CFR § 21.183(h) (as defined in FAA Order 8110.4, Type Certification) and be complete enough to allow the FAA to verify that any parts manufacturer approval (PMA) parts or technical standard order (TSO) articles meet the TC requirements. Only FAA-approved design data will be submitted. Field repair manuals or illustrated article breakdowns will not be submitted; they are FAA-accepted data, not FAA-approved data. Military manuals or drawings will not be submitted; they are not FAA-accepted or -approved data. In addition, the requirements of 14 CFR §§ 21.5, 21.50, and 21.99 need to be complied with as applicable. The following are items that should be

included in the design package. However, the ACO/CMACO may request additional documentation as needed.

(a) A master drawing list, which will consist of a complete description of each aircraft type design configuration, including all STCs and a list of the PMA parts, TSO articles and owner/operator-produced articles, which make up the configuration of each aircraft. The master drawing list will be the basis for determining conformity to a TC for each aircraft.

> **Note:** This list should include installation instructions, process specifications, the drawings or document number, revision level, engineering change orders in effect, the date prepared, and the approval dates of all material.

(b) The aircraft assembly plan, so that the ASI is able to determine when different assembly processes will take place.

(c) The proposed weight and balance process.

(d) The proposed flight test procedure. The applicant must flight test the aircraft in accordance with an FAA-approved production flight test procedure and flight check-off format as prescribed by 14 CFR § 21.127. An FAA flight test engineer will approve the flight test procedure.

(3) The local ACO will verify the design package is complete and then forward it to the CMACO that manages the current/original type certificate project. The CMACO and local ACO will perform a review and validation of the design data to ensure the data are approved and current. A DER will not perform this approval/review process. FAA Order 8110.4 contains more detailed requirements of a design package.

(4) The applicant will maintain and make available to the FAA, when requested, all supporting documents such as manufacturers' invoices, suppliers' affidavits, packing lists, articles lists, material certification sheets, and other acceptable records to provide traceability of raw stock and articles to their origin and to provide a basis of approval.

(5) The applicant will submit to the FAA a complete conformity folder for the aircraft and FAA Form 8130-9 certifying that the completed aircraft conforms to the FAA-approved data for this project at the time an application for an airworthiness certificate is submitted. In addition to the design package and STC compatibility documents, the conformity folder will include all STCs, inspection checklists, flight test records, and documentation for the specific aircraft being certificated. The build/inspection checklists will include the initials/stamp of the individuals who performed the work and/or inspections and, upon completion, the typed and/or printed name and signature of the applicant.

c. FAA Responsibilities. The ASI needs to explain to the applicant that because the applicant is not required to have a quality system the same as a PAH, it is the applicant's responsibility to demonstrate to the FAA that the aircraft conforms to the TC and is in a condition for safe operation. Also, when presenting anything to the FAA, the applicant must ensure compliance with all airworthiness requirements in place at the time of presentation. In

addition to the requirements of section 1 of this chapter, the FAA will use the following guidance to establish that the aircraft conforms to its type design as approved by the ACO/CMACO:

(1) FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (figure 3-5 of this order is a reproducible sample), will be used during the conformity process. The completed checklist will be included in the permanent airworthiness certification record package forwarded to AFS-750.

(2) The ASI must verify the aircraft is assembled from approved articles that conform to the FAA-approved type design for that particular model. The ASI must review the appropriate documents as presented by the applicant, substantiating FAA production approval status of these articles.

(3) The ASI must verify that any major changes to the approved design package have been approved by the appropriate ACO/CMACO.

(4) Used articles with established service life-limited parts must be proven airworthy and accompanied by appropriate historical records to substantiate time in service. Such evidence, together with other maintenance records, should be returned to the applicant and made a part of the aircraft historical records. Life-limited articles without historical records substantiating their eligibility cannot be accepted for certification on aircraft.

(5) The serial number of the aircraft does not have to appear on the aircraft specification, TCDS, or aircraft listing to be eligible for a standard airworthiness certificate. The aircraft serial number is used primarily for the purpose of individual identification of an aircraft. Under 49 U.S.C. § 44704, it need only be shown that the aircraft conforms to its FAA-approved TC and is in a condition for safe operation for the aircraft to be eligible for a standard airworthiness certificate.

(6) The ASI must ensure the applicant provides parts catalogs, assembly and/or maintenance manuals (as may be produced by the original equipment manufacturer), or the equivalent, for use as a guide by the FAA during all phases of the aircraft assembly inspections.

(7) After the product CMACO reviews the design package and finds it to be acceptable, the ASI uses the package and any other relevant information to develop a conformity inspection plan. The ASI reviews the plan with the applicant and the ACO and/or MIDO to determine the following:

(a) What processes, if any, are to be considered critical and require ASI mandatory inspection acceptance points.

(b) Where mandatory FAA conformity inspection points will be placed. At this point, the assembly plan can be used to forecast when these inspections will be accomplished. These inspections will not be bypassed by the applicant and may require a work stoppage if anything requiring inspection could be covered by further assembly.

(c) That the applicant's incoming articles and raw stock meet all TC requirements and are free of shipping and handling damage. Supporting documents such as manufacturer

invoices, supplier affidavits, packing lists, parts lists, material certification sheets, and other acceptable records will be maintained and made available to the FAA.

(d) That the applicant has a process in place to ensure any special tooling meets all needed calibration requirements (for example, torque wrenches, assembly jigs, any equipment used to calibrate flight instrumentation). This process must be traceable to the National Institute of Standards and Technology.

(e) That all articles are in compliance with approved design data. The following guidance will establish compliance:

1 FAA-approved articles obtained from a PAH and eligible for installation on this make and model will be free of shipping and handling damage and meet applicable type design data.

2 New articles fabricated will be properly manufactured, meet all applicable type design data requirements, and meet the airworthiness requirements of the FAA regulations applicable to the product on which the article is to be installed.

3 Used articles meet all applicable requirements of 14 CFR part 43. These parts will possess an airworthiness approval tag (FAA Form 8130-3) documenting that they are airworthy and approved for return to service.

4 The applicant will make available all purchase orders and documentation to provide traceability of articles to their origin and to provide the basis of approval for the article. These documents will be available at the time of certification and used to verify the accuracy of the article information contained in the master drawing list. The ASI will review the article traceability (origin) information at the time of certification.

(f) That the aircraft identification and registration marking is correct and has been properly processed through AFS-750.

(g) That there is a process to ensure the reporting of failures, malfunctions, and defects for continued airworthiness will be accomplished.

(8) The ASI will perform all conformity inspections.

(9) The ASI will witness the applicant weigh the aircraft to determine empty weight and center of gravity (CG). A weight and balance report will be submitted at the time of airworthiness certification. FAA-H-8083-1, Aircraft Weight and Balance Handbook, is a good source of guidance to use during this operation.

(10) The ASI will review the completed FAA-approved flight check-off form to verify flight test completion. The aircraft must be flight tested by the applicant in accordance with an FAA-approved production flight test procedure and flight check-off format as prescribed by 14 CFR § 21.127. A DER will not perform this approval/review process.

(11) The ASI will review the FAA Form 8130-9, certifying the completed aircraft conforms to the applicable FAA-approved data for this project. Any major deviations to the TC must be described on the statement of conformity and approved by FAA engineering. When submitting FAA Form 8130-9 for an aircraft built from spare and/or surplus parts, cross out the phrase in section IV, item B, "produced under type certificate" (see figure 3-6 of this order) and enter below that item the TC, specification, or listing numbers as applicable.

(12) A new ID plate will be reviewed by the FAA before installation on the aircraft to verify it meets the requirements of 14 CFR §§ 45.11 and 45.13. The builder's name would be that of the person who assembled the aircraft and not the name of the TC owner/manufacturer who builds the same model of aircraft (see figure 3-2 of this order). The model designation is that of the aircraft type design to which conformity is determined. The serial number selected by the builder should be clearly distinguishable from the TC holder's serial numbers; for example, the serial number could be the builder's name or initials together with a number.

(13) The FAA should list supporting documents such as manufacturer invoices, supplier affidavits, packing lists, parts lists, material certification sheets, and other acceptable records submitted by the applicant on FAA Form 8100-1, which becomes part of the checklist and inspection record. The basis for determining conformity with the FAA-approved data for this project will be established and become a matter of record for future reference.

(14) The MIDO/MISO/CMO/CMU or FSDO issuing the standard airworthiness certificate will ensure a copy of FAA Forms 8100-2 and 8130-6 are forwarded to the CMACO.

318. Reserved.

Section 3. Used Aircraft and Surplus Aircraft of the U.S. Armed Forces

319. General.

a. 14 CFR § 21.183(d) is applicable to used aircraft. Its provisions are applied to airworthiness certification of used aircraft (aircraft with time in service for other than production flight testing), including aircraft type certificated under 14 CFR § 21.29 but not eligible for certification under 14 CFR § 21.183(c), U.S.-manufactured civil aircraft that were exported and later returned to the United States for FAA certification, and surplus military aircraft. In addition to the provisions contained in section 1 of this chapter, this section provides further guidance material and procedures associated with airworthiness certification of these aircraft.

b. Obtaining an airworthiness certificate may not, by itself, be sufficient to meet all of the regulatory requirements for operating an aircraft in the United States. Operations under 14 CFR part 121 or part 135 may require additional inspections, tests, or the installation of additional instruments and/or equipment before operation.

320. Certification Procedures.

a. General. The FAA must follow the appropriate procedures listed in paragraph 306 of this order, along with the guidance and procedures in paragraphs 322 through 330 of this order when examining a used aircraft.

b. Repair data approved by another CAA. Increasingly the FAA is negotiating bilateral agreements that provide greater recognition to data approved by other CAAs for repairs to a used aircraft or its articles. Always consult the current version of a respective bilateral agreement to determine the acceptance of foreign repair data. If you have questions regarding the applicable provisions of any of these bilateral agreements, contact the Aircraft Certification Service, International Policy Office (AIR-40).

321. Conformity Determination.

a. Under 14 CFR § 21.183(d), an applicant is entitled to a standard airworthiness certificate for used aircraft (aircraft with time in service for other than production flight testing) (to include 14 CFR § 21.29 aircraft), or surplus military aircraft. The applicant must present acceptable evidence to substantiate conformance to the FAA-approved type design, including any modifications, for example, an STC or FAA Form 337, and that the aircraft has been inspected in accordance with the performance rules for 100-hour inspections as set forth in 14 CFR § 43.15 and found to be airworthy by one of the following persons:

(1) The manufacturer;

(2) The holder of an appropriately rated repair station certificate issued under 14 CFR part 145, Repair Stations;

(3) The holder of a mechanic certificate issued under 14 CFR part 65, Certification: Airmen Other than Crewmembers; or

(4) The holder of a certificate issued under 14 CFR part 121 and having a maintenance and inspection organization appropriately rated for the type of aircraft involved.

b. Under the provisions of 14 CFR § 21.183(d), it is the applicant's responsibility to present, with the application, evidence that substantiates conformity with the FAA-approved type design. The applicant must provide any inspection and maintenance records, service history, and any other records substantiating eligibility of the articles being used. The FAA is required to make a "finding of conformity" in accordance with 14 CFR § 21.183(d)(3), which consists of a review of the applicant's evidence showing how conformity was determined. Sufficient conformity inspections must be conducted on the aircraft and the applicant's evidence for the ASI to find the aircraft to be in conformity. If conformity cannot be determined, the inspection should be stopped until such time as the applicant presents new evidence showing such determination has been made.

c. Compliance with the inspection requirement can be demonstrated by one of the following methods:

(1) The applicant may have the aircraft inspected in accordance with the performance rules for 100-hour inspections set forth in 14 CFR § 43.15(c)(1).

(2) The FAA may accept a recent 100-hour inspection, whether performed in the United States or in any other country where the aircraft previously was located while the aircraft was on the U.S. registry:

(a) When the inspection was performed within 30 days before the date of application for a standard airworthiness certificate.

(b) When the inspection was accomplished by an approved maintenance organization appropriately certificated by the CAA of a country with which the United States has a bilateral maintenance agreement and that meets the requirements as defined in 14 CFR § 21.183(d)(2). See AC 21-23, appendix 4.

> **Note:** 14 CFR § 21.183(d)(2) exempts experimentally certificated aircraft that previously had been issued a different airworthiness certificate under 14 CFR § 21.183 from the 100-hour inspection set forth in 14 CFR § 43.15.

(3) The FAA may accept a previously performed inspection in lieu of a 100-hour inspection that meets the requirements set forth in appendix B of this order. In this circumstance, the applicant shall list the country, foreign repair station name, and number (if applicable) in section IV, block 6 of FAA Form 8130-6. Using permanent blue or black ink, the applicant shall strike/draw a line through the title of Section IV, Block 5 of FAA Form 8130-6, initial the line-through, and provide a statement that an equivalent inspection was performed that meets the requirements of appendix B to this order.

d. The process by which an applicant can meet these requirements depends on the aircraft involved and its history. This order is intended to address the most common situations encountered in certificating aircraft under 14 CFR § 21.183(d). Unique situations should be discussed in advance with the Aircraft Certification Service, Production and Airworthiness Division (AIR-200).

e. If the application is for an original airworthiness certificate, the maintenance rules of 14 CFR part 43 are not applicable. An example of this situation is when a new aircraft is delivered without an Export C of A and later returns to the United States for certification. Approval of major and minor changes to type design, which includes repairs, comes under the applicable provisions of 14 CFR §§ 21.95 and 21.97. All changes in type design and their approval must be appropriately documented and made part of the original airworthiness certification file. This approval must be documented in an attachment to FAA Form 8130-6.

322. Flight Testing. The FAA may require flight tests to determine that the aircraft is in a condition for safe operation. The applicant must consult with the FAA to establish a flight test procedure and flight checkoff form. The FAA must confirm that the aircraft has been flight tested by the applicant's pilot in accordance with that procedure. Flight tests may not be conducted by the FAA until an entry has been placed in the aircraft records to show that these tests have been satisfactorily completed by the applicant. The appropriate airworthiness certificate for this purpose is a special airworthiness certificate, for showing compliance with 14 CFR.

323. Issuance of Standard Airworthiness Certificates Under 14 CFR § 21.183(d)—Used Aircraft and Surplus Aircraft of the U.S. Armed Forces. Before a standard airworthiness certificate is issued, the applicant must show that the aircraft meets the FAA-approved type design for that aircraft. This includes aircraft type-certificated under 14 CFR § 21.29.

a. Upon initial contact by persons desiring a standard airworthiness certificate for a U.S. type-certificated aircraft located in a country other than the United States the FAA must:

(1) Determine whether the certification program can be accomplished in the desired location without placing an undue burden on FAA resources. If the determination results in a finding that the desired location places an undue burden on FAA resources and certification cannot be performed by an ASI, then advise the applicant that the use of an appropriate FAA designee is permissible; or

(2) Advise the applicant that a special flight permit for U.S.-registered aircraft (14 CFR § 21.197) or special flight authorization (SFA) for non-U.S.-registered aircraft may be issued under 14 CFR § 91.715 if it is necessary to relocate the aircraft for the airworthiness inspection. To ferry an aircraft to a location near the office or a mutually acceptable location, see chapter 7 of this order.

Note: Special flight permits and SFAs are not recognized by the ICAO.

(3) Discuss with the applicant any anticipated issues, the applicable certification procedures in section 1 of this chapter, the specific requirements listed herein, and any proposed certification time schedules.

b. Bilateral Agreements: Bilateral Airworthiness Agreement (BAA), Bilateral Aviation Safety Agreement (BASA)or Other International Agreements for Airworthiness.

(1) A bilateral agreement provides for close cooperation between the FAA and another CAA in the resolution of safety issues that might arise from in-service operation of any product exported or imported and approved or accepted under the terms of the agreement. When a safety concern arises, the FAA will work with and through the CAA of the other country to the maximum extent practicable, for example, through the exchange of information and technical opinions, to determine the appropriate corrective action required of operators or owners of affected U.S.-registered aircraft. The CAA is expected to keep the FAA informed of corrective actions that the CAA believes are required for safety on U.S.-registered aircraft.

(2) Service documents such as service bulletins and structural repair manuals approved by the bilateral CAA where an affected product is manufactured are considered to be FAA-approved data unless otherwise noted, provided the United States has a bilateral agreement with that country. However, service bulletins or other similar instructions classified as "mandatory" by the CAA are not mandatory in the U.S. regulatory system unless required by an AD. Therefore, owners or operators of affected U.S.-registered aircraft are not required under U.S. law to comply with service documents or directives issued by the CAAs of other countries unless an FAA AD is issued under 14 CFR part 39, Airworthiness Directives. However, for U.S. type-certificated products not currently on the U.S. registry, alternate procedures have been established involving the processing of foreign Mandatory Continuing Airworthiness Information (MCAI) that may affect the way the airworthiness certification requirements are met. The MCAI process is described in detail in paragraph 606 of this order.

(3) Appendix B to this order provides additional guidance on used aircraft under a bilateral agreement related to the acceptance of a 100-hour inspection, Export C of A, repair data, maintenance activities, and third country manufactured aircraft.

c. Third Party Agreements.

(1) The United States has bilateral agreements for reciprocal acceptance of Export Certificates of Airworthiness with a number of countries that contain a "third country provision," through which the CAA of one country may certify products that are manufactured in another bilateral country (see AC 21-23). This provision primarily was intended to provide the CAA of the exporting country, other than the State of Manufacture, with authority to certify to the United States that a product to be exported is in conformance and that the product is in a condition for safe operation. For example, an aircraft manufactured in Brazil is exported to New Zealand and operated under New Zealand registry. The aircraft is then sold to a buyer in the United States under this provision. If the New Zealand CAA issues a certification to the effect that the aircraft meets its U.S. type design and is in a condition for safe operation, the FAA will honor the certification (this is an example only).

(2) Because the United States has bilateral agreements with third party countries that attest to their competence in making conformity and airworthiness determinations, the FAA also will accept certifications of those aircraft that have been manufactured in the United States when the CAAs of these countries are willing to issue such certificates. Accordingly, a prospective buyer of a U.S.-manufactured aircraft located in a country other than the United States may request from the CAA of the bilateral third-party country a certification to the effect that the particular U.S.-manufactured aircraft has remained in or has been returned to its type design configuration and is in a condition for safe operation. When applicable, the certification should also contain information concerning any areas where the aircraft does not conform to its type design. This certification will be honored by the FAA as fulfilling the applicant's responsibility, but will not eliminate the inspection requirements mandated by 14 CFR § 21.183(d).

(3) Applicants must be cautioned that it may be impractical to obtain a U.S. airworthiness certificate for an aircraft operated under the registry of another country subsequent to the issuance of an Export C of A by the CAA of the State of Manufacture. Applicants should be able to (1) identify repairs and modifications, and any maintenance accomplished, and (2) document the equipment installed on the aircraft from the time the Export C of A was issued to the date of application for a U.S. airworthiness certificate. The applicant must show that the aircraft has remained in, or has been returned to its FAA-approved type design and is in a condition for safe operation. This may involve extensive inspections accomplished by designees, the CAA of the State of Manufacture, the aircraft manufacturer, and repair stations, before a U.S. airworthiness certificate may be issued.

(4) In cases where an aircraft manufactured outside the United States originally was exported to another country and the CAA of the State of Manufacture has issued an Export C of A attesting conformance to a design other than that approved by the FAA, the Export C of A may be useful to the applicant for establishing a configuration baseline for showing conformity to the FAA-approved design after modification. In these cases, or when the Export C of A may not be available, the applicant should obtain a statement from the CAA of the State of Manufacture that (1) certifies that when originally exported from that country the aircraft met its FAA-approved design, or (2) identifies any differences between the configuration identified in the original export certification and the FAA-approved design. The applicant must obtain the necessary technical data needed to convert the aircraft to its FAA-approved design configuration. This method may involve extensive inspections to be accomplished by designees, the CAA of the State of Manufacture, the aircraft manufacturer, or persons authorized under 14 CFR part 43, before the applicant is able to show conformity to the FAA-approved design. Attempts to obtain a U.S. airworthiness certificate by this method may prove to be impracticable for the applicant; in some instances, the applicant ultimately may be unable to obtain the desired U.S. airworthiness certificate.

(5) The FAA normally will not issue a U.S. airworthiness certificate for an aircraft manufactured outside the United States when no export certification is available. To be acceptable, aircraft manufactured outside the United States must be controlled under bilateral agreement procedures with assurance of conformity and condition provided by the CAA in the State of Manufacture. Without assurance in the form of an Export C of A or a certifying statement from the CAA of the State of Manufacture, there is no practical way for an applicant to show, or for the FAA to find, that the aircraft conforms to the FAA-approved type design and is in a condition for safe operation.

(6) Inspections by the FAA should be conducted to determine that no changes or modifications have been made, and that the condition of the aircraft has not deteriorated since its export certification by the CAA. Flight testing in accordance with chapter 4 and/or paragraph 322 of this order may be required before a U.S. airworthiness certificate is issued if the aircraft has been disassembled and reassembled since its export certification by the CAA.

(7) Other CAAs may charge a fee for their services. The applicant must be prepared to pay any such fee if the services of a CAA are requested. Any certification, inspection, or information documents provided to the applicant by the CAA must be in the English language.

d. Certification Procedures. In addition to meeting the certification requirements of section 1 of this chapter, the applicant must do the following:

(1) For U.S.-Manufactured, U.S. Type-Certificated Aircraft:

(a) Provide the original or an acceptable copy of the U.S. Export C of A obtained when the aircraft originally was exported from the United States This provides a baseline for the inspection to determine whether the aircraft meets its FAA TC and is used to determine whether there were any deviations to the type design as annotated on the Export C of A when the aircraft originally was exported. For example, equipment inconsistent with the CFR may have been incorporated to comply with the importing country's additional design requirements. All deviations must be resolved before a standard airworthiness certificate can be issued.

(b) Show that any aircraft article repaired while the aircraft was operating under non-U.S. registry was accomplished in accordance with methods acceptable to the FAA and that the article conforms to its type design. When this cannot be shown, the article must be removed.

(c) Show that any major alterations, modifications, or repairs performed while the aircraft was under non-U.S. registry was accomplished in compliance with FAA-approved data and that the aircraft conforms to its type design requirements. Under certain BASA Implementation Procedures for Airworthiness (IPA) and/or accompanying special arrangements (for example, with Australia, New Zealand, the United Kingdom, Germany, and Canada), the FAA has determined that the bilateral aviation authority may approve design data associated with major alterations, modifications, or repairs that do not rise to the level of an amended TC or STC on certain categories of aircraft for which either country is the State of Design. When these data are approved directly by authority, or by a delegated individual or organization, they would then be subsequently recognized as FAA-approved data under the bilateral provisions. FAA ASIs and designees should not require the applicant to seek additional FAA approval(s) for data so identified unless there is clear evidence that the data are specifically erroneous or otherwise unreliable. In all other situations, use of an FAA DER to expedite the design approval process should be encouraged for any major alteration or repair that may have been incorporated without FAA approval. Persons authorized under 14 CFR § 43.7 must record in the maintenance records that the major alterations, modifications, or repairs conform to FAA-approved data.

> **Note:** In appendix B, table B-1, and paragraph 320 of this order, information is provided related to the FAA's acceptance of specific repair data, conditions under which the repair data are acceptable, and the applicable bilateral agreement countries.

(d) Obtain FAA approval for or resolve any deviation from the type design.

(e) Show that any maintenance performed while the aircraft was under non-U.S. registry was performed in accordance with methods acceptable to the FAA and that the aircraft conforms to its approved type design or properly altered condition.

(f) The applicant for an airworthiness certificate whose aircraft has been maintained, modified, or repaired while under foreign registry must ensure that all records required by 14 CFR § 91.417(b) are translated into the English language.

(2) For Non-U.S.-Manufactured, U.S. TypeCertificated Aircraft:

(a) Furnish a certifying statement from the CAA of the State of Manufacture or a certifying statement from the CAA with whom the United States has a third party bilateral agreement, attesting that the aircraft conforms to its type design and is in a condition for safe operation.

(b) Obtain FAA approval for any non-FAA-approved major modifications, alterations, or repairs incorporated in the aircraft.

(c) Obtain FAA approval for or resolve any deviations from the type design, for example, those annotated on the CAA's Export C of A.

(d) Show that any aircraft article overhauled, repaired, or modified while the aircraft was operating under non-U.S. registry was accomplished in accordance with methods acceptable to the FAA and that the article conforms to its type design. When this cannot be shown, the article must be removed.

324. Screening of Surplus Military Aircraft. This paragraph provides guidance and instructions on establishing the basic eligibility of surplus military aircraft for airworthiness certification under the provisions of 14 CFR § 21.183(d) when an FAA TC has been issued under the provisions of 14 CFR §§ 21.21, 21.27, and 21.29.

a. Initial Screening Inspection. The initial screening inspection will determine whether the aircraft has reasonable potential for airworthiness certification. Inspections may be performed on some, but not all, surplus military aircraft before they are offered for sale to the public. Aircraft determined to have "no potential" for airworthiness certification during the initial screening inspection, for example, because of an initial lack of military service historical/modification records, may later be presented for rescreening if adequate cause is demonstrated by the owner. The FAA inspector performing the initial inspection or reinspection must submit FAA Form 8130-10, Surplus Military Aircraft Inspection Record (figures 3-9 and 3-10 of this order) for each inspection to the appropriate manufacturing inspection office (MIO). Aircraft may be considered potentially certifiable when the manufacturer's ID plate is installed and the aircraft military records are adequate to determine the historical background of the aircraft. At a minimum, the initial screening inspection must consist of the following:

(1) An examination of the aircraft ID plate(s) to determine military model number, serial number, date of manufacture, and any other pertinent data.

(2) A review of military maintenance manuals and modification records affecting the subject aircraft regarding its current status of mandatory maintenance, for example, the military equivalent to FAA ADs. The records may be considered adequate for potential certification purposes when the following is determined:

(a) All major repairs/modifications and military safety-of-flight items have been properly documented in accordance with prescribed military directives.

(b) The historical records document all known replacement of articles.

(c) The historical records document a current list of life-limited articles and their current status on the subject aircraft.

(d) The following are typical DOD records that should be reviewed during the screening inspection process. These examples are for surplus Army military aircraft:

1 DA Form 2408-5, Equipment Modification Record;

2 DA Form 2408-13, Aircraft Status Information Record;

3 DA Form 2408-15, Aircraft Historical Record for Aircraft; and

4 DA Form 2408-16, Aircraft Component Historical Record.

(e) The historical records document the maximum weight limits, airspeeds, and operating regimes that have been exceeded as described in the applicable military flight manuals, technical directives, and aircraft specifications. If any of these limits have been exceeded, this information must be recorded on FAA Form 8130-10. The FAA will not make any determination as to what, if any, adverse effects may have resulted from exceeding the described limits. If these limits are exceeded, the MIDO will contact the cognizant FAA engineering office for its appraisal.

(3) An examination of the aircraft to determine its degree of completeness, state of preservation and repair, and general condition. This examination is not necessarily all-inclusive, is for information only, and does not guarantee approval of an airworthiness certificate.

b. Aircraft Condition. The condition of the aircraft and its historical records, as found during the initial screening inspection, must be noted on FAA Form 8130-10 for each aircraft. This information will be used for future reference. Upon completion of the above, the FAA inspector who conducted the initial screening inspection must render an opinion as to whether the aircraft has reasonable potential for an airworthiness certificate.

c. Screening Report. All inspection findings must be recorded on FAA Form 8130-10. The original form and appropriate attachments must be forwarded to the appropriate MIO within 5 working days after completion of the inspection (see figures 3-9 and 3-10 of this order).

325. Statement of Conformity—Military Aircraft (FAA Form 8130-31). This form is used to identify deviations from FAA-approved type design on new military-commercial derivative aircraft (see FAA Order 8110.101 for use of this form).

a. Contractual agreements between segments of the military services and a manufacturer may require the manufacturer to provide FAA Form 8130-31 (see figure 3-7 of this order), for each aircraft procured. Such aircraft must be type-certificated and, in most cases, be manufactured under the terms of a PC.

b. By mutual agreement between the FAA and the military services, the FAA may have certain other responsibilities related to the issuance of FAA Form 8130-31. Except as provided in this paragraph, and in any specific requirements in the memorandum of understanding, the

normal inspection and surveillance procedures relating to production under a TC or under a PC should be met.

c. The completed original FAA Form 8130-31 must be given to the authorized military representative. The cognizant MIDO, or FSDO when delegated, must forward a copy, including those issued by ODA manufacturers, to the appropriate MIO for indefinite retention. The copies may be forwarded either separately or all in one package at the end of the military contract or at the discretion of the directorate.

> **Note:** If such military aircraft are eventually sold as surplus and presented for civil certification, it is the applicant's responsibility to furnish FAA Form 8130-31 with the application when the form is necessary as a part of the airworthiness determination. If the applicant cannot obtain the original or a legible copy of the completed FAA Form 8130-31, the ASI or authorized designee may request a copy through their supervising office the appropriate MIO.

326. Issuance of Standard Airworthiness Certificates, Surplus Military Aircraft. FAA Form 8100-2 (figure 3-10) may be issued when the applicant shows, and the FAA finds, that the aircraft conforms to the FAA-approved type design (including applicable modifications incorporated by an amendment to the TC or STC) and is in a condition for safe operation. A standard airworthiness certificate may be issued for a surplus military aircraft under 14 CFR § 21.183(d) when an FAA TC has been issued under 14 CFR §§ 21.21, 21.27, or 21.29. A copy of Form 8130-31, which should have been issued to the military service at the time the aircraft was accepted, must be made available to the FAA representative or authorized designee by the applicant. This document is necessary to establish basic conformity, including documenting any deviations that may have been in existence at the time of manufacture. This procedure applies to a complete aircraft operated by the military service and released as a complete aircraft from the military service. Adequate military maintenance records must be made available to assist in determining conformity.

327. Certification Requirements (Applicant). The following are documents and other information that are typically used by an applicant to show compliance with the airworthiness certification requirements of 14 CFR § 21.183(d):

a. Proof of ownership in the form of a DOD Bill of Sale is considered to be recordable evidence and proof of ownership. DOD Form 1427, Notice of Award, Statement, and Release Document (DD 1427), is considered to be proof of ownership only. The DD 1427 is not a bill of sale and cannot be used for registering the aircraft. When an aircraft is sold for recovery of articles or reduction to scrap, a bill of sale is not issued.

b. Compliance and conformity to the TC, taking into account any STCs or any amendments to the TC. The applicant must present evidence that the aircraft conforms to the type design. The type design data used to determine conformity must be shown in the applicant's records. The following are typical records that may be used:

(1) Records maintained by the military, the manufacturer, or any other prior owner pertaining to the manufacturing, inspection, maintenance, and operation of the aircraft. Military records may be used to determine continuous conformity while the aircraft was in military service.

(2) FAA Form 8130-31 or prior airworthiness certificate issued by the FAA, if any.

(3) Records such as the TCDS or aircraft specifications that establish, by manufacturer's serial number, that the complete aircraft was produced under an FAA PC and the extent to which it was so produced.

(4) When articles have been replaced since original manufacture, the applicant must show that they are airworthy and eligible for installation.

(5) Records of any articles that have been fabricated or assembled by the applicant establishing that they conform to the type design.

(6) Records of engines, gearbox assemblies, landing gear, instruments, or other articles establishing that they originally conformed to the type design and have been maintained in accordance with applicable FAA requirements. Military maintenance and/or FAA-approved repair station records may be used for this purpose.

(7) When military records are being used to substantiate any portion(s) of conformity to FAA-approved type design, the applicant must show that the records for that specific aircraft or article are complete and accurate.

(8) An approved flight test procedure and flight checkoff form must be established (when a flight test is deemed necessary) and each aircraft must be flight tested by the applicant's pilot in accordance with that procedure. The FAA production flight test will not be conducted until an entry has been placed in the aircraft records to show that these tests have been satisfactorily completed by the applicant.

(9) The civil and military model designation is reflected on the ID plate (14 CFR § 45.13), and all airworthiness documentation and airworthiness certificates (including the certificate of registration) reflect the civil and military model designation and serial number. The military designation and serial number must be placed in parentheses in the same blocks as the civil model designation and serial number.

c. FAA Form 8130-9 with an outline explaining determination of conformity.

d. A current weight and balance report from an actual weighing of the aircraft.

e. Records that indicate that all applicable ADs have been complied with.

f. Records of inspection required by 14 CFR § 21.183(d)(2).

328. Certification Procedures. The following are some of the typical steps taken by the FAA representative or his authorized designee toward certification of the aircraft in conjunction with those specified in paragraph 306 of this order:

a. Ensure that the application is complete and correct.

b. Inspect the aircraft and review records to determine the following:

(1) Compliance and conformity with the TC, taking into account any STCs or any amendments to the TC.

(2) Compliance with applicable ADs.

(3) Currency of weight and balance information from actual weighing; it is recommended that the ASI observe the actual weighing.

(4) Which inspections and tests, including flight tests, are required to find that the aircraft is in a condition for safe operation. The FAA production flight test requirements will be coordinated with FAA flight test personnel.

(5) That an approved flight test procedure and flight checkoff form has been established (when a flight test is deemed necessary) and that each aircraft is flight tested by the applicant's pilot in accordance with that procedure. The FAA production flight tests will not be conducted until an entry has been placed in the aircraft records to show that these tests have been satisfactorily completed by the applicant.

(6) Compliance with the registration and marking requirements of 14 CFR parts 47 and 45.

(7) That the civil model designation is reflected on the ID plate and that all of the airworthiness documentation, including registration and airworthiness certificates, reflect the civil and military model designation and serial number. The military designation and serial number should be placed in parentheses in the same blocks as the civil model designation and serial number.

329. Examples of Forms. Figures 3-1 through 3-16 of this order provide examples of forms used in the certification process.

Figure 3-1. Sample FAA Form 8100-2, Standard Airworthiness Certificate, New Aircraft (Face Side)

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION-FEDERAL AVIATION ADMINISTRATION

STANDARD AIRWORTHINESS CERTIFICATE

1 NATIONALITY AND REGISTRATION MARKS	2 MANUFACTURER AND MODEL	3 AIRCRAFT SERIAL NUMBER	4 CATEGORY
N12345	Douglas DC-6A	43219	Transport

5 AUTHORITY AND BASIS FOR ISSUANCE

This airworthiness certificate is issued pursuant to 49 U.S.C. § 44704 and certifies that, as of the date of issuance, the aircraft to which issued has been inspected and found to conform to the type certificate therefore, to be in condition for safe operation, and has been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation, except as noted herein.

Exceptions:

None

6 TERMS AND CONDITIONS

Unless sooner surrendered, suspended, revoked, or a termination date is otherwise established by the FAA, this airworthiness certificate is effective as long as the maintenance, preventative maintenance, and alterations are performed in accordance with Parts 21, 43, and 91 of the Federal Aviation Regulations, as appropriate, and the aircraft is registered in the United States.

DATE OF ISSUANCE	FAA REPRESENTATIVE	DESIGNATION NUMBER
01/20/2000	E.R. White *E.R. White*	NE-XX

Any iteration, reproduction, or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years or both.
THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE FEDERAL AVIATION REGULATIONS.

FAA Form 8100-2 (04-11) Supersedes Previous Edition

Figure 3-2. Sample FAA Form 8100-2, Standard Airworthiness Certificate, Aircraft Assembled from Spare and Surplus Products and Articles (Face Side)

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION-FEDERAL AVIATION ADMINISTRATION

STANDARD AIRWORTHINESS CERTIFICATE

1 NATIONALITY AND REGISTRATION MARKS	2 MANUFACTURER AND MODEL	3 AIRCRAFT SERIAL NUMBER	4 CATEGORY
N54321	Jackson 47G-4	3191HG	Normal

5 AUTHORITY AND BASIS FOR ISSUANCE

This airworthiness certificate is issued pursuant to 49 U.S.C. § 44704 and certifies that, as of the date of issuance, the aircraft to which issued has been inspected and found to conform to the type certificate therefore, to be in condition for safe operation, and has been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation, except as noted herein.

Exceptions:

None

6 TERMS AND CONDITIONS

Unless sooner surrendered, suspended, revoked, or a termination date is otherwise established by the FAA, this airworthiness certificate is effective as long as the maintenance, preventative maintenance, and alterations are performed in accordance with Parts 21, 43, and 91 of the Federal Aviation Regulations, as appropriate, and the aircraft is registered in the United States.

DATE OF ISSUANCE	FAA REPRESENTATIVE	DESIGNATION NUMBER
01/20/2000	E.J. Smith *E.J. Smith*	SW-XX

Any iteration, reproduction, or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years or both.
THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE FEDERAL AVIATION REGULATIONS.

FAA Form 8100-2 (04-11) Supersedes Previous Edition

Figure 3-3. Sample FAA Form 8100-2, Standard Airworthiness Certificate, VLA Under 14 CFR § 21.183(a) (Face Side)

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION-FEDERAL AVIATION ADMINISTRATION

STANDARD AIRWORTHINESS CERTIFICATE

1 NATIONALITY AND REGISTRATION MARKS	2 MANUFACTURER AND MODEL	3 AIRCRAFT SERIAL NUMBER	4 CATEGORY
N18CE	Lite-Flight LF-1A	LF010	VLA Special Class

5 AUTHORITY AND BASIS FOR ISSUANCE

This airworthiness certificate is issued pursuant to 49 U.S.C. § 44704 and certifies that, as of the date of issuance, the aircraft to which issued has been inspected and found to conform to the type certificate therefore, to be in condition for safe operation, and has been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation, except as noted herein.
Exceptions:

None

6 TERMS AND CONDITIONS

Unless sooner surrendered, suspended, revoked, or a termination date is otherwise established by the FAA, this airworthiness certificate is effective as long as the maintenance, preventative maintenance, and alterations are performed in accordance with Parts 21, 43, and 91 of the Federal Aviation Regulations, as appropriate, and the aircraft is registered in the United States.

DATE OF ISSUANCE	FAA REPRESENTATIVE	DESIGNATION NUMBER
01/28/2001	A.J. Kool *A.J. Kool*	CE43

Any iteration, reproduction, or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years or both.
THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE FEDERAL AVIATION REGULATIONS.

FAA Form 8100-2 (04-11) Supersedes Previous Edition

Figure 3-4. Sample FAA Form 8100-2, Standard Airworthiness Certificate, EASA CS/VLA Under 14 CFR § 21.183(c)

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION-FEDERAL AVIATION ADMINISTRATION

STANDARD AIRWORTHINESS CERTIFICATE

1 NATIONALITY AND REGISTRATION MARKS	2 MANUFACTURER AND MODEL	3 AIRCRAFT SERIAL NUMBER	4 CATEGORY
N7569K	Aero-K AK-1-A	AK901	VLA Special Class

5 AUTHORITY AND BASIS FOR ISSUANCE

This airworthiness certificate is issued pursuant to 49 U.S.C. § 44704 and certifies that, as of the date of issuance, the aircraft to which issued has been inspected and found to conform to the type certificate therefore, to be in condition for safe operation, and has been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation, except as noted herein.

Exceptions:

None

6 TERMS AND CONDITIONS

Unless sooner surrendered, suspended, revoked, or a termination date is otherwise established by the FAA, this airworthiness certificate is effective as long as the maintenance, preventative maintenance, and alterations are performed in accordance with Parts 21, 43, and 91 of the Federal Aviation Regulations, as appropriate, and the aircraft is registered in the United States.

DATE OF ISSUANCE	FAA REPRESENTATIVE	DESIGNATION NUMBER
01/24/2001	Joe Mendez *Joe Mendez*	NW78

Any iteration, reproduction, or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years or both.
THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE FEDERAL AVIATION REGULATIONS.

FAA Form 8100-2 (04-11) Supersedes Previous Edition

Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles

CHECKLIST AND INSPECTION RECORD

Project No.:

Date:

Ref. FAA Form 8130-6,

Dated:

Subject: Original Airworthiness Certificate of Aircraft Built from Spare and Surplus Articles.

A. Builder's Name and Address:

B. Aircraft Type: Airplane _____, Rotorcraft _____, Other _____
(specify)

C. Type Certificate No. _____ and Model _____ to Which Conformity Shown.

D. Name and Address of Type Certificate Holder: _____

_____

_____

E. Builder's Assigned Serial Number:

F. Registration No.:

G. Identification Plate Location:

H. Aircraft Inspected By:

(Signature FAA Inspector)

(District Office No. and Location)

FAA Form 8130-11 (04-11) Page 1
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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

INSPECTION DATA

Period of Inspection: From To

Conducted At:

Circle as appropriate.
Explain "No" items under "Remarks"

1.	Did the applicant submit a properly executed Application for Airworthiness Certificate, FAA Form 8130-6?	Yes No
2.	Did the applicant submit a completed Statement of Conformity, FAA Form 8130-9?	Yes No
3.	Did the applicant submit acceptable evidence in the form of inspection records, technical data, and any other data as required to establish conformity with the approved type design?	Yes No
4.	Is the aircraft eligible for a standard airworthiness certificate, by make and model, as established by the applicable type data sheet, aircraft specification, or aircraft listing?	Yes No
5.	Is the aircraft properly registered in accordance with part 47 and is the identification number properly displayed in accordance with part 45?	Yes No
6.	Is a fireproof identification plate containing the information required by part 45 installed in a location as prescribed part 45?	Yes No
7.	Is the serial number assigned by the builder one which cannot be confused with the type certificate holder's serial number?	Yes No
8.	Do the inspection records submitted by the applicant show that the aircraft has satisfactorily completed all required inspections and tests?	Yes No
9.	Has the aircraft been flight tested in accordance with the type certificate holder's FAA-approved procedures?	Yes No

FAA Form 8130-11 (04-11) Page 2
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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

10.	Has the flight test been appropriately recorded in the aircraft records?	Yes No
11.	Have all records and documentation been provided for the aircraft, as required by part 21?	Yes No
12.	Are all substitutions of articles and all changes to the type design appropriately FAA-approved?	Yes No
13.	Have internal inspections of gearboxes, rotor components, and other similar articles been conducted to determine that all articles are within type design tolerances?	Yes No
14.	Are all articles with service-life limits within such limits? (Show under “remarks” on the record of service life limit articles how the time in service was proved.)	Yes No
15.	Are all required items of equipment installed and are they functioning properly?	Yes No
16.	Are all required placards and instrument markings installed?	Yes No
17.	Have all applicable airworthiness directives been complied with?	Yes No
18.	Based upon inspection and the evidence submitted by the applicant, has the aircraft been found to conform to the type design?	Yes No
19.	Based upon inspection, has the aircraft been found in condition for safe operation?	Yes No

FAA Form 8130-11 (04-11) Page 3
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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

Remarks:

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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

CONFORMITY RECORD

List and identify below, the documents submitted by the applicant and used by the inspector in determining conformity with the FAA approved type design. This should include reference to Conformity Inspection Records, FAA Form 8100-1, by date or serial number; the Statement of Conformity, FAA Form 8130-9; submitted by the applicant; supplemental type certificates, if applicable; airworthiness directives; and any other data submitted as evidence that the aircraft conforms to the type design, in accordance with 14 CFR § 21.183(d)(1).

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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

WEIGHT AND BALANCE

As part of the original airworthiness inspection, the aircraft should be weighed to determine that ranges of weight and center of gravity are within the limits originally approved, as specified in the appropriate aircraft specification or type certificate data sheet.

The Weight and Balance Report should include the following:

1. Leveling Means.
2. Location of Datum.
3. Most Forward C.G. Loading.
4. Most Rearward C.G. Loading.
5. If ballast is used, the amount and location should be given.

EQUIPMENT LIST

All items of equipment which are replaceable on the aircraft shall be listed with the weights and moment arms.

Note: A verified copy of the applicant's Weight and Balance Report and Equipment List containing the above information may be substituted for this page.

FAA Form 8130-11 (04-11) Page 6
Local Reproduction Authorized

Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

RECORD OF SERVICE LIFE COMPONENTS INSTALLED

	Article	Part or Assembly Number	Serial Number	Total Time on Article	Service Time Remaining Before Retirement
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14.					

REMARKS:

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Figure 3-5. Sample FAA Form 8130-11, Checklist and Inspection Record, Aircraft Built from Spare and Surplus Articles (Continued)

FLIGHT TEST REPORT

Refer to, or attach a copy of, the approved flight test check-off form completed by the FAA flight test representative.

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Figure 3-6. Sample FAA Form 8130-9, Statement of Conformity, Aircraft Built from Spare and Surplus Articles

Form Approved
OMB: 2120-0018
XX/XX/XXX

STATEMENT OF CONFORMITY

Section I - Aircraft

1. Make	2. Model
3. Serial No.	4. Registration No.

Section II - Engine

1. Make	2. Model
3. Serial No.	

Section III - Propeller

1. Make	2. Hub Model
3. Blade Model	4. Hub Serial No.
5. Blade Serial No.	

Section IV -Certification

I hereby certify that:

☐ A. I have complied with Section 21.33(a).

☐ B. The aircraft described above, produced under type certificate (14 CFR 21 Subpart F), conforms to its type certificate, is in a condition for safe operation, and was flight checked on _____
(Date)

☐ C. The engine or propeller described above, persented herewith for type certification, conforms to the type design therefore.

☐ D. The engine or propeller described above, produced under type certificate (14 CFR 21 Subpart F), conforms to its type certificate and is in a condition for safe operation. The engine or, if applicable, the variable pitch propeller was subjected by the manufacturer to a final operational check on _____
(Date)

Deviations:

Signature of Certifier	Title
Organization	Date

FAA Form 8130-9 (4-11) Supercedes Previous Edition NSN: 0052-00-025-3002

Figure 3-6. Sample FAA Form 8130-9, Statement of Conformity, Aircraft Built from Spare and Surplus Articles (Continued)

INSTRUCTIONS

This form should be submitted to a representative of the FAA under the following circumstances:

1. By the applicant for a type certificate or a supplemental type certificate at the time he presents an aircraft or articles thereof to the FAA for tests.
2. By the applicant for a type certificate or a supplemental type certificate for each engine or propeller submitted for type certification.
3. By the type certificate holder or licensee manufacturing products under a type certificate, upon the initial transfer by him of the ownership of each product or upon application for the original issue of an aircraft airworthiness certificate, or an Airworthiness Approval Tag (FAA Form 8130-3).

This form should be completed as follows:

Section I. Aircraft. Complete this section when certification covers an aircraft.

Section II. Engine. Complete this section when certification covers an engine.

Section III. Propeller. Complete this section when certification covers a propeller.

Section IV. Certification.

Item A. Check this block when an aircraft or article thereof is presented for flight or ground tests during type certification or supplemental type certification.

Item B. Check this box when the holder or licensee of a type certificate, initially transfers the ownership of an aircraft manufactured under the type certificate, or applies for the original issuance of an airworthiness certificate.

Item C. Check this block when an engine or propeller is presented for type certification.

Item D. Check this block when an engine or propeller is presented for airworthiness approval and insert the date the product completed a final operational check.

The certification must be signed by an authorized person who holds a responsible position in the manufacturing organization.

Paperwork Reduction Act Statement:
This information on FAA form 8130-9, Statement of Conformity, is collected for the purpose of obtaining mandatory information by an applicant as stated below. The FAA uses the information to maintain and update the current database for products and articles during the type certification program and for original airworthiness approvals. The burden associated with completing Form 8130-9 is 48 minutes. Providing this information is mandatory by an applicant at the time the aircraft or articles thereof submitted for FAA tests during the type certification program, for each aircraft, aircraft engine, or propeller submitted for type certification, and by a TC holder or licensee manufacturing products under a TC: (a) with the initial transfer of ownership of each product; (b) upon application for original use of an airworthiness certificate; or (c) Export Airworthiness Approval. The information is protected under the provisions of the Privacy Act and the Privacy Act system of records DOT/FAA-801, Aircraft Registration System. An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number associated with this collection of information is 2120-0018.

FAA Form 8130-9 (4-11) Supercedes Previous Edition NSN: 0052-00-025-3002

Figure 3-7. Sample FAA Form 8130-31, Statement of Conformity—Military Aircraft

DEPARTMENT OF TRANSPORTATION
FEDERAL AVIATION ADMINISTRATION

STATEMENT OF CONFORMITY – MILITARY AIRCRAFT

A. DESCRIPTION OF AIRCRAFT

Manufacturer	Model	Manufacturer's Serial No.	Military Model Designation	Military Serial No.
Safeaire	B-50	26442	OV-1	59-26791

Contract No.	Registration Markings Displayed on Subject Aircraft
646-21-4641	AF-9127

B. CONTRACTOR INFORMATION

Contractor Name and Facility Address:

S. A. Clause, Inc
1 NP Avenue
Vancouver, WA 98121

FAA Delegated Organization? ☐ Yes ☒ N/A
FAA Delegated Organization:
FAA Repair Station Number:

☐ New Aircraft Manufactured Under Production Certificate (Complete Section E)

☐ Modification of In-Service Aircraft Using FAA Approved Type Design (Complete Section F)

C. GROUND INSPECTION AND FLIGHT TEST

Contractor's Ground Inspection and Flight Test		FAA Ground Inspection and/or Flight Test	
Date Completed	Approved By	Date Completed	Approved By
_____	(Signature of Authorized Company Representative)	_____	(Signature of Authorized Representative)
	(Title)		(FAA Office, Delegated Organization, Repair Station, or .Designee No.)

D. MILITARY ACCEPTANCE OF DEVIATIONS TO FAA APPROVED TYPE DESIGN

The cognizant receiving military authority acknowledges the identified deviations to the FAA approved type design for the subject commercial derivative aircraft and is responsible to determine airworthiness and final acceptance for the removal, or installation of, modifications, installations, or articles listed hereon.

_____ _____
(Responsible Military Airworthiness Authority or Authorized Designee) (Date)

FAA FORM 8130-31 (04-11) SUPERCEDES FAA FORM 8130-2 Page _____ of _____ Pages

Figure 3-7. Sample FAA Form 8130-31, Statement of Conformity—Military Aircraft (Continued)

STATEMENT OF CONFORMITY – MILITARY AIRCRAFT

E. STATEMENT OF CONFORMITY – INITIAL DELIVERY OF NEW AIRCRAFT

This certifies that the aircraft described above has been manufactured in conformity with the data forming the basis for Type Certificate No. _____, and any revision or modification thereof approved by the FAA, dated as of _____.
The subject aircraft has also been modified by installation of the following FAA Approved type design changes (i.e. Supplemental Type Certificate(s), Manufacturer's approved Service Bulletin, etc.):

STC Number/Service Bulletin/Other	Description of Modification	STC Holder

☐ **There are no identified deviations to FAA approved type design.**

☐ **MILITARY DEVIATIONS. FAA conformity inspection(s) of the aircraft configuration have identified deviations in configuration from the FAA approved type design which are not FAA certified or approved, but may be required or specified by military contract. The deviations may include articles which have been either (REMOVED) or (ADDED) to the FAA approved type design configuration. (Deviations are listed in Part G of this Statement of Conformity)**

F. STATEMENT OF CONFORMITY – MODIFICATION OF IN-SERVICE MILITARY AIRCRAFT

The subject aircraft has been modified by installation of the following FAA Approved Supplemental Type Certificate(s) with the consent and permission of the Supplemental Type Certificate (STC) holder(s), Manufacturer's Approved Service Bulletins, or other listed FAA approved data. FAA inspection(s) of the subject installation(s) have determined that the modifications have been performed in accordance with the approved data, and any revision or modification thereto approved by the FAA, dated as of _____.

STC Number/Service Bulletin/Other	Description of Modification	STC Holder

☐ **There are no identified deviations to FAA approved type design.**

☐ **MILITARY DEVIATIONS. FAA conformity inspection(s) of the aircraft configuration have identified deviations in configuration from the FAA approved type design which are not FAA certified or approved, but may be required or specified by military contract. The deviations may include articles which have been either (REMOVED) or (ADDED) to the FAA approved type design configuration. These deviations are listed in Part G of this statement of conformity.**

FAA FORM 8130-31 (04-11) SUPERCEDES FAA FORM 8130-2 Page _____ of _____ Pages

Figure 3-7. Sample FAA Form 8130-31, Statement of Conformity—Military Aircraft (Continued)

STATEMENT OF CONFORMITY – MILITARY AIRCRAFT

G. DEVIATIONS TO FAA APPROVED TYPE DESIGN

The following articles are part of the FAA approved type design configuration and have been found by FAA inspection to be (REMOVED), or never installed, on the subject aircraft. Installation of these articles will be required to restore the aircraft to an FAA approved configuration:

Type Design (Article Part Number and Installation Drawing Number)	Article Description	Quantity

The following articles are not included as part of the FAA approved type design configuration and have been found by FAA inspection(s) to be installed on the subject aircraft. Removal of these articles will be required to restore the aircraft to an FAA approved configuration:

FAA FORM 8130-31 (04-11) SUPERCEDES FAA FORM 8130-2 Page _____ of _____ Pages

Figure 3-8. Sample FAA Form 8130-10, Surplus Military Aircraft Inspection Record, No Reasonable Potential for Standard Certification

SURPLUS MILITARY AIRCRAFT INSPECTION RECORD (Initial Screening)	SUSPENSE DATE

Section A – DESCRIPTION OF AIRCRAFT

1. MANUFACTURER	2. MODEL		3. SERIAL NUMBER	
	A. CIVIL	B. MILITARY	A. CIVIL	B. MILITARY
Hiller	UH–23D	OH–23D	1160	59–2680

4. DATE OF MANUFACTURE	5. TOTAL TIME ON ACFT.	6. FAA T.C. DATA SHEET	7. P.C. NO.
January 22, 19XX	7640:50	4H10	607

Section B – LOCATION OF AIRCRAFT

1. LOCATION	2. CONTACT AT SITE	3. TELEPHONE (*Incl. area code*)
MASDC/ILMP Davis-Mothan AFS Tucson, Arizona	R.B. Smith	602–793–4321

Section C – INSPECTION REQUESTER

1. DATE	2. NAME	3. TITLE	4. MILITARY BRANCH
September 15, 19XX	R.B. Smith	Chief, Aircraft Disposal Branch	USAF

5. ADDRESS	6. TELEPHONE (*Incl. area code*)
(Same as Location)	

Section D – FAA INSPECTION RESULTS

1A. AIRCRAFT HISTORICAL RECORDS AVAILABLE —		B. AIRCRAFT MODIFICATION RECORDS AVAILABLE —		C. RECORDS CONSIDERED —
FROM	TO	FROM	TO	☐ ADEQUATE ☒ INADEQUATE FOR A/W CERTIFICATION
December 15, 19XX	March 23, 19XX	May 10, 19XX	November 16, 19XX	

Record the following only if TC Data Sheet/Specification Limits Exceeded

2A. MAXIMUM GROSS WEIGHT			2B. MAXIMUM AIRSPEED	
(1) T.C. DATA	(2) MILITARY ACTUAL	(3) LENGTH OF TIME	(1) T.C. DATA	(2) MILITARY ACTUAL

3. CONDITION OF AIRCRAFT (*Data plate affixed*: ☒ YES ☐ NO)

Questionable condition

4. DISPOSITION (*"X" one*)

A. ☐ AIRCRAFT HAS REASONABLE POTENTIAL FOR STANDARD CERTIFICATION

B. ☒ AIRCRAFT HAS NO REASONABLE POTENTIAL FOR STANDARD CERTIFICATION

FAA INSPECTOR (*Typed and signed*)	OFFICE	TELEPHONE (FTS)	INSPECTION DATE
James A. Street James A. Street	NM–XX	964–7708	September 12, 19XX

Section E – ACTION
(*Reserved for AFS–180*)

RECONCILIATION OF EXCEEDED T.T. LIMITS	NOTIFICATION OF DOD/DSA	
RESULTS	CALL	LETTER

FAA Form 8130-10 (04-11)

Figure 3-9. Sample FAA Form 8130-10, Surplus Military Aircraft Inspection Record, Reasonable Potential for Standard Certification

SURPLUS MILITARY AIRCRAFT INSPECTION RECORD (Initial Screening)	SUSPENSE DATE

Section A – DESCRIPTION OF AIRCRAFT

1. MANUFACTURER	2. MODEL		3. SERIAL NUMBER	
	A. CIVIL	B. MILITARY	A. CIVIL	B. MILITARY
Hiller	UH–23D	OH–23D	1160	59–2680

4. DATE OF MANUFACTURE	5. TOTAL TIME ON ACFT.	6. FAA T.C. DATA SHEET	7. P.C. NO.
January 22, 19XX	7640:50	4H10	607

Section B – LOCATION OF AIRCRAFT

1. LOCATION	2. CONTACT AT SITE	3. TELEPHONE (*Incl. area code*)
MASDC/ILMP Davis-Mothan AFS Tucson, Arizona	R.B. Smith	602–793–4321

Section C – INSPECTION REQUESTER

1. DATE	2. NAME	3. TITLE	4. MILITARY BRANCH
September 15, 19XX	R.B. Smith	Chief, Aircraft Disposal	USAF

5. ADDRESS	6. TELEPHONE (*Incl. area code*)
(Same as Location)	

Section D – FAA INSPECTION RESULTS

1A. AIRCRAFT HISTORICAL RECORDS AVAILABLE —		B. AIRCRAFT MODIFICATION RECORDS AVAILABLE —		C. RECORDS CONSIDERED —
FROM	TO	FROM	TO	
December 15, 19XX	March 23, 19XX	May 10, 19XX	November 16, 19XX	☒ ADEQUATE ☐ INADEQUATE FOR A/W CERTIFICATION

Record the following only if TC Data Sheet/Specification Limits Exceeded

2A. MAXIMUM GROSS WEIGHT			2B. MAXIMUM AIRSPEED	
(1) T.C. DATA	(2) MILITARY ACTUAL	(3) LENGTH OF TIME	(1) T.C. DATA	(2) MILITARY ACTUAL

3. CONDITION OF AIRCRAFT (*Data plate affixed*: ☒ YES ☐ NO)

Good condition

4. DISPOSITION (*"X"* one)

A. ☒ AIRCRAFT HAS REASONABLE POTENTIAL FOR STANDARD CERTIFICATION

B. ☐ AIRCRAFT HAS NO REASONABLE POTENTIAL FOR STANDARD CERTIFICATION

FAA INSPECTOR (*Typed and signed*)	OFFICE	TELEPHONE (FTS)	INSPECTION DATE
James A. Street James A. Street	NM–XX	964–7708	September 12, 19XX

Section E – ACTION
(*Reserved for AFS–180*)

RECONCILIATION OF EXCEEDED T.T. LIMITS	NOTIFICATION OF DOD/DSA	
RESULTS	CALL	LETTER

FAA FORM 8130-10 (04-11)

Figure 3-10. Sample FAA Form 8100-2, Standard Airworthiness Certificate, Surplus Military Aircraft

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION-FEDERAL AVIATION ADMINISTRATION

STANDARD AIRWORTHINESS CERTIFICATE

1 NATIONALITY AND REGISTRATION MARKS	2 MANUFACTURER AND MODEL	3 AIRCRAFT SERIAL NUMBER	4 CATEGORY
N34561	Hughes 369A (OH-6A)	1141 (1701)	Normal

5 AUTHORITY AND BASIS FOR ISSUANCE

This airworthiness certificate is issued pursuant to 49 U.S.C. § 44704 and certifies that, as of the date of issuance, the aircraft to which issued has been inspected and found to conform to the type certificate therefore, to be in condition for safe operation, and has been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation, except as noted herein.
Exceptions:

None

6 TERMS AND CONDITIONS

Unless sooner surrendered, suspended, revoked, or a termination date is otherwise established by the FAA, this airworthiness certificate is effective as long as the maintenance, preventative maintenance, and alterations are performed in accordance with Parts 21, 43, and 91 of the Federal Aviation Regulations, as appropriate, and the aircraft is registered in the United States.

DATE OF ISSUANCE	FAA REPRESENTATIVE	DESIGNATION NUMBER
01/20/2000	B. Porter *B. Porter*	SW-XX

Any iteration, reproduction, or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years or both.
THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE FEDERAL AVIATION REGULATIONS.

FAA Form 8100-2 (04-11) Supersedes Previous Edition

Chapter 4. Special Airworthiness Certification

Section 1. General Information

4000. General. The procedures in this chapter provide guidance material associated with airworthiness certification and the issuance of FAA Form 8130-7. 14 CFR part 21, subpart H, Airworthiness Certificates, and subpart I, Provisional Airworthiness Certificates, prescribe the procedural requirements for airworthiness certification for restricted, multiple, limited, primary category aircraft (PCA), light-sport, experimental, and provisional. Procedures also are provided for issuance of special flight permits.

4001. Application for Airworthiness Certificate. FAA Form 8130-6 is required whenever an airworthiness certificate is issued or amended. This includes changes to operating limitations that may have been prescribed. The applicant or authorized agent must complete the appropriate sections and sign the application. A program letter also must be submitted to the FAA with any other document(s) required for the requested certification. The program letter must be reviewed to ensure all of the requirements of 14 CFR § 21.193(d) have been met.

4002. Certification Procedures. The following procedures are common for issuance of FAA Form 8130-7, consistent with any other specific procedures that may be prescribed in other paragraphs dealing with individual airworthiness categories. In no case may any aircraft be operated unless there is an appropriate and valid airworthiness certificate issued for that aircraft. The FAA must conduct any inspections necessary to verify the certification procedures listed below, including any other inspections found appropriate for that certification. For amateur-built aircraft, see paragraph 4096 of this order; for LSA, see paragraphs 4038 and 4081 of this order.

a. Record Inspection. The FAA representative must do the following:

(1) Obtain from the applicant a properly executed FAA Form 8130-6 and any other documents required for the certification.

(2) For experimental certification, obtain from the applicant a program letter that identifies the aircraft, the purpose of the certificate, the area over which the operations are to be conducted, and the duration of the program.

(3) Review the documentation provided by the applicant to determine that the registration requirements of 14 CFR part 47 have been met, and ensure that the aircraft is marked in accordance with 14 CFR part 45.

(4) Check with AFS-750 to determine if a denial letter exists for the particular aircraft. This may assist the ASI in determining aircraft eligibility.

(5) Review the aircraft records to determine that any required maintenance and inspections have been accomplished. Records should be complete and reflect no unapproved design changes.

(6) Arrange to review any inspection or technical data needed to establish conformity to type design.

(7) Review the applicant's weight and balance data for accuracy and currency for the aircraft submitted.

(8) Determine that the aircraft has been flight tested, if required. If it has not been flight tested, issue an appropriate FAA Form 8130-7, for showing compliance with the airworthiness regulations (14 CFR §§ 21.189(a)(2), 21.185(d) and 91.319(b)). The flight test must be recorded in the aircraft records and certify that the requirements of 14 CFR § 91.319(b) have been met. Flight test time is included as "time-in-service," as defined by 14 CFR part 1.

(9) Determine the aircraft complies with all applicable ADs.

Note: Each AD contains an applicability statement specifying the product to which it applies. ADs, unless specifically limited, apply to the make and model set forth in the applicability statement regardless of category. The TC and airworthiness certification categories are used to identify the product affected. For further guidance see AC 39-7, Airworthiness Directives.

(10) Establish that all required documentation and records have been provided for the aircraft, that is, an up-to-date approved flight manual, equipment list, and maintenance records and manuals as required by certain airworthiness parts of the CFR.

b. Aircraft Inspection. The FAA must arrange with the applicant to make the aircraft available for inspection to determine the following:

(1) The aircraft is eligible by make and model using the TCDS, aircraft specification, or aircraft listing, as applicable.

(2) The ID plate meets the requirements of 14 CFR § 45.11, as applicable.

(3) The information on the ID plate is correct, matches the information on FAA Form 8130-6, and is in accordance with 14 CFR § 45.13, as applicable.

(4) The aircraft nationality and registration marks are in accordance with part 45.

Note: 14 CFR § 21.182 (a) and (b)(2) (amateur-built or primary kit-built only and LSA) requires each aircraft to be identified as described in 14 CFR § 45.11. In addition, if the aircraft previously was registered in the United States, it is acceptable to continue use of the duplicate pink copy of Aeronautical Center Form 8050-1, Aircraft Registration Application, as temporary authority to operate. However, it first must be verified that AFS-750 has received the Aircraft Registration Application as a temporary authority to operate.

(5) The flight control system operates properly.

(6) The engine(s), propeller(s), and associated instruments operate in accordance with the manufacturer's instructions.

(7) The pitot static system and associated instruments operate properly.

(8) The instruments are marked in accordance with the approved flight manual or any other data used for aircraft involved in a type certification program.

(9) All modifications have been inspected and recorded, and are in a condition for safe operation.

(10) An Emergency Locator Transmitter (ELT) is installed, as required in accordance with 14 CFR § 91.207.

c. Certificate Issuance.

(1) If the aircraft meets the requirements for the certification requested, the FAA must:

(a) Make an aircraft logbook entry.

(b) Issue FAA Form 8130-7.

(c) Complete sections V and VIII of FAA Form 8130-6, as appropriate, in accordance with the instructions contained in chapter 8 of this order.

(d) Examine, review, and route the certification file in accordance with the instructions contained in chapter 8 of this order.

(2) If the aircraft does not meet the requirements for the certification requested, and the airworthiness certificate is denied, the FAA must:

(a) Write a letter to the applicant stating the reason(s) for denying the airworthiness certificate.

(b) Attach a copy of the denial letter to FAA Form 8130-6 and forward to AFS-750 to be made part of the aircraft record.

4003. Special Airworthiness Certificates.

a. FAA Form 8130-7 (GPO pad only) is used for all aircraft that are certificated in categories other than STANDARD.

b. An experimental certificate for R&D, showing compliance with regulations, crew training, or market surveys is effective for 1 year or less after the date of issuance.

c. The duration of amateur-built, exhibition, air racing, light-sport category, and LSA experimental certificates will be unlimited unless the FAA finds good cause that a specific period should be established. Any other necessary operating limitations will be attached to this form; see paragraphs 4043, 4084, 4103, and 4113 of this order.

d. However, experimental certificates issued for the purpose of flight testing of amateur-built, exhibition, air racing, and light-sport are effective for a period of time necessary to complete the flight testing required by 14 CFR § 91.319(b). If the testing is not completed within the terms of the certificate, the aircraft must be submitted for reinspection to the FAA and a new certificate issued.

e. When an exhibition or air racing aircraft has successfully completed its flight testing, the applicant may apply for a special airworthiness certificate of unlimited duration. If granted, the word "Unlimited" will be placed in the Expiry block of the certificate. In addition, the aircraft's operating limitations will be revised to reflect applicable limitations. A certificate of unlimited duration must not be issued until the aircraft has successfully completed its flight testing. This paragraph does not imply that unlimited expiry is granted automatically; each case must be evaluated to ensure the request is warranted and the applicant has provided evidence of compliance with 14 CFR § 91.319(b)(1) and (2).

f. Operating limitations generally applicable to nonstandard aircraft are printed on the reverse side of FAA Form 8130-7 (figure 4-1 of this order). The FAA also may prescribe additional operating limitations deemed necessary for the special purpose involved. The additional limitations will be enumerated on a separate sheet, dated, signed, and attached to FAA Form 8130-7. See the applicable sections of this chapter for information regarding additional operating limitations.

g. The first page of the operating limitations should be typed on FAA-branded paper.

> **Note:** FAA-branded paper may be provided to FAA designees for the specific purpose of issuing aircraft operating limitations. It is imperative that the FAA designee understand that the FAA-branded paper is to be used for issuing operating limitations only and will be signed using the designee's name (typed and signed) and title as an FAA designee.

4004. Reserved.

Section 2. Restricted Airworthiness Certification

4005. General. The procedures in this section provide guidance for the issuance of FAA Form 8130-7 for aircraft type-certificated in the restricted category in accordance with 14 CFR §21.25, § 21.29, or Civil Air Regulation (CAR) 8.

a. Aircraft type-certificated in the restricted category for agricultural operations in accordance with the provisions of CAR 8.10(b) may continue to be operated under the provisions of the original certification. The type certification basis for aircraft in the restricted category is determined in accordance with 14 CFR § 21.25, except as specified in paragraph 4007a(2) of this order.

b. Non-U.S.-manufactured aircraft that are type-certificated in the restricted category under 14 CFR § 21.29 are eligible for FAA Form 8130-7 under 14 CFR § 21.185(c).

c. Non-U.S.-manufactured aircraft type-certificated in any other category under 14 CFR § 21.29 are not eligible for certification in the restricted category unless the aircraft was issued FAA Form 8100-2 under 14 CFR § 21.183(c) and subsequently was modified in accordance with section 3 of this chapter. In this instance, 14 CFR § 21.185(b) is the basis for issuing the restricted airworthiness certificate. By virtue of being previously certificated in the United States, the aircraft is no longer considered to be an import aircraft.

d. An aircraft must be type-certificated under 14 CFR § 21.25 or CAR 8 before a restricted category airworthiness certificate can be issued. In the case of an aircraft previously type-certificated in another category (for example, standard category) and modified for a restricted special purpose operation under 14 CFR § 21.25 or CAR 8, the previously approved TC and the STC or approved data can be considered as the equivalent of a restricted TC. The TC and STC or approved design data should define the design parameters that make up the restricted category TCDS.

4006. Certification Procedures. The FAA representative should follow the appropriate procedures outlined in paragraph 4002 of this order.

4007. Eligibility.

a. The following aircraft are eligible for a special airworthiness certificate, in the restricted category, are as follows:

(1) Aircraft type-certificated in the restricted category and manufactured under a TC or a PC;

(2) Aircraft type-certificated in the restricted category that were surplus military aircraft of the U.S. Armed Forces and manufactured in the United States;

(3) Aircraft that are imported to the United States and type-certificated in the restricted category in accordance with § 21.29 and that have been certified by the country/jurisdiction of manufacture to conform to the approved type design; and

(4) Type-certificated, standard category aircraft that have been modified and approved for a restricted purpose under 14 CFR § 21.25, including aircraft type-certificated under CAR 8.10(b) for agricultural operations.

b. Aircraft may be considered eligible for a special airworthiness certificate, in the restricted category, when found to comply with the noise requirements of 14 CFR part 36, in accordance with 14 CFR § 21.185(d).

c. Modified aircraft that were either surplus military aircraft of the U.S. Armed Forces or previously type-certificated in another category (14 CFR § 21.185(b)), must satisfy the following to be considered eligible for a special airworthiness certificate in the restricted category:

(1) The modification conforms to the FAA-approved data forming the basis for the restricted TC.

(2) The aircraft is in a good state of preservation and repair and is in a condition for safe operation.

4008. Special Purpose Operations. As authorized under the provisions of 14 CFR § 21.25, special purpose operations for restricted category aircraft include the following:

a. Agricultural (spraying, dusting, seeding, and livestock and predatory animal control).

b. Forest and wildlife conservation.

c. Aerial surveying (photography, mapping, and oil and mineral exploration).

d. Patrolling (pipelines, power lines, and canals).

e. Weather control (cloud seeding).

f. Aerial advertising (skywriting, banner towing, airborne signs, and public address systems).

g. Any other operation specified by the FAA. (When an applicant wishes to obtain approval for a new special purpose operation previously not approved under 14 CFR § 21.25(b)(7), application with supporting justification should be made by letter to the Aircraft Certification Service, Aircraft Engineering Division, Attn.: AIR-110. If accepted, AIR-110 will provide public notice with request for comment in the Federal Register on the new proposed special purpose operation and will consider all comments before making a final decision.)

4009. Statement of Conformity. The holder or licensee of a TC for a restricted category aircraft manufactured in the United States must, on the initial transfer of ownership or application for an original airworthiness certificate for products manufactured under that TC, give the FAA Form 8130-9 (14 CFR §§ 21.130 and 21.183(b)).

4010. Operating Limitations. All aircraft type-certificated in the restricted category must be operated in compliance with the limitations prescribed in 14 CFR § 91.313. In addition, for turbine-powered aircraft (TPA), piston-powered aircraft over 800 horsepower, rotorcraft, large aircraft (over 12,500 pounds), and any other aircraft as deemed necessary, the limitation concerning pilot qualifications, as identified in paragraph 4128b(8) of this order, should be prescribed. The FAA also may prescribe additional operating limitations as deemed necessary for the special purpose involved. The additional limitations will be enumerated on a separate sheet, and then dated, signed, and attached to FAA Form 8130-7.

4011. Agricultural Aircraft. The following provides guidance concerning the means of approval for increases in the maximum certificated weight for aircraft certificated in the restricted category for agricultural operations. 14 CFR § 21.101 sets forth the provisions that determine the regulations applicable to a change in a TC. Such changes would include an increase in the maximum certificated takeoff weight for an aircraft, which is defined in 14 CFR part 43 as a major alteration:

a. If 14 CFR parts 21 and 23 are the original certification basis shown on the TCDS for a restricted category TC, then compliance with the applicable CFR must be shown to substantiate and approve a change to the TC. The provisions of CAR/Civil Aeronautics Manual (CAM) 8 may not be applicable and the TCDS should be reviewed for applicability.

b. Whether or not a data sheet exists, if CAR 8 is the basis for issuance of a restricted category TC, compliance with the applicable sections of CAR/CAM 8 normally will be used to approve the TC change, including increases to the maximum gross weight originally established on the TCDS, placards, or flight manual (for example, TCDS 2A10 for the Piper PA-25 series). However, if CAR 8 does not provide adequate standards with respect to the change, 14 CFR § 21.101(b) requires compliance with the regulations in effect on the date of application for the change (14 CFR part 23) that the FAA finds necessary for safety.

4012. Airworthiness Certificate. When an application is made for a restricted category airworthiness certificate requesting one of the special purposes listed in 14 CFR § 21.25(b)(1) through (6) that is listed in the TCDS certification basis or is approved by an installed STC, the purpose will be entered in block A of FAA Form 8130-7. Carriage of cargo for compensation or hire is prohibited by 14 CFR § 91.313 for any restricted category operation, including any special purpose of 14 CFR § 21.25(b)(1) through (b)(7). However, 14 CFR § 91.313 does not apply to nonpassenger carrying civil rotorcraft external load operations conducted under 14 CFR part 133, Rotorcraft External-Load Operations. If the requested purpose is to include the carriage of cargo that is incidental to the owner/operator's business, FAA Form 8130-7 must have the following words entered in block A (Purpose): "Title 14 CFR § 21.25(b)(7) (other), SEE ATTACHED LIMITATIONS." For all purposes listed in 14 CFR § 21.25(b)(1) through (7), the following words must be entered in block C (Flight) (after crossing out the words "From" and "To"): "SEE ATTACHED OPERATING LIMITATIONS," and "SEE ITEM D, REVERSE SIDE OF THIS CERTIFICATE."

> **Note:** In no case will "Carriage of Cargo" (or similar language) be entered as a purpose in block A on FAA Form 8130-7.

a. When the carriage of cargo is incidental to the aircraft owner/operator's business, the prescribed limitations will then identify the authorized cargo that may be carried.

b. The additional limitations attached to the airworthiness certificate will specify the aircraft model, N-Number, and serial number. All restricted category airworthiness certificates issued for aircraft whose special purpose operation includes the carriage of cargo will include the following limitations:

(1) This aircraft is prohibited from carrying cargo for compensation or hire. Carriage of cargo is limited to such cargo that is incidental to the aircraft owner/operator's business which is other than air transportation. The authorized cargo that may be carried on this aircraft is _____.
(Applicability: All)

(2) This rotorcraft is prohibited from carrying cargo for compensation or hire unless it is engaged in an FAA-approved 14 CFR part 133 external load operation.
(Applicability: Rotorcraft conducting 14 CFR part 133 external load operations)

(3) This aircraft may not be operated over any foreign country without the special permission of that country. Evidence of that permission must be carried aboard the aircraft, along with the U.S. airworthiness certificate, and made available to the FAA or CAA in the country of operation upon request.
(Applicability: All)

(4) This aircraft has not been shown to meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation.
(Applicability: All)

c. Additional operating limitations as prescribed in 14 CFR § 91.313 will be assigned for all special purposes of restricted category aircraft operations and are part of FAA Form 8130-7.

d. The FAA will ensure that the owner/operator is briefed and clearly understands that the restricted aircraft is prohibited by 14 CFR § 91.313(c) from the carriage of cargo for compensation or hire. A record of this briefing should remain with the certification file.

4013. Display of Marks (Restricted). The FAA must determine that the aircraft displays nationality and registration marks in accordance with 14 CFR § 45.21 and that the word "RESTRICTED" is displayed in accordance with 14 CFR § 45.23.

4014.-4015. Reserved.

Section 3. Multiple Airworthiness Certificates

4016. General. Under the provisions of 14 CFR § 21.187, an applicant for an airworthiness certificate in the restricted category, and in one or more other categories, is entitled to the certificate if compliance is shown with the requirements of each category when the aircraft is configured for that category. In addition, the applicant must show that the aircraft can be converted from one category to another by removing or adding equipment by simple mechanical means.

4017. Certification Procedures. The FAA must follow the applicable procedures in paragraph 4002 of this order.

4018. Eligibility.

a. An aircraft in the normal, utility, acrobatic, transport, or limited category may be eligible for multiple airworthiness certificates if it can be converted to the restricted category in accordance with 14 CFR §§ 21.25 and 21.187. An aircraft type-certificated in both the normal and commuter categories is eligible for an airworthiness certificate in only one category at a time.

b. The procedure for multiple airworthiness certification is a combination of the procedures covering standard and restricted categories, or limited and restricted categories, plus the following:

(1) The FAA must witness the applicant's method of compliance with 14 CFR §§ 21.187(a)(1) and 21.187(a)(2), and make a determination that the detailed conversion instructions covering the change from one category to the other are adequate. The operating limitations must contain a statement that each conversion from one category to the other must be in accordance with such instructions.

(2) If one of the airworthiness categories is in the standard configuration, and the aircraft will be used for the carriage of passengers for compensation or hire in the standard configuration, the FAA must evaluate the restricted special purpose operation to determine whether the airworthiness inspection prescribed in 14 CFR § 21.187(b) will be required each time the aircraft is converted from the restricted category to the standard category. Normally, if the special purpose operation involves carriage of maximum loads or if the aircraft is subject to contamination by pesticides or herbicides, the airworthiness inspection must be required and an operating limitation to this effect should be prescribed. It should be noted that the foregoing does not apply when the normal category operating limits have been exceeded while operating in the restricted category; however, the procedures in paragraph 4022 of this order do apply.

(3) If the FAA determines that the airworthiness inspection by the FAA or an appropriately certificated mechanic is not necessary because of the nature of the special purpose, the operating limitations should so specify.

(4) To ensure that each conversion of aircraft with multiple certificates is recorded, an operating limitation must prescribe that an aircraft maintenance record entry, signed by the person making the conversion, be made each time the aircraft is converted from one category to the other. If an inspection in accordance with 14 CFR § 21.187(b) is required, the entry must be signed by the FAA or an appropriately rated mechanic.

4019. Special Purpose Operations. 14 CFR § 21.25 specifies the special purpose operations for restricted category aircraft. Special purpose operations are not specified for limited and standard category aircraft.

4020. Airworthiness Certificates. If the requested multiple certification covers restricted and limited categories, FAA Form 8130-7, with appropriate conditions, will be issued for each category. In addition, appropriate operating limitations will be issued with each certificate. For example, if the requested multiple certification covers a restricted category and a standard category aircraft, FAA Form 8100-2 will be issued for the standard classification, and FAA Form 8130-7, with appropriate conditions and operating limitations, will be issued for the restricted category.

4021. Operating Limitations. All restricted category aircraft must be operated in accordance with 14 CFR § 91.313, in addition to the operational requirements of 14 CFR part 91. However, additional operating limitations may be prescribed by the FAA as deemed necessary for safe operation. The appropriate operating limitations will be enumerated on a separate sheet and attached to FAA Form 8130-7. The issuance date of the operating limitations must be shown on the face side of FAA Form 8130-7.

4022. Operating with Multiple Airworthiness Certificates, Standard and Restricted. The primary requirements for issuance of a standard airworthiness certificate are that the aircraft is found to be in conformity with its type design and in a condition for safe operation. Any operations outside of the normal category operating limitations while operating in the restricted category (either weight or maneuvering), unless approved for that aircraft, may make it impossible to return the aircraft to the normal category unless a complete engineering evaluation is made. The evaluation must determine what effect the overweight and maneuvering loads had on the aircraft's structure and articles. This assists in establishing an inspection and/or replacement program that will return the aircraft to a condition for safe operation in the standard configuration. Unknown stresses and possible hidden damage to the aircraft structure may have resulted because of the weights, maneuvers, and speeds used for the restricted category operations. Therefore, to retain eligibility for return to the standard airworthiness classification after being operated in the restricted category, the following would apply:

a. While being operated in the restricted category, any changes made to the aircraft that are to be retained when in normal category operation, or any operations that are outside of the normal category operating limitations, must be approved in accordance with the regulations and procedures applicable to an aircraft having a standard airworthiness certificate.

b. If the TCDS for an aircraft includes the normal and restricted categories, and the maximum gross weight and/or operating limitations for the restricted category are higher than that for the normal category, the aircraft is NOT eligible for operation in the standard classification after having been operated in the restricted category unless—

(1) The TCDS specifically states that the aircraft is eligible for operation in the normal category after having been operated at the limitations applicable to the restricted category; or

(2) If the TCDS does not have such a note or any other reference, the operations outside of the normal category operating limitations including increased gross weights must be FAA-approved.

4023. Display of Marks (Restricted or Limited). The FAA should determine whether a method has been provided for displaying the word "RESTRICTED" or "LIMITED." The applicant should be advised that it is the owner/operator's responsibility to display the word "RESTRICTED" or "LIMITED" when the aircraft is in that corresponding configuration (14 CFR § 45.23(b)).

4024.-4026. Reserved.

Section 4. Limited Airworthiness Certification

4027. General. This section provides guidance concerning the requirements of 14 CFR § 21.189.

4028. Certification Procedures. The FAA representative must follow the applicable procedures in paragraph 4002 of this order.

4029. Eligibility.

a. An applicant requesting issuance of an airworthiness certificate in the "limited" category must show that the aircraft previously has been issued a limited category TC and that the aircraft conforms to that TC (14 CFR § 21.189).

b. The FAA must make the following determinations for aircraft to be issued an airworthiness certificate in the limited category:

(1) The aircraft is one of the type and models that have been issued a limited TC and the aircraft conforms to the requirements set forth in the pertinent limited category aircraft specification.

(2) In accordance with 14 CFR § 21.189(a)(2), the applicant must flight check the aircraft. Therefore, the FAA should, upon application, issue an experimental certificate for this purpose. When the aircraft subsequently is submitted for limited certification, the FAA must ensure that the findings of the flight test are entered in the aircraft logbook and signed by the pilot who made the flights.

(3) Because surplus military aircraft may have deteriorated due to prolonged storage or inactivity, the FAA must ensure that the aircraft is subjected to a thorough inspection to determine its state of preservation and repair and ensure that it is in a condition for safe operation. The applicant must provide all available documentation, such as technical orders and military inspection records, to support the findings of airworthiness. The inspection may require removing rivets and cutting openings to check the condition of fraying surfaces and closed areas. If this is the case, the applicant should be advised that the inspection would be expedited if an airworthiness inspection is performed by an appropriately rated repair station or mechanic, in accordance with the requirements of 14 CFR part 43.

4030. Operating Limitations. All limited category civil aircraft must be operated in compliance with the limitations prescribed in 14 CFR § 91.315. However, the FAA may prescribe additional limitations as necessary for safe operation. The additional operating limitations will be enumerated on a separate sheet and issued with FAA Form 8130-7.

4031. Display of Marks (Limited). The FAA should determine that a method has been provided for displaying the word "LIMITED." The applicant should also be advised that it is the owner/operator's responsibility to display the word "LIMITED" in accordance with 14 CFR § 45.23(b).

4032. Aircraft Issued Limited Category TCs.

Aircraft Manufacturer	Model Eligible	Limited Spec. No.
Boeing	B-17F and B-17G	AL-1
North American	B-25, B-25C, B-25G, B-25H, B-25J, and B-25N	AL-2
Douglas	A-26B and A-26C A-24B (Navy SBD-5)	AL-3 AL-4
Consolidated-Vultee	PB2Y-3, PB2Y-3R, PB2Y-5, PB2Y-5R, and PB2Y-5Z LB 30	AL-5 AL-6
Sikorsky	R-4B Helicopter	AL-7
Grumman	TBF-1, TBF-1C, TBM-1, TBM-1C, TBM-3, and TBM-3E	AL-8
Douglas	A-20B, A-20C, A-20G, A-20H, and A-20J	AL-9
Lockheed	P-38E, P-38J, P-38L, F-5E, F-5F, and F-5G	AL-10
North American	P-38M, P-51C, P-51D, and P-51K	AL-11
Beech	AT-10, AT-10BH, AT-10GF, and AT-10GL	AL-12
Lockheed	B-34, PV-1, and PV-2	AL-13
Northrop	P-61, P-61A, and P-61B	AL-14
North American	A-36A	AL-15
Curtiss	O-52	AL-16
Grumman	J2F-3, J2F-4, J2F-5, and J2F-6	AL-17
Curtiss-Wright	P-40L and P-40N	AL-18
Sikorsky	R-5A Helicopter	AL-19
Martin	PBM-5	AL-20
Bell Aircraft	P-63C and P-63E	AL-21
North American	BC-1	AL-22
Grumman	F8F-1	AL-23
Chance-Vought	OS2N-1, OS2U-1, OS2U-2, and OS2U-3	AL-24
Grumman	FM-2	AL-25
Consolidated-Vultee	L-1, L-1A, L-1B, L-1C, L-1D, L-1E, and L-1F	AL-26
North American (Culver)	BT-9, BT-9A, BT-9B, and BT-9C PQ-14A and PQ-14B	AL-27
Superior	YPQ-14B and YQB-14A, Navy TD2C1	AL-28
Sikorsky	R-6A and YR-6A, HOS-1 Helicopters	AL-29
Consolidated	C-87A	AL-30
Curtiss-Wright	AT-9 and AT-9A	AL-31
North American	BT-14	AL-32
Martin	B-26C	AL-33

Note: This list is provided as guidance and should not be used as an official list. Questions regarding aircraft eligible for, or presently holding, limited TCs should be directed to the applicant's local ACO.

4033. Reserved.

Section 5. Primary Category Aircraft Airworthiness Certifications

4034. General.

a. 14 CFR § 21.24(b) permits the applicant to submit a special inspection and preventive maintenance program as part of the aircraft's type design or supplemental type design. The submitted program is reviewed and accepted or rejected by the Kansas City, Missouri, Aircraft Evaluation Group (MKC-AEG), with engineering input by the ACO where TC application is made. Special inspection and preventive maintenance programs for primary category rotorcraft are submitted to the Fort Worth, Texas, Aircraft Evaluation Group (FTW-AEG) with engineering input by the ACO where TC application is made. FSDOs will NOT accept or reject the programs.

b. 14 CFR § 21.184(a) allows an applicant to obtain a special airworthiness certificate for PCA when the provisions of 14 CFR part 21 are met. PCA are not eligible for multiple category airworthiness certificates (14 CFR § 21.184(e)).

c. 14 CFR § 21.184(b) allows an applicant to obtain a special airworthiness certificate for an imported PCA with a 14 CFR § 21.29 TC. The CAA of the State of Manufacture must certify, and the FAA must find after inspection, that the aircraft meets the criteria of 14 CFR § 21.24(a)(1) and is in a condition for safe operation.

d. 14 CFR § 21.184(c) allows an applicant to exchange a standard airworthiness certificate for a special airworthiness certificate in the primary category. The conversion will be made through the normal STC process. The only benefit for making a conversion is so the pilot/owner may perform preventive maintenance beyond what already is allowed under appendix A to 14 CFR part 43. Before making the conversion, the applicant should consider the following:

(1) There must be an FAA-approved special inspection and preventive maintenance program for the specific aircraft model being converted. If there is not an approved program or if any additional preventive maintenance items are to be added, the applicant must submit the program or additional items as part of the STC design data to be approved.

(2) Only a properly qualified pilot/owner may perform preventive maintenance under the special inspection and preventive maintenance program. To be properly qualified, a pilot/owner must successfully complete an FAA-approved course given by an approved aviation maintenance technician school, the holder of the PC for the pilot/owner's aircraft, or another entity approved by the FAA.

(3) The same aircraft cannot be returned to a standard airworthiness certificate without showing that it meets all of the criteria for a standard airworthiness certificate as prescribed by the regulations. Such a showing historically has been difficult when an aircraft has remained in a different classification or category for a lengthy period. To facilitate the return to a standard airworthiness certificate, the aircraft records should indicate, among other requirements, that the aircraft has been maintained according to the manufacturer's instructions, and that any modifications to the aircraft either were removed or approved by the FAA.

4035. Certification Procedures. The FAA must follow the steps in paragraph 4002 of this order, and consider the following:

a. The duration of certificates is unlimited as long as the requirements of 14 CFR § 21.181(a)(1) are met.

b. 14 CFR § 91.325 identifies the operating limitations unique to PCA.

c. Figures 4-3 through 4-5 of this order provide samples of FAA Form 8130-7 applicable to PCA.

4036.-4037 Reserved.

Section 6. Light-Sport Aircraft Category Airworthiness Certifications

4038. General. A special airworthiness certificate in the light-sport category is issued to an aircraft that meets the definition of LSA, is manufactured to the applicable consensus standard, and is one of the following five classes of the LSA category: airplanes, gliders, powered parachutes, weight-shift-control aircraft (commonly called trikes), and lighter-than-air aircraft (balloons and airships). When the aircraft meets all the eligibility requirements of 14 CFR §§ 1.1 and 21.190, it may be issued an airworthiness certificate in the LSA category. Excluded from obtaining a special airworthiness certificate in the LSA category are gyroplane aircraft and light-sport kit aircraft, which may receive an experimental purpose for operating LSA as addressed in chapter 4, section 8 of this order.

a. Definition. As defined in 14 CFR § 1.1, an LSA is an aircraft other than a helicopter or powered-lift that since its original certification has continued to meet the following:

(1) A maximum takeoff weight of not more than 1,320 pounds (600 kilograms) for aircraft not intended for operation on water; or 1,430 pounds (650 kilograms) for aircraft intended for operation on water.

(2) A maximum airspeed in level flight with maximum continuous power (V_H) of not more than 120 knots calibrated airspeed under standard atmospheric conditions at sea level.

(3) A maximum never-exceed speed (V_{NE}) of not more than 120 knots calibrated airspeed for a glider.

(4) A maximum stalling speed or minimum steady flight speed without the use of lift-enhancing devices (V_{S1}) of not more than 45 knots calibrated airspeed at the aircraft's maximum certificated takeoff weight and most critical CG.

(5) A maximum seating capacity of no more than two persons, including the pilot.

(6) A single, reciprocating engine, if powered.

(7) A fixed or ground-adjustable propeller, if a powered aircraft other than a powered glider.

(8) A fixed or auto-feathering propeller system, if a powered glider.

(9) A fixed-pitch, semi-rigid, teetering, two-blade rotor system, if a gyroplane.

(10) A nonpressurized cabin, if equipped with a cabin.

(11) Fixed landing gear, except for an aircraft intended for operation on water or a glider.

(12) Fixed or retractable landing gear, or a hull, for an aircraft intended for operation on water.

(13) Fixed or retractable landing gear for a glider.

> **Note:** Although gyroplane aircraft (commonly known as gyrocopters) are identified in the LSA definition of 14 CFR § 1.1, gyroplane aircraft, even when meeting the LSA definition, may only be issued an experimental certificate, in accordance with 14 CFR § 21.190(a) and (b), because of the preclusions in14 CFR §§ 21.190(a) and 21.191(i)(1).

b. Eligibility. LSA are eligible for a special airworthiness certificate in the LSA category in accordance with 14 CFR § 21.190 when the aircraft has not been previously issued a standard, primary, restricted, limited, or provisional airworthiness certificate, or an equivalent airworthiness certificate issued by a CAA outside the United States, and the applicant provides a copy of the aircraft manufacturer's—

(1) Written operating instructions in the English language.

(2) Written maintenance and inspection procedures for the entire aircraft in the English language.

(3) Flight training supplement in the English language.

(4) Statement of Compliance (SOC) as described in 14 CFR § 21.190(c). A sample of FAA Form 8130-15, LSA Statement of Compliance, is located in chapter 4, figure 4-20 of this order. A blank copy of FAA Form 8130-15 may be obtained from the FAA forms database at www.faa.gov/forms. FAA Form 8130-15 must contain:

(a) The identity of the aircraft by make (the manufacturer's name) and model, serial number, class, date of manufacture, and consensus standard used;

(b) A statement that the aircraft meets the provisions of the identified FAA-accepted consensus standard;

(c) A statement that the aircraft conforms to the manufacturer's design data, using the manufacturer's quality assurance system that meets the identified FAA-accepted consensus standard;

(d) A statement that the manufacturer will make available to any interested person the following documents that meet the identified FAA-accepted consensus standard:

1 The aircraft's operating instructions;

2 The aircraft's maintenance and inspection procedures for the entire aircraft; and

3 The aircraft's flight training supplement.

(e) A statement that the manufacturer will monitor and correct safety-of-flight issues through the issuance of safety directives and a continued airworthiness system that meets the identified FAA-accepted consensus standard;

(f) A statement that at the request of the FAA, the manufacturer will provide unrestricted access to its facilities; and

(g) In accordance with a production acceptance test procedure meeting the applicable consensus standard, a statement that the manufacturer:

1 Ground and flight-tested the aircraft;

2 Found the aircraft performance acceptable; and

3 Determined the aircraft is in a condition for safe operation.

c. Eligible Light-Sport Aircraft Manufactured Outside the United States. For an aircraft that has been manufactured outside the United States to be eligible for a special airworthiness certificate in the LSA category, an applicant must provide evidence to the FAA that the aircraft meets the definition of LSA according to 14 CFR § 1.1 and the requirements of 14 CFR § 21.190(b). In addition, in accordance with 14 CFR § 21.190(d), an applicant must provide proof of the following:

(1) The aircraft was manufactured in a country with which the United States has a BAA concerning airplanes or BASA with associated IPA concerning airplanes. To verify bilateral agreements, see the AIR-40 listing of current bilateral agreements located on the FAA website.

(2) The aircraft manufactured outside the United States is eligible for an airworthiness certificate, flight authorization, or other similar certification in its State of Manufacture. Verification of this eligibility is through a statement from the manufacturer in the aircraft documentation that had the aircraft remained in the country of export, the aircraft would have been eligible for an airworthiness certificate, flight authorization, or other similar certification.

(3) When an aircraft manufactured outside the United States meets the definition of LSA in accordance with 14 CFR § 1.1 and is not eligible per 14 CFR § 21.190(b), the aircraft may be eligible for an experimental LSA certificate in accordance with 14 CFR §§ 21.191(i) and 21.193(e)(6). Guidance on experimental LSA certification is given in chapter 4, section 8 of this order.

d. Light-Sport Aircraft Construction. The manufacturer of an aircraft for airworthiness certification in the light-sport category must manufacture the aircraft to the design requirements and quality system of the applicable consensus standard that has been accepted by the FAA and published through a notice of availability (NOA) in the Federal Register. To meet the intent of 14 CFR § 21.190 and to be eligible for an airworthiness certificate for LSA category, the applicant must present satisfactory evidence that the aircraft was manufactured and found acceptable to the provisions of the applicable consensus standard. Evidence of acceptability is provided by the LSA manufacturer's Statement of Compliance, FAA Form 8130-15, attesting to compliance with the requirements of 14 CFR § 21.190. A list of accepted consensus standards can be found on the FAA website (reference paragraphs 4039 and 4082 of this Order). The following are clarifications of FAA-accepted consensus standards and requirements for construction of LSA as it relates to certification in this category:

(1) The manufacturer of LSA must use those articles and equipment that are in accordance with the applicable FAA-accepted consensus standard design requirements. The use of used, overhauled, or reconditioned articles will be provided for in the LSA manufacturer's maintenance and inspection procedures in accordance with the FAA-accepted consensus standards.

(2) The manufacturer is not required to be a PAH for LSA, and LSA do not receive a TC. For an aircraft to be eligible within the light-sport category, the aircraft manufactured cannot be a type-certificated aircraft. Light-sport category aircraft are constructed only to the applicable FAA-accepted consensus standards.

(3) In accordance with 14 CFR § 21.190(b) and (c), the manufacturer must provide the aircraft's maintenance and inspection procedures.

(4) In accordance with 14 CFR § 21.190(c), the manufacturer must perform an acceptance test of the aircraft with the requirements necessary to prove the aircraft's reliability and functionality. The manufacturer verifies the aircraft's proper function on the ground and in flight according to the applicable FAA-accepted consensus standard. The manufacturer must document the acceptance test results and determine whether the aircraft is in a condition for safe operation. All production aircraft must obtain a special flight permit in accordance with 14 CFR § 21.197 to accomplish flight test requirements.

(5) A manufacturer that issues the SOC is responsible for the quality of the LSA end product. The manufacturer's quality assurance responsibility includes material supplied and assembly work performed by other persons, including dealers, and distributors acting as an extension of the manufacturer.

(6) An LSA that has not been completed during the manufacturing process and for which the manufacturer does not maintain oversight of assembly as addressed (if any) in the consensus standard cannot be eligible for special airworthiness certification in the light-sport category. However, the aircraft may be eligible for an experimental light-sport certificate in accordance with 14 CFR §§ 21.191(i) and 21.193(e). Guidance on experimental LSA certification is given in paragraph 4082 of this order.

(7) Before production flight testing in the United States, the aircraft must be registered in accordance with 14 CFR part 47 and be issued an appropriate flight permit.

e. Advising Applicants.

(1) FAA inspection of an aircraft will be limited to a general airworthiness inspection when the aircraft is submitted for airworthiness certification. The FAA ASI or DAR will not perform any of the fabrication, construction, assembly, testing, manufacturer's quality inspections, and closing work on or to the aircraft.

(2) When the prospective applicant contacts the appropriate FAA office to inquire about the certification process for a LSA category, the FAA should provide the applicant with the applicable forms and any guidance necessary to ensure a thorough understanding of applicable regulations.

Note: When applicable, advise the applicant of the ability to use the FAA website to obtain requested forms and information.

(3) The applicant, when applying for an airworthiness certificate, should be advised on how and where to submit the appropriate application(s) and documentation to the FAA. The FAA office, when requested, should furnish the following forms:

(a) Aeronautical Center Form 8050-1, Aircraft Registration Application;

(b) FAA Form 8130-6; and

(c) Aeronautical Center Form 8050-88A, Affidavit of Ownership for Experimental or Special Light-Sport Aircraft.

(4) At the time of airworthiness certification—

(a) The aircraft must be complete in every respect, and

(b) The applicant must submit all required documentation and correct any deficient items noted during inspection. If the applicant cannot or will not provide the necessary documentation and cannot or will not have corrected noted deficiencies, the applicant should be advised that the aircraft cannot be certificated as an LSA until satisfactory evidence is provided to substantiate that the aircraft complies with 14 CFR §§ 21.190, and all applicable regulatory requirements.

(5) Advise the applicant to provide the LSA manufacturer's documented accurate weight of the aircraft in accordance with established weight and balance or weight and loading procedures to determine the aircraft's empty, gross, and most forward and aft CG location, including the weight and balance or weight and loading calculations from the initial flight. The completed weight and balance report, including load limits for flight personnel, oil, fuel, and any cargo-carrying capabilities, must be available in the aircraft, along with the other applicable placards, listings, and markings required by 14 CFR § 91.9.

4039. Certification Procedures. The procedures in this section provide guidance material associated with airworthiness certification and the issuance of FAA Form 8130-7 for the light-sport category.

a. General. The FAA airworthiness certification process consists of a general airworthiness inspection to determine the aircraft is in a condition of safe operation, in accordance with 14 CFR § 21.190(b)(3). The inspection is accomplished only after the aircraft is completed and before the issuance of the airworthiness certificate. When an airworthiness certification inspection is completed, the FAA will have reviewed the applicant's documentation supplied with the aircraft, verifying it agrees with the identification, description, and applicable regulations. The FAA ASI or DAR will not perform any of the fabrication, construction, assembly, testing, manufacturer's quality inspections, and closing work on or to the aircraft.

b. Record Inspection and Document Review. The FAA must—

(1) Obtain from the applicant a properly executed FAA Form 8130-6 and any other documents required for the certification. The revised FAA Form 8130-6 includes the LSA category. Use the revised form for LSA. Use the previous form for all other categories until the supply of old forms is gone.

(2) Obtain for inspection the aircraft's operating instructions, maintenance instructions, and flight training supplement, and the LSA manufacturer's SOC, FAA Form 8130-15 (14 CFR § 21.190(b)). A listing of FAA-accepted consensus standards matrix, NOA information, and further information on the FAA-accepted consensus standards may be obtained through NOAs on the FAA website under Aircraft Certification, General Aviation, Light-sport Aircraft, standards.

(3) Review the documentation provided by the applicant to determine that the registration requirements of 14 CFR part 47 have been met, and ensure the aircraft is marked in accordance with 14 CFR part 45.

(4) Check with AFS-750 to determine if a denial letter exists for the particular aircraft. This may assist in determining aircraft eligibility.

> **Note:** AFS-750 should be contacted to ensure the N-number has been properly issued. For example, has it been issued permanently or is it a temporary or reserved number that has not been issued permanently?

(5) Review the aircraft records to determine whether the required production flight test(s) and inspections have been accomplished to the FAA-accepted consensus standards before presentation of the aircraft for certification.

> **Note:** Any and all testing, inspections, or qualifications affecting the eligibility and determination of the airworthiness of the aircraft must be accomplished before issuing the special light-sport category airworthiness certificate.

(6) Review the applicant's weight and balance or weight and loading data for accuracy for the aircraft submitted.

c. Aircraft Inspection. The FAA must arrange with the applicant to make the aircraft available for inspection to determine the following:

(1) The ID plate meets the requirements of 14 CFR § 45.11, as applicable.

(2) The information on the ID plate is correct, matches the information on FAA Form 8130-6, and is in accordance with 14 CFR § 45.13, as applicable.

(3) The aircraft nationality and registration marks are in accordance with 14 CFR part 45 and, as applicable, with 14 CFR §§ 45.21, 45.23, 45.27, and 45.29.

(4) The flight control systems and associated instruments operate properly.

(5) The instruments are appropriately marked and required placards are installed with placement for easy reference.

(6) System controls when equipped (for example, fuel selector(s) and electrical switches/breakers) are appropriately placed, clearly marked, provide easy access and operation, and function in accordance with the manufacturer's specifications and applicable consensus standard.

(7) An ELT is installed on airplanes, in accordance with 14 CFR § 91.207, before initial special LSA category airworthiness certification.

(8) Airframe emergency parachutes are properly marked and identified.

d. Certificate Issuance. Upon satisfactory completion of the records inspection, document review, and aircraft inspection, the FAA will issue the special airworthiness certificate and the operating limitations for that aircraft. The operating limitations will be attached to FAA Form 8130-7. The FAA must review the operating limitations with the applicant to ensure a clear understanding of the limitations. Operating limitations under 14 CFR § 21.190 may be prescribed as follows:

(1) The manufacturer of the LSA is required to certify within the SOC that the aircraft was ground and flight tested successfully, and is in condition for safe operation. The manufacturer must endorse the aircraft logbook with a statement certifying the applicable flight testing has been completed, therefore, the FAA will not issue operating limitations to further demonstrate flight testing.

(2) The FAA will prescribe operating limitations for the operation of an LSA for an unlimited duration, as appropriate.

(3) The FAA may prescribe any additional limitations deemed necessary in the interest of safety.

(4) If the aircraft meets the requirements for the requested certification, the FAA must—

(a) Make an aircraft logbook entry.

(b) Issue FAA Form 8130-7, with appropriate operating limitations.

(c) Complete sections V and VIII of FAA Form 8130-6, in accordance with the instructions contained in chapter 8 of this order.

(d) Examine, review, and route the certification file in accordance with the instructions contained in chapter 8 of this order.

(5) If the aircraft does not meet the requirements for the certification requested and the airworthiness certificate is denied, the FAA ASI or DAR must—

(a) Write a letter to the applicant stating the reason(s) for denying the airworthiness certificate.

(b) Attach a copy of the denial letter to FAA Form 8130-6 and forward it to AFS-750 to be made part of the aircraft record.

e. Change of Special Airworthiness Certificates from an Experimental Category to an LSA Category. An LSA that has been previously issued an experimental airworthiness certificate may be eligible for certification in the light-sport category under the following conditions:

(1) When the light-sport prototype aircraft has been flown by the manufacturer under an experimental R&D certificate (see paragraph 4081g of this order, Prototype Aircraft Produced by a Light-Sport Kit Manufacturer) to ensure there are no adverse flight characteristics in accordance with 14 CFR § 91.319(b), and the manufacturer provides the necessary documentation (14 CFR § 21.190) with the appropriate FAA forms and applications. There is an FAA aircraft inspection required and new operating limitations are issued for this aircraft, certificate, and category. (2) If the LSA was converted from a light-sport category airworthiness certificate to an experimental LSA certificate, the applicant seeking to return to the light-sport category must provide the following:

(a) All original documentation required in accordance with 14 CFR § 21.190.

(b) A current manufacturer's SOC for the aircraft that was used for the original issuance of the light-sport category airworthiness certificate.

(c) Proof of compliance with applicable safety directives, repairs, and safety modifications published by the manufacturer and documented in the aircraft's records in accordance with 14 CFR part 43.

(d) A finding and statement that the aircraft was not altered and/or modified without manufacturer approval. When the manufacturer's approval is given, it will be in written form and be serial number(s)-specific. The manufacturer's approval must also specify the current applicable revision of FAA-accepted consensus standards in effect at the time the approval was given for the alteration and/or modification. All manufacturer's alteration and/or modification approvals will be made a part of the aircraft's permanent record and documented in the aircraft's records in accordance with 14 CFR part 43. If this is not done, the aircraft is not eligible for return to the special light-sport category.

(e) Evidence that the required maintenance was accomplished and documented in the aircraft's records in accordance with 14 CFR part 43, and, if not accomplished and documented, then the aircraft is not eligible for return to the special light-sport category configuration.

(f) Proof the aircraft was inspected and is in a condition for safe operation.

f. Transfer of Light-Sport Category Airworthiness Certificates. An airworthiness certificate is transferred with the aircraft (per 14 CFR § 21.179); for example, if there is a change of ownership or transfer of registration. There is no FAA inspection required after transfer of an aircraft with its airworthiness certificate unless it is determined that revised operating limitations are necessary. In this case, a new FAA Form 8130-7 must be issued to reflect the new date of the revised operating limitations. Therefore, the applicant must submit FAA Form 8130-6. Aircraft records also must be transferred with change of ownership (per 14 CFR § 91.419).

4040. Production Flight Testing.

a. Flight Testing Purpose and Coordination. The manufacturer must ground and flight test the LSA for the purpose of finding the performance acceptable and determining that each aircraft is in a condition for safe operation in accordance with 14 CFR § 21.190(c).

(1) The manufacturer must notify the closest geographic MIDO of the intent to perform production flight testing on the LSA to the applicable consensus standard, and submit the proposed geographic flight testing locations to the same FAA MIDO a minimum of 30 days in advance of the initial proposed flight testing operations.

> **Note:** The LSA manufacturer's production flight test plan must be in accordance with the applicable consensus standard.

(2) The ASI (see paragraph 202d and the note after paragraph 202d of this order) will coordinate the production flight testing activities with the responsible geographic or assigned FSDO.

(3) A special flight permit may be issued for production flight testing to allow a manufacturer to meet the requirements of 14 CFR § 91.203 when operating new production aircraft for the purpose of flight testing, as provided in 14 CFR § 21.197. This permit must be used in conjunction with a valid Aircraft Certificate of Registration. See FAA Order 8130.20, Registration Requirements for the Airworthiness Certification of U.S. Civil Aircraft, for guidance on acceptable evidence of valid registration. The special flight permit is valid only for the purpose of production flight testing. The applicable operating limitations are printed in block B on the reverse side of FAA Form 8130-7 (figure 4-1 of this order).

> **Note:** Production flight test operating limitations baseline guidance for light-sport category aircraft are described in paragraph 4042 of this order. Any other flight testing affecting the eligibility and determination of the airworthiness of the aircraft must be accomplished before issuing the special light-sport category airworthiness certificate. The aircraft must be issued a special flight permit and limitations for the purpose of flight test only.

b. Eligibility for Production Flight Testing. A manufacturer producing LSA under 14 CFR § 21.190 is eligible to obtain special flight permits for production flight testing provided the following conditions are met:

(1) A prototype aircraft of that LSA model and configuration has been flown by the manufacturer under an experimental R&D certificate to ensure there are no adverse flight characteristics and that production test pilots are fully familiar with the aircraft.

(2) In conjunction with the applicable consensus standard, a production flight test procedure and checklist for the aircraft involved is used to ensure all requirements for production flight tests are fulfilled and entered into the aircraft's logbook.

(3) The aircraft is not flown by the manufacturer for purposes other than production flight tests.

(4) Limitations have been established to define the production flight test duration and area.

c. Application and Issue of Special Flight Permits for Production Flight Testing.

(1) A manufacturer producing LSA under 14 CFR § 21.190 is eligible to obtain special flight permits for production flight testing within the provisions established in this section. The LSA manufacturer or its agent that has been included in and is operating under the oversight of the manufacturer's quality assurance plan must be the registered owner of each aircraft to be issued a special flight permit for production flight testing.

(2) Before issuing a special flight permit for production flight testing, each aircraft must be registered with a permanent registration number assigned. Evidence of aircraft registration may be shown by Aeronautical Center Form 8050-3; Aeronautical Center Form 8050-6, Dealer's Aircraft Registration Certificate; or other telegraphic/electronic confirmation which AFS-750 issues as a temporary registration. When the manufacturer/applicant for initial registration does not have a dealer's registration, the pink copy of the Aeronautical Center Form 8050-1 may not be used to comply with 14 CFR § 91.203(a)(2) for operation of the aircraft.

(3) An LSA manufacturer or its authorized agent must apply for a special flight permit for production flight testing using FAA Form 8130-6 for each aircraft needing a production flight test. Special flight permits are not transferable from one aircraft to another.

(4) When the applicant for a special flight permit is found in compliance with all requirements, the FAA should issue FAA Form 8130-7 with the operating limitations specified in paragraph 4042 of this order. The FAA may impose any additional limitations deemed necessary for safe operation. The operating limitations must be enumerated on a separate sheet, identified by the aircraft registration and serial numbers, dated, and signed. The applicant should be advised that FAA Form 8130-7 must be displayed in the aircraft in accordance with 14 CFR § 91.203(b).

(5) A copy of all certification documents for issuance of a production flight test permit should be retained in the files of the issuing ASI/designee, or as directed by the designee's managing office. Certification documents for issuance of production flight test permits are not to be sent to FAA Registry, AFS-750.

4041. Flight Test Areas.

a. General. The assigned test area is prescribed in accordance with 14 CFR § 91.305. The FAA will, when requested, assist applicants in selecting areas that comply with 14 CFR § 91.305. The FAA is required to evaluate each application to determine that the flight test area does not exceed that which is reasonably required to accomplish the program. Actions pertaining to flight test areas must be coordinated through the MIDO to the assigned FSDO and nearest office of the Air Traffic Service.

b. Assigned Flight Test Area. All flight-testing operations of LSA must be limited to the assigned flight test area until the aircraft is shown to be controllable throughout its normal range of speeds and all maneuvers to be executed, and has not displayed any hazardous operating characteristics or design features.

(1) In the case of flight testing an aircraft from an airport surrounded by a densely populated area, but with at least one acceptable approach/departure route of flight, the FAA must ensure that a route of flight is selected that subjects the fewest persons and least property to possible hazards. The description of the area selected by the applicant and agreed to by the FAA must be made a part of the operating limitations.

(2) In the case of an aircraft located at any airport surrounded by a densely populated area and lacking any acceptable approach/departure route of flight, the FAA must deny the airworthiness certificate (special flight permit issued for production flight testing) and write a letter to the applicant stating the reason(s) for denying the proposed flight test area. The applicant must be advised to relocate the aircraft to an airport suitable for flight testing.

> **Note:** An acceptable approach/departure route of flight may be considered to exist when the route of flight provides a reasonable opportunity to execute an off-airport emergency landing that will not jeopardize other persons or property.

c. Assignment to the Flight Test Area. The period of assignment is not established by regulation but is addressed in the applicable consensus standard. When issuing a special flight permit for flight testing of LSA, the FAA should assign additional periods of time to flight test areas only when it is deemed necessary in the interest of safety.

4042. Special Flight Permit for Flight Testing LSA Category Operating Limitations.

a. Operating limitations must be designed to fit the specific situation encountered. The FAA may impose any additional limitations deemed necessary in the interest of safety. The FAA must review each imposed operating limitation with the applicant to ensure the applicant understands the operating limitation.

b. The following operating limitations must be prescribed for flight testing LSA:

(1) No person may operate this aircraft for other than the purpose of meeting the requirements of 14 CFR § 21.190(c)(7) or § 21.197 during flight. In addition, this aircraft must be operated in accordance with applicable air traffic and general operating rules of 14 CFR part 91 and all additional limitations herein prescribed. These operating limitations are a part of a special flight permit and are to be carried in the aircraft at all times and be available to the pilot in command of the aircraft.

(2) All flights must be conducted within the geographical area described as follows. The area must be described by radius, coordinates, and/or landmarks. The designated area must be over open water or sparsely populated areas having light air traffic. The size of the area must be that required to safely conduct the anticipated maneuvers and tests.

(3) All flight tests must be conducted and recorded in accordance with an acceptance test procedure that meets the applicable FAA-accepted consensus standard.

(4) This aircraft is to be operated under VFR, day only.

(5) The test pilot in command of this aircraft must hold at least a private pilot certificate, have the appropriate category and class ratings to act as pilot in command, and have a minimum of 100 hours as pilot in command in that category and class.

(6) The production test pilot is to be the sole occupant.

4043. Issuance of LSA Category Aircraft Operating Limitations.

a. Operating limitations must be designed to fit the specific situation encountered. The FAA may impose any additional limitations deemed necessary in the interest of safety. The FAA must review each imposed operating limitation with the applicant to ensure the applicant understands the operating limitations.

b. The following operating limitations, as applicable, will be issued as shown below; any deviation must be coordinated in accordance with this order:

(1) No person may operate this aircraft for any other purpose than that for which the aircraft was certificated. This aircraft must be operated in accordance with applicable air traffic and general operating rules of 14 CFR part 91 and all additional limitations prescribed herein. These operating limitations are a part of FAA Form 8130-7 and are to be carried in the aircraft at all times and to be available to the pilot in command of the aircraft.

(2) The pilot in command of this aircraft must advise the passenger of the special nature of this aircraft and that the aircraft does not meet the certification requirements of a standard certificated aircraft.

(3) This aircraft must display the word “LIGHT-SPORT” (hyphen optional) near the entrance to the cabin, cockpit, or pilot station in 2-inch minimum or a maximum of 6-inch block letters in accordance with 14 CFR § 45.23(b).

(4) This aircraft must contain the placards and markings as required by 14 CFR § 91.9. In addition, the placards and markings must be inspected for legibility and clarity, and the associated systems inspected for easy access and operation, to ensure they function in accordance with the manufacturer’s specifications and the FAA-accepted consensus standards during each condition inspection.

(5) This aircraft is to be operated under VFR, day only, unless appropriately equipped for night and/or instrument flight in accordance with 14 CFR § 91.205, and when allowed by the manufacturer’s operating instructions.

(6) Noncompliance with these operating limitations will render the airworthiness certificate invalid. Any change, alteration, or repair not in accordance with the manufacturer’s instruction and approval will render the airworthiness certificate invalid, and the owner of the

aircraft must apply for a new airworthiness certificate under the provisions of 14 CFR § 21.191 with appropriate operating limitations before further flight.

(7) Application to amend these operating limitations must be made to the responsible geographic FSDO or MIDO.

(8) This aircraft does not meet the requirements of the applicable, comprehensive, and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. The owner/operator of this aircraft must obtain written permission from another CAA before operating this aircraft in or over that country. That written permission must be carried aboard the aircraft together with the U.S. airworthiness certificate and, upon request, be made available to an ASI or the CAA in the country of operation.

(9) The pilot in command of this aircraft must hold at least the appropriate category and class privileges, rating, or endorsements required by 14 CFR part 61.

(10) No person may operate this aircraft in the light-sport category for compensation or hire except to tow a light-sport glider or an unpowered ultralight vehicle in accordance with 14 CFR § 91.309 or to conduct flight training.

(11) This aircraft may only be operated in accordance with the manufacturer's aircraft operating instructions, including any provisions for necessary operating equipment specified in the aircraft's equipment list.

(12) No person may operate this aircraft in the light-sport category for compensation or hire unless within the preceding 100 hours of time in service the aircraft has—

(a) Been inspected by a certificated repairman with an LSA maintenance rating, or an appropriately rated mechanic, or an appropriately rated repair station in accordance with inspection procedures developed by the aircraft manufacturer or a person acceptable to the FAA, and has been returned to service in accordance with the applicable provisions of 14 CFR part 43;

(b) Received an annual condition inspection in accordance with the operating limitation described in paragraph 4043b(14) of this order; or

(c) Received an inspection for the issuance of an airworthiness certificate in accordance with 14 CFR part 21.

(13) Aircraft instruments and equipment installed and used under 14 CFR § 91.205 must be inspected and maintained in accordance with the requirements of 14 CFR part 91. Any maintenance or inspection of this equipment must be recorded in the aircraft maintenance records.

(14) No person will operate this aircraft unless within the preceding 12 calendar months it has had a condition inspection performed in accordance with the manufacturer's maintenance and inspection procedures, and was found to be in a condition for safe operation. As part of the condition inspection, cockpit instruments must be appropriately marked and needed placards installed in accordance with 14 CFR § 91.9. This inspection will be recorded in the aircraft maintenance records.

(15) Condition inspections must be recorded in the aircraft maintenance records showing the following, or a similarly worded, statement: **"I certify that this aircraft has been inspected on [insert date] in accordance with the manufacturer's maintenance and inspection procedures, and was found to be in a condition for safe operation."** The entry will include the aircraft's total time-in-service, and the name, signature, certificate number, and type of certificate held by the person performing the inspection.

(16) No person may operate this aircraft in the light-sport category unless it is continuously maintained in compliance with 14 CFR § 91.327(b).

4044. LSA Statement of Compliance (SOC). This SOC is also referred to as the Manufacturer's SOC. It is required by 14 CFR §§ 21.190(b)(1)(iii) and 21.193(e)(4), and is described in 14 CFR § 21.190(c), which details the requirements of the manufacturer's SOC in FAA Form 8130-15. Samples of FAA Form 8130-15 are provided in figures 4-29 and 4-30 of this order. For instructions on reviewing a completed FAA Form 8130-15, see paragraph 804 of this order. For verification of the FAA-accepted consensus standards, see the FAA-accepted standards matrix and NOA information on the FAA Airworthiness Certification website under Light-Sport Aircraft standards.

4045.-4070. Reserved.

Section 7. General Experimental Airworthiness Certifications

4071. General. Any U.S.-registered aircraft, other than public aircraft, that does not have a current standard airworthiness certificate (conforming to its TC) or special airworthiness certificate cannot legally be operated until it has been issued an experimental airworthiness certificate or special flight permit. Operations requiring the issuance of experimental certificates include those involving flight tests of certificated aircraft that have undergone design changes.

a. An experimental airworthiness certificate may be issued to an aircraft located in or outside of the United States that is intended for continual operation in another country when it meets the following requirements:

(1) The CAA of the country in which the aircraft is located or intended to fly has authorized operation of the aircraft.

(2) The Flight Standards Service will have appropriate oversight of the aircraft during the period of operation.

b. If an experimental airworthiness certificate is issued to an aircraft located in or outside of the United States for time-limited operations in another country, the experimental

airworthiness certificate must be accompanied by appropriate operating limitations that have been coordinated with the responsible CAA before issuance.

c. Experimental Airworthiness Certificates, Multipurpose. An experimental airworthiness certificate may be issued for more than one of the purposes shown in chapter 4, sections 8 through 11 of this order. When more than one purpose is requested, the issuing FAA representative must ensure that adequately controlled conditions exist as specified in the operating limitations. When issuing an airworthiness certificate for the purposes of R&D, showing compliance with regulations, crew training, or market surveys, the certificate should be made effective for only the length of time reasonable to accomplish the applicant's program, and not to exceed 1 year. The issuance of multiple-purpose certificates for R&D and showing compliance should be limited to PC holders. This may be extended to modifiers only when adequately substantiated, for example, for complex programs. Applicants for a multiple-purpose certificate must justify the requested purposes to the satisfaction of the FAA. PC holders may submit a procedure that meets the requirements of paragraph 4127 of this order to their local management office for approval.

d. Listing of Manned Free Balloon or Glider on Special Airworthiness Certificates Issued for Experimental Purposes. An aircraft eligible for the issuance of an experimental airworthiness certificate under 14 CFR § 21.191 and which clearly has the predominant flight characteristics of either a manned free balloon or glider will be identified as follows: "MANNED FREE BALLOON" or "GLIDER" will be placed in parentheses following "experimental" in the Category/Designation block of FAA Form 8130-7. This procedure ensures the appropriate application of 14 CFR part 61, Certification: Pilots, Flight Instructors, and Ground Instructors, concerning the medical requirements for the operation of such aircraft. Further guidance can be found in AC 21.17-2, Type Certification - Fixed-Wing Gliders (Sail Planes) Including Powered Gliders.

e. The requirements for issuing experimental certificates are contained in 14 CFR §§ 21.191, 21.193, and 21.195.

f. For the purpose of this chapter, type certification programs include TC and STC, as well as amendments to either.

g. 14 CFR § 91.319 prescribes operating limitations that are applicable to all aircraft having experimental certificates. In addition, the FAA may prescribe other limitations as may be considered necessary under 14 CFR § 91.319(i).

> **Note:** Basic operating limitations for all experimental aircraft must be issued as prescribed in chapter 4, sections 8 through 11 of this order.

h. To operate under phase II operating limitations, the owner/operator must make a signed logbook entry attesting to meeting the requirements of 14 CFR § 91.319(b).

i. Experimental military aircraft built under a military contract and identified by military aircraft ID marks do not require registration or the issuance of experimental certificates for flight testing or demonstration prior to acceptance by the military. However, aircraft of military design built independently by manufacturers and not having military identification are required to obtain

FAA registration and an experimental airworthiness certificate because such aircraft are considered civil aircraft.

j. The FAA must determine that the aircraft displays nationality and registration marks in accordance with 14 CFR § 45.21 and that the word "EXPERIMENTAL" is displayed in accordance with 14 CFR § 45.23.

4072. Eligibility.

a. For an aircraft to be eligible for an experimental certificate, the aircraft must be registered and the applicant must satisfy one or more of the purposes stated in 14 CFR § 21.191, as discussed in chapter 4, sections 8 through 11 of this order.

b. An aircraft that has a Dealer's Aircraft Registration Certificate may be issued an experimental airworthiness certificate so the manufacturer can perform required flight tests, as well as for purposes incidental to the sale of the aircraft. In the latter case, the FAA must ensure that the requirements of 14 CFR § 21.195 are met.

c. In ensuring compliance with 14 CFR § 21.193(d), the following must be described in the applicant's program letter:

(1) Purpose of Experiment, 14 CFR § 21.193(d)(1). An applicant must submit a program letter that describes the purpose of the experiment and the aircraft configuration, and outlines the program objectives. The letter must be detailed enough to permit the FAA to prescribe the conditions and limitations necessary to ensure safe operation of the aircraft. The letter should not describe everything in minute detail. The use of the same aircraft for overlapping programs is not precluded and the program letter can outline one or more programs. Upon showing compliance with 14 CFR § 91.319(b), the aircraft can be used to support other aircraft in the program or other experimental programs the manufacturer/applicant has underway, for example, to support flightcrew movements, to be used as a chase plane, to carry spare engines, etc. This support activity, in addition to the purpose for which the certificate is to be issued, should be included in the program letter or be included in the procedure described in paragraph 4127 of this order.

> **Note:** A new program letter will be required when significant changes to the aircraft configuration and program objectives are planned.

(2) Time or Number of Flights, 14 CFR § 21.193(d)(2). The applicant's program letter must include the estimated time or number of flights required to accomplish the program. The FAA will evaluate the request in comparison to the program in order to establish an appropriate time duration for the special airworthiness certificate.

(3) Areas. In the program letter, the applicant must provide sufficient detail to describe the areas over which the proposed flights are to be conducted. It is the responsibility of the FAA to establish boundaries of the flight test area, as well as takeoff, departure, and landing approach corridors that minimize hazards to persons and property in densely populated areas or congested airways.

(4) Describe Aircraft Configuration. Except for aircraft converted from a TC, the applicant must describe the aircraft's external configuration. The use of three-view sketches and three-dimensional photographs is acceptable.

(5) Program Letter. Figure 4-9 of this order shows a sample program letter that an applicant can use or expand upon as needed.

4073. Demilitarization of Former Military Aircraft. Former military aircraft should be demilitarized prior to application for airworthiness certification. It is not possible to define what the final configuration of these aircraft will be following this demilitarization. Therefore, because the demilitarization process most likely will involve a change to the aircraft configuration, FAA representatives should not consider an application for airworthiness certification unless demilitarization has been completed.

a. It is the policy of the DOD that surplus U.S. military property designated as arms, ammunition, implements of war, and other military items will be demilitarized to the extent necessary to preclude the unauthorized use of these military items. The intent behind this DOD policy is to destroy the military advantages inherent in certain types of property, to render harmless that property which is dangerous, and to protect the national interest. This DOD policy mandates that tactical, fighter, and bomber aircraft will be demilitarized to the extent that will render the aircraft not airworthy. This DOD policy is not applicable to military trainer, observation, or liaison aircraft. In addition, DOD does release a limited number of tactical, fighter, and bomber aircraft for operation in R&D programs. Typically, these aircraft may only be demilitarized to the extent that classified equipment has been removed.

> **Note:** This does not mean that all other U.S. surplus military aircraft should have been rendered not airworthy. For example, some U.S. military aircraft that were sold to other countries may be available for public sale. These aircraft are subject to the import requirements that are listed in paragraph 4073(b) of this order. In addition, other aircraft may have been constructed from surplus articles.

b. Former military aircraft imported from any other country require an import permit issued by the Department of the Treasury, Bureau of Alcohol, Tobacco, and Firearms (ATF). This permit is granted by the ATF using ATF Form 6, Application and Permit for Importation of Firearms, Ammunition, and Implements of War. In addition, these former military aircraft are required to be demilitarized in order to clear U.S. Customs. Compliance with demilitarization is evidenced by a completed ATF Form 6A, Release and Receipt of Imported Firearms, Ammunition, and Implements of War. Proof of demilitarization will be verified if the applicant presents copies of ATF Form 6 and ATF Form 6A that have been completed by appropriate officials of the Department of the Treasury. If the applicant is unable to produce ATF Form 6 or 6A, the FAA certificating office (CO) should contact the ATF Firearms and Explosives Import Branch to determine if copies of these forms are available for the particular aircraft. In cases for which ATF Form 6 or 6A are not required or not available, the FAA CO manager will determine the extent of demilitarization necessary prior to airworthiness certification.

Note: Should there be any questions regarding ATF Form 6 or 6A requirements, contact the ATF Firearms and Explosives Import Branch at the Department of the Treasury.

4074. Aircraft Equipped with Ejection Seats, Ballistic Parachutes, or Jettisonable Stores. Former military TPA certificated for the purpose(s) of R&D, exhibition, or air racing may be eligible to operate with functional ejection seats. Only aircraft certificated for the purpose of R&D may be eligible to operate with functional jettisonable external fuel tanks or stores. The following requirements must be met in order to have these systems operational:

a. The applicant must provide objective evidence that the airport manager of the airport where the aircraft is based has been notified regarding both the presence of explosive devices in these systems and the planned operation of an experimental aircraft from that airport.

b. Jettisonable external fuel tank(s) or stores systems must be maintained in accordance with the manufacturer's procedures and inspected in accordance with the provisions of the FSDO-approved inspection program for the particular aircraft. The FAA will verify that there is a record entry indicating current serviceability of the jettison system(s).

c. Ejection seat systems must be maintained in accordance with the manufacturer's procedures and inspected in accordance with the provisions of the FSDO-approved inspection program for the particular aircraft. The FAA will verify that there is a record entry indicating current serviceability of the ejection system, including the status of any dated shelf-life articles.

d. The applicant must have provisions for securing the aircraft to prevent inadvertent operation of the jettison and/or ejection systems whenever the aircraft is parked.

e. The applicant must have provisions that provide for clear marking and identification of all explosive devices used in ejection seats, ballistic parachutes, and jettisonable systems. Aircraft markings must be applied externally and indicate that the aircraft is equipped with explosive devices. A special airworthiness certificate will not be issued before meeting this requirement.

4075. Flight Test Areas.

a. General. 14 CFR § 91.319(b) requires that an unproven aircraft be assigned to a flight test area. The assigned test area is prescribed in accordance with 14 CFR § 91.305. The FAA, when requested, should assist applicants in selecting areas that comply with 14 CFR § 91.305. The FAA is required to evaluate each application to determine that the flight test area does not exceed that which is reasonably required to accomplish the program. Actions pertaining to flight test areas should be coordinated with the nearest Air Traffic Services office.

b. Assigned Flight Test Areas. Under 14 CFR §§ 91.319(b) and 91.305, all initial flight operations of experimental aircraft must be limited to the assigned flight test area until the aircraft is shown to be controllable throughout its normal range of speeds and all maneuvers to be executed, and has not displayed any hazardous operating characteristics or design features.

(1) In the case of the first flight of an aircraft from an airport surrounded by a densely populated area, but with at least one acceptable approach/departure corridor, the FAA must ensure that the selected flight corridor subjects the least number of persons and property to possible hazards. In addition, upon leaving such an airport, the aircraft must be required to operate from an outlying airport until its controllability and safety are established, after which the aircraft may return to its base and use the established corridor for subsequent operations. The description of the area selected by the applicant and agreed to by the FAA must be made a part of the operating limitations.

(2) In the case of an aircraft located at any airport surrounded by a densely populated area and lacking any acceptable approach/departure corridor, the FAA must deny the airworthiness certificate and process the denial in accordance with paragraph 4002 of this order. The applicant must be advised to relocate the aircraft by other means to a suitable airport.

> **Note:** An acceptable approach/departure corridor exists when the corridor provides reasonable opportunity(s) to execute an off-airport emergency landing that will not jeopardize other persons or property.

c. Operation Within an Assigned Flight Test Area. Except for amateur-built aircraft, there are no specific flight time requirements for operation within an assigned flight test area. Each case must be judged on the individual conditions, such as the type and complexity of the aircraft. For example, flight testing in conjunction with an STC modification may require only 1 hour in an assigned flight test area while the initial operation of a prototype jet aircraft or a military surplus jet aircraft may require 20 or more hours before the requirements of 14 CFR § 91.319(b) can be met. In any event, the FAA should not amend the operating limitations to permit flight outside of the assigned flight test area until the applicant certifies and the FAA finds compliance with 14 CFR § 91.319(b). This finding by the FAA may be a review of the aircraft records containing a statement by the pilot that the aircraft is controllable throughout its normal range of speeds and throughout all of the maneuvers to be executed, and has no hazardous operating characteristics or design features. Also, the maintenance history while in the test area must be satisfactory. The FAA may witness flights or inspect the aircraft if deemed necessary. The PC holder may show compliance with 14 CFR § 91.319(b) in accordance with its FAA-approved experimental operating procedure (see paragraph 4127 of this order).

d. Aerobatics.

(1) Aerobatic maneuvers may be permitted while the aircraft is in the assigned flight test area if, in the FAA's judgment, the aircraft has the capability of such flight. However, these maneuvers should not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable.

(2) Aerobatic maneuvers that have been demonstrated in the assigned flight test area should be documented in the aircraft records. Only those aerobatic maneuvers that have been successfully accomplished should be permitted after leaving the assigned flight test area.

(3) Those aircraft owners/operators wishing to include new aerobatic maneuvers will need to make a request for a new flight test area and follow the same conditions as noted in paragraph 4075d(2) of this order.

4076. Operating Outside Flight Test Areas.

a. Aircraft that have satisfied the requirements outlined under paragraph 4075c of this order may be operated outside of an assigned flight test area. Except as provided for in paragraph 4127 of this order, operation of the aircraft outside an assigned flight test area will require issuance of a new experimental airworthiness certificate with the new amended operating limitations.

b. Before authorizing an aircraft to operate outside of an assigned flight test area, the FAA should ensure the requirements of 14 CFR § 91.9 have been satisfied and are available in the aircraft. The FAA should prescribe those limitations listed in chapter 4, sections 7 through 11 of this order (as appropriate), and any others that might be appropriate. Except for amateur-built aircraft, if any major changes are made to an aircraft after it has been certificated for operation outside of a previously assigned flight test area, the cognizant FAA office must be notified. After the FAA offices have been notified and a determination is made that the aircraft needs to return to a flight test area, an amended certificate should be applied for with new limitations as needed. A new FAA Form 8130-7 is required whenever operating limitations are amended, because the date of the old limitations on the corresponding certificate would not be the same as the date of the new limitations, and alteration of the certificate to change the date is not permitted.

> **Note:** Operation of all group 1, 2, 3, 4, 5, 6, and 7 aircraft is restricted to airports that are within airspace classes C, D, E, or G, except in the case of a declared emergency or authorized operations under an airshow waiver. Before issuing operating limitations for the aircraft, the FAA will coordinate approach and departure corridors with the FSDO operations unit and the air traffic control facility that has the geographic responsibility for the airport at which the aircraft will be based or operations conducted. In addition, the applicant will provide a highlighted aeronautical map or chart depicting the proposed operational area, including a list of the proposed alternate airports. The radius may not exceed the limits authorized for the applicable aircraft group. The map/chart is part of the aircraft operating limitations and must be carried aboard the aircraft when operating.

4077.-4080. Reserved.

Section 8. Experimental LSA Airworthiness Certifications

4081. General. As defined in 14 CFR § 1.1 and the provisions of 14 CFR §§ 21.191 and 21.193, an experimental purpose for the operation of LSA is categorized within six classes of aircraft: airplanes, gliders, powered parachutes, weight-shift-control aircraft (commonly called trikes), gyroplanes, and lighter-than-air aircraft (balloons and airships).

a. Eligibility. Three types of LSA are eligible for an experimental airworthiness certificate:

(1) Light-sport kit aircraft or kit-built LSA eligible in accordance with 14 CFR § 21.191(i)(2) for an experimental LSA airworthiness certificate must meet the following criteria:

(a) The aircraft is manufactured to the requirements of the applicable consensus standard published in the Federal Register, and manufactured by an LSA kit manufacturer issued a special airworthiness certificate in the LSA category for an aircraft of the same make and model in accordance with 14 CFR § 21.193(e)(1).

(b) The manufacturer's SOC meets 14 CFR § 21.190(c), except for 14 CFR § 21.190(c)(7). Instead of meeting the requirements of 14 CFR § 21.190(c)(7), the manufacturer identifies assembly instructions for the aircraft that meet the applicable consensus standard.

(c) The applicant is able to provide the aircraft documentation required by 14 CFR § 21.193(e).

(d) For an aircraft kit manufactured outside the United States or an aircraft assembled outside the United States from a kit, evidence that the aircraft kit was manufactured or, when the aircraft was assembled from a kit, that the aircraft was manufactured and assembled in a country with which the United States has a BAA or a BASA with associated IPA concerning airplanes, or an equivalent airworthiness agreement, and is eligible for an airworthiness certificate, flight authorization, or other similar certification in its State of Manufacture.

(2) Aircraft previously issued an LSA category airworthiness certificate under 14 CFR § 21.190 are eligible for an experimental LSA airworthiness certificate.

b. General Design and Construction.

(1) To be eligible for an experimental certificate for the purpose of operating an LSA under 14 CFR § 21.191(i)(1), aircraft do not have to meet the requirements of any consensus standard. These aircraft must not have been issued a U.S. or foreign airworthiness certificate of any type. They must not meet the provisions of 14 CFR § 103.1; they cannot be an ultralight vehicle. The aircraft must be in a condition for safe operation as demonstrated through a review of the aircraft records and flight history, and/or a series of flight tests. An experimental certificate under 14 CFR § 21.191(i)(1) will not be issued after January 31, 2008.

(2) An LSA manufacturer's kit may be eligible for an experimental certificate for the purpose of operating an LSA under 14 CFR §§ 21.191(i)(2) and 21.193, provided the aircraft is constructed in accordance with the criteria set forth in the applicable consensus standard that has been identified as acceptable by the FAA. Notice of this FAA acceptance is published in the Federal Register. A list of the accepted standards can be found on the FAA website. The aircraft must be assembled in accordance with the manufacturer's assembly instructions set forth in the applicable consensus standard. Before certification, alterations to the kit components or deviations from the assembly process must be coordinated with and approved by the LSA kit manufacturer and documented in the aircraft records.

(3) Aircraft previously issued a special airworthiness certificate in the light-sport category under 14 CFR § 21.190 may be eligible for an experimental certificate for the purpose of operating an LSA under 14 CFR § 21.191(i)(3). These aircraft have previously been flight tested and are not required to have additional flight testing unless they have been altered. All alterations must be recorded in the aircraft records before the original certification.

(4) For a major change to the aircraft, the FAA may modify the experimental LSA operating limitations with special restrictions for flight testing due to the aircraft modification.

c. Kit Assembly.

(1) Eligible aircraft must be designed in accordance with the applicable consensus standard, and assembled in accordance with the LSA kit manufacturer's assembly instructions. Accordingly, the detailed design data, quality systems, and procedures will not necessarily be the same as that of the holder of a type design and PC for the production of aircraft. The components of LSA kit aircraft are not necessarily held to the requirements of type-certificated or supplement type-certificated aircraft, or those of parts manufacturer approval status.

(2) The LSA kit does not have to meet a major portion requirement. However, the applicant must show evidence that the LSA is properly assembled in accordance with the manufacturer's assembly instructions for that aircraft.

> **Note:** The FAA does not certify LSA manufacturer's kits or approve the kit manufacturers. The FAA does not perform evaluations of LSA kits or LSA kit manufacturers, and no FAA listing of approved or evaluated LSA kits or manufacturers will be provided.

d. Advising Applicants.

(1) The FAA inspection of an experimental LSA will be limited to a general airworthiness inspection when the aircraft is submitted for airworthiness certification. The FAA will not perform any progressive inspections during the construction or assembly of the aircraft. All advice, if any, given to the LSA kit builder by the FAA should be made a matter of record for future reference. The FAA ASI or DAR will not perform any part of the fabrication, construction, assembly, testing, or manufacturing inspections to the aircraft.

(2) When the prospective LSA kit builder contacts the appropriate FAA office to advise the FAA of the project, the FAA should provide the prospective kit builder with the applicable forms and any guidance necessary to ensure a thorough understanding of applicable regulations.

(3) When an applicant is seeking to obtain an experimental certificate for LSA and intends to use the aircraft for flight instruction for compensation or hire, the applicant should be advised that this provision expired January 31, 2010, in accordance with 14 CFR § 91.319. The date January 31, 2010 coincides with the expiration date on the initial issued special airworthiness certificate. If an experimental light-sport aircraft (ELSA) special airworthiness certificate expires, the aircraft cannot be operated, and there is no basis for reissuance. Before the expiration date (that is, January 31, 2010), the applicant must have applied for, and been issued, an amended special airworthiness certificate for the purpose of operating an ELSA of unlimited duration.

(4) An applicant seeking to obtain an experimental LSA certificate for a kit-built aircraft should be advised that the aircraft will have to be in compliance with 14 CFR § 91.319(b). To show this compliance, the applicant must perform flight testing that addresses the requirements, goals, and objectives of the applicable consensus standard acceptance flight test. The flight test program will be developed in accordance with the manufacturer's aircraft operating instructions, maintenance and inspection procedures, and flight training supplement using the applicable consensus standard ground and flight testing procedures in conjunction with the operating limitations assigned. A flight test program demonstrates that the aircraft has been adequately tested and determined to be in a condition for safe operation within the aircraft's flight envelope in accordance with 14 CFR § 91.319(b).

(5) The applicant seeking to obtain an experimental LSA certificate for a kit-built aircraft should be advised the aircraft must not be modified or altered without manufacturer's approval before initial certification.

(6) The FAA office, when requested, should furnish an applicant for an experimental LSA certificate with the following forms:

(a) Aeronautical Center Form 8050-1, Aircraft Registration Application;

(b) FAA Form 8130-6; and

(c) Aeronautical Center Form 8050-88A, Affidavit of Ownership for Experimental or Special Light-Sport Aircraft.

(7) At the time of airworthiness certification—

(a) The aircraft should be complete in every respect, and

(b) The applicant must submit all required documentation. Such documentation includes appropriate completed FAA forms, the aircraft's documentation in accordance with 14 CFR §§ 21.191 and 21.193, and, when applicable, the aircraft maintenance records in accordance with 14 CFR part 43. If the applicant cannot or will not provide the appropriate documentation, the applicant should be advised that the aircraft cannot be certificated as an experimental LSA until satisfactory evidence is provided to substantiate that the aircraft's required documentation is complete.

e. Weight and Balance.

(1) Before certification, the applicant should accurately weigh the aircraft in accordance with established weight and balance or weight and loading procedures to determine the aircraft's empty, gross, and most forward and aft CG location, when applicable, including the weight and balance or weight and loading for the initial flight tests to help reduce stall, spin, and other control-related accidents. If the aircraft is constructed from a kit, the predetermined manufacturer's data should be used. The completed weight and balance or weight and loading report, including load limits for flightcrew (when applicable), oil, fuel, and any cargo carrying capabilities, should be available on the aircraft along with the other applicable placards, listings, and markings required by 14 CFR § 91.9.

(2) Before certificating the aircraft, the FAA should verify that the weight and balance or weight and loading data is accurate for that aircraft, that the aircraft has been weighed correctly, and that the CG and its most forward and aft CG limits are established.

f. Transfer of Airworthiness Certificates. An airworthiness certificate is transferred with the aircraft (14 CFR § 21.179), for example, if there is a change of ownership or transfer of registration. There is no FAA inspection required after transfer of an aircraft with its airworthiness certificate unless it is determined that revised operating limitations are necessary. In this case, a new FAA Form 8130-7 must be issued to reflect the new date of the revised operating limitations. Therefore, the applicant must submit a properly completed FAA Form 8130-6.

g. Prototype Aircraft Produced by a Light-Sport Manufacturer. When a light-sport prototype aircraft is flown by the manufacturer under an experimental certificate to ensure there are no adverse flight characteristics (14 CFR § 91.319(b)) and the manufacturer provides the necessary documentation (14 CFR § 21.190) with the appropriate FAA forms and applications, the aircraft is then eligible for transfer to LSA category certification.

(1) An application for airworthiness certificate in the light-sport category or experimental LSA purpose cannot be accepted for a manufacturer's prototype aircraft. The FAA may issue an experimental certificate for the purpose of R&D as long as the applicant's flight test program is in accordance with the applicable consensus standard.

(2) Following termination of an R&D program, such prototype aircraft may be eligible for an LSA category certificate, or an experimental purpose with appropriate operating limitations issued for that purpose.

(3) LSA manufacturers also may be eligible to receive an experimental certificate (14 CFR § 21.191(f)) for the purpose of conducting market surveys, sales demonstrations, and customer crew training as provided in 14 CFR § 21.195(a). The airworthiness certificate may be issued only after the applicant has satisfied the requirements of 14 CFR § 21.195(d).

4082. Certification Procedures. The procedures in this chapter provide guidance material associated with airworthiness certification and the issuance of FAA Form 8130-7.

a. General. The FAA airworthiness certification process consists of a general airworthiness inspection of the aircraft. It is accomplished after the aircraft is completed and before the issuance of an experimental certificate. During this inspection, the FAA may not request disassembly of the aircraft. The only time disassembly must be requested is when there is a question of safety that would endanger the general public. The applicant must provide documented evidence that the aircraft has been manufactured and constructed to the applicable consensus standard. The FAA will review the applicant's documentation supplied with the aircraft to verify it agrees with the identification and description given in the applicable FAA-accepted consensus standard, meets the definition of 14 CFR § 1.1 for certification, and meets the requirements of 14 CFR §§ 21.191 and 21.193 as applicable.

b. Record Inspection and Document Review. The FAA must—

(1) Obtain from the applicant a properly executed FAA Form 8130-6 and any other documents required for the certification. Kits and aircraft assembled from kits manufactured outside the United States require evidence of manufacture within countries that have a BAA concerning airplanes or a BASA with associated IPA concerning airplanes in accordance with 14 CFR § 21.193(e)(6).

(2) Obtain from the applicant a program letter identifying the aircraft, the purpose of the certificate, the area over which the operations are to be conducted with drawings or photographs as required by 14 CFR § 21.193(d)(4), and the duration of the program.

(3) Review documentation for LSA being certificated under 14 CFR § 21.191(i)(2). FAA Form 8130-15 is required.

(4) Review the documentation provided by the applicant to determine that the registration requirements of 14 CFR part 47 have been met, and ensure the aircraft is marked in accordance with 14 CFR part 45.

(5) Check with AFS-750 to determine if a denial letter exists for the particular aircraft. This may assist the inspector in determining aircraft eligibility.

> **Note:** The FAA-accepted consensus standards that are listed on FAA Form 8130-15 must be the revision in effect at the date of the manufacturer's signature. For verification of the FAA-accepted consensus standards see the ACE LSA website matrix or NOA information on the FAA website under Airworthiness Certification, LSA, standards.

(6) Review the aircraft records to determine whether any required maintenance and inspections have been accomplished and to determine that all relevant and applicable ADs and service directives have been complied with. Records must be complete.

(7) Review the applicant's weight and balance or weight and loading data for accuracy and currency for the aircraft submitted.

c. Aircraft Inspection. The FAA must arrange with the applicant to make the aircraft available for inspection to determine the following:

(1) The ID plate meets the requirements of 14 CFR § 45.11, as applicable.

(2) The information on the ID plate is correct, matches the information on FAA Form 8130-6, and is in accordance with 14 CFR § 45.13, as applicable.

(3) The aircraft nationality and registration marks are in accordance with 14 CFR part 45 and, as applicable, with 14 CFR §§ 45.23, 45.27, and 45.29.

(4) The flight control systems and associated instruments as equipped operate properly and are appropriate for each of the six classes of LSA.

(5) The cockpit instruments are appropriately marked, and needed placards are installed and placed for easy reference.

(6) System controls (for example, fuel selector(s) and electrical switches/breakers) are appropriately placed, clearly marked, provide easy access and operation, and function in accordance with the manufacturer's specifications and applicable consensus standard.

(7) An ELT is installed, when required (14 CFR § 91.207).

(8) Airframe emergency parachutes are properly marked and identified.

d. Certificate Issuance. Upon satisfactory completion of the records inspection, documentation review, and aircraft inspection, the FAA will issue the special airworthiness certificate for the purpose of operating an experimental LSA with appropriate operating limitations. The operating limitations must be attached to FAA Form 8130-7. The FAA must review the operating limitations with the applicant to ensure a clear understanding. The FAA may elect to issue an experimental LSA airworthiness certificate on a one-time basis to determine that the aircraft meets the requirements of 14 CFR § 91.319(b). When the airworthiness certificate is to be issued for an unlimited duration, the operating limitations may be prescribed in two phases in the same document as follows:

(1) For the phase I limitations, the FAA must prescribe all operating limitations appropriate for the applicant to demonstrate compliance with 14 CFR § 91.319(b) in the assigned flight test area. This includes a limitation requiring the owner/operator to endorse the aircraft logbook with a statement certifying that the prescribed flight hours have been completed, and the aircraft has been shown to comply with 14 CFR § 91.319(b) and the requirements of the

applicable consensus standard. The owner/operator may then operate in accordance with phase II.

(2) For the phase II limitations, the FAA may prescribe operating limitations for experimental LSA for an unlimited duration, as appropriate.

(3) Under 14 CFR § 91.319(i), the FAA may prescribe any additional limitations in phase I or phase II deemed necessary in the interest of safety.

(4) If the aircraft meets the requirements for the certification, the FAA must—

(a) Make an aircraft logbook entry.

(b) Issue FAA Form 8130-7 with appropriate operating limitations.

(c) Complete sections V and VIII of FAA Form 8130-6, in accordance with the instructions contained in chapter 8 of this order.

(d) Examine, review, and route the certification file in accordance with the instructions contained in chapter 8 of this order.

(5) If the aircraft does not meet the requirements for the certification requested and the airworthiness certificate is denied, the FAA must—

(a) Write a letter to the applicant stating the reason(s) for denying the airworthiness certificate.

(b) Attach a copy of the denial letter to FAA Form 8130-6 and forward it to AFS-750 to be made part of the aircraft record.

4083. Flight Test Areas.

a. General. 14 CFR § 91.319(b) requires that an unproven aircraft be assigned to a flight test area. The assigned test area is prescribed in accordance with 14 CFR § 91.305. The FAA, when requested, should assist applicants in selecting areas that comply with 14 CFR § 91.305. The FAA is required to evaluate each application to determine that the flight test area does not exceed what is reasonably required to accomplish the program. Actions pertaining to flight test areas must be coordinated with the nearest office of the Air Traffic Service.

b. Assigned Flight Test Area. Under 14 CFR §§ 91.305 and 91.319(b), all initial flight operations of experimental aircraft must be limited to the assigned flight test area until the aircraft is shown to be controllable throughout its normal range of speeds and all maneuvers to be executed, and has not displayed any hazardous operating characteristics or design features.

(1) In the case of the first flight of an aircraft from an airport surrounded by a densely populated area, but with at least one acceptable approach/departure route of flight, the FAA must ensure that a route of flight is selected that subjects the fewest persons and least property to possible hazards. In addition, upon leaving such an airport, the aircraft should be required to

operate from an outlying airport until its controllability and safety are established, after which the aircraft may return to its base and use the established corridor for subsequent operations. The description of the area selected by the applicant and agreed to by the FAA must be made a part of the operating limitations.

(2) In the case of an aircraft located at any airport surrounded by a densely populated area and lacking any acceptable approach/departure route of flight, the FAA must deny the airworthiness certificate and process the denial in accordance with paragraph 4002 of this order. The applicant must be advised to relocate the aircraft by other means to a suitable airport.

> **Note:** An acceptable approach/departure route of flight may be considered to exist when the route of flight provides a reasonable opportunity to execute an off-airport emergency landing that will not jeopardize other persons or property.

c. Assignment to the Flight Test Area. Although the period of assignment is not established by regulation, the following time is suggested as a guideline when issuing airworthiness certificates for experimental LSA:

(1) LSA issued original experimental airworthiness certificates must be limited to operation within an assigned flight test area for a minimum of 5 hours for all classes of LSA to determine aircraft controllability throughout its design limits.

(2) Previously noncertificated ultralight-like vehicles or other aircraft that meet the definition of an LSA as defined in 14 CFR § 1.1 should not be limited to operation within an assigned flight test area, provided the following are met:

(a) Evidence is shown of routine inspections;

(b) It is shown through flight records that the aircraft is controllable throughout its normal range of speeds and throughout all maneuvers to be executed, and has no hazardous operating characteristics or design features; and

(c) All aircraft records are presented.

(3) Aircraft previously issued a special airworthiness certificate in the light-sport category under 14 CFR § 21.190, applying for an experimental certificate for the purpose of operating LSA under 14 CFR § 21.191(i)(3), may not be required to complete a flight test program under phase I. The applicant must provide evidence that no major modifications or unapproved changes were made after the issuance of the original airworthiness certificate.

(4) Following any major change, an LSA must be assigned to a flight test area for an appropriate time to conduct a flight test and evaluate that the aircraft is in a condition for safe operation. The guidance baseline for this testing is 5 hours of flight time within the flight test area.

d. Operation Outside the Flight Test Area. During operation outside the flight test area, the following placard must be displayed in the aircraft in full view of all occupants: "PASSENGER WARNING—THIS AIRCRAFT IS AN EXPERIMENTAL LIGHT-SPORT AIRCRAFT AND DOES NOT COMPLY WITH FEDERAL SAFETY REGULATIONS FOR STANDARD AIRCRAFT."

4084. Issuance of Experimental Light-Sport Operating Limitations.

a. Operating limitations must be designed to fit the specific situation encountered. The FAA may impose any additional limitations deemed necessary in the interest of safety. The FAA must review each imposed operating limitation with the applicant to ensure the applicant understands the operating limitations.

b. Operating limitations for phase I flight testing to meet the requirements of 14 CFR § 91.319(b) are not applied to those aircraft surrendering an LSA category certificate and applying for an experimental certification for the purpose of operating LSA when the aircraft has previously been flight tested and is in a condition for safe operation, and all information is documented in the aircraft's records. This exclusion from phase I flight testing does not apply to those transferals of aircraft airworthiness certification when the purpose is to incorporate a major change to the aircraft that would require compliance to 14 CFR § 91.319(b).

c. The following operating limitations must be prescribed for the operation of experimental LSA when certification has been conducted under the provisions 14 CFR § 21.191(i)(1), (2), or (3), and will be issued as shown below. Any deviation from the text must be coordinated in accordance with this order.

(1) No person may operate this aircraft for other than the purpose of meeting the requirements of 14 CFR § 91.319(b) during phase I flight testing and, for the purpose of operating LSA, after meeting these requirements as stated in the program letter (required by 14 CFR § 21.193) for this aircraft. In addition, this aircraft must be operated in accordance with the applicable air traffic and general operating rules of 14 CFR part 91 and all additional limitations herein prescribed under the provisions of 14 CFR § 91.319(i). These operating limitations are a part of FAA Form 8130-7, must be carried in the aircraft at all times, and must be available to the pilot in command of the aircraft.

(2) This aircraft must display the word "experimental" in accordance with 14 CFR § 45.23(b).

(3) This aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. The owner/operator of this aircraft must obtain written permission from another CAA before operating this aircraft in or over that country. That written permission must be carried aboard the aircraft together with the U.S. airworthiness certificate and, upon request, be made available to an ASI or the CAA in the country of operation.

(4) Application must be made to the geographically responsible FSDO or MIDO for any amendment to these operating limitations.

(5) During phase I flight testing to meet the requirements of 14 CFR § 91.319(b), or as a result of the incorporation of a major change, all flights must be conducted within the assigned geographic area.

(a) The area must be described by radius, coordinates, and/or landmarks.

(b) The designated area must be over open water or sparsely populated areas having light air traffic.

(c) The size of the area must be adequate to safely conduct the anticipated maneuvers and tests.

Note: In the case of an airport surrounded by a densely populated area, see paragraph 4075b(1) of this order.

(6) Flight testing required for phase I operations or as a result of the incorporation of a major change will be conducted in the assigned test area. Flight test operations will only be conducted under VFR day conditions, with the pilot as the sole occupant of the aircraft. This aircraft must be operated for at least _____ hours in the assigned geographic area. Following the satisfactory completion of the required number of flight hours in the flight test area, the pilot must certify in the aircraft records that the aircraft has been shown to comply with 14 CFR § 91.319(b) with a statement that includes the following information: **"I certify that the prescribed flight test hours have been completed and the aircraft is controllable throughout its normal range of speeds and throughout all maneuvers to be executed, has no hazardous operating characteristics or design features, and is safe for operation. The flight test was completed under the following conditions: maximum operating weight, style/set of wing or sail, maximum demonstrated airspeed, and minimum demonstrated stall speed."** All major changes or modifications will be listed in the aircraft records and the compliance statement will be restated with the changes listed. The aircraft may not be operated in excess of the weights and speeds demonstrated.

Note: An LSA-issued original experimental certificate or one issued as a result of the incorporation of a major change should be limited to operations within an assigned flight test area for a minimum of 5 hours for all classes of LSA.

(7) Any change to the flight test area location or size must be coordinated with the geographically responsible FSDO where the aircraft is based, with FAA concurrence received in writing.

(8) Except for takeoffs and landings, this aircraft may not be operated over densely populated areas or in congested airways.

Note: This limitation is applicable for phase I and II and should be issued in accordance with paragraph 4075b(1) and (2) of this order.

(9) This aircraft is prohibited from operating in congested airways or over densely populated areas, unless directed by air traffic control, or unless sufficient altitude is maintained to effect a safe emergency landing in the event of a power unit failure, without hazard to persons or property on the ground.

Note: This limitation is applicable to the aircraft after it has satisfactorily completed all requirements for phase I flight testing, has the appropriate endorsement in the aircraft logbook, and is operating in phase II.

(10) This aircraft is to be operated under VFR day only.

(11) After completion of phase I flight testing, unless appropriately equipped for night and/or instrument flight in accordance with 14 CFR § 91.205, this aircraft is to be operated under VFR day only.

(12) No person may operate this aircraft for carrying persons or property for compensation or hire.

Note: This limitation must be issued for all aircraft certificated under 14 CFR § 21.191(i).

(13) No person may operate this aircraft for compensation or hire, except this aircraft may be used for compensation or hire to conduct towing of a light-sport glider or an unpowered ultralight vehicle in accordance with 14 CFR § 91.309.

Note: Limitation (13) applies to towing and has no expiration date. The gliders that can be towed must meet the definition in 14 CFR § 1.1 or 14 CFR § 103.1. When limitation (13) applies, limitation (23) also applies.

(14) The pilot in command of this aircraft must advise the passenger of the experimental nature of this aircraft and that it does not meet the certification requirements of a standard certificated aircraft.

(15) This aircraft must contain the placards and markings as required by 14 CFR § 91.9. In addition, the placards and markings must be inspected for legibility and clarity, and the associated systems inspected for easy access and operation, to ensure they function in accordance with the manufacturer's specifications during each condition inspection.

(16) This aircraft is prohibited from aerobatic flight, that is, an intentional maneuver involving an abrupt change in the aircraft's attitude, an abnormal attitude, or abnormal acceleration not necessary for normal flight.

> **Note:** When the manufacturer states within the aircraft's operating instructions that the aircraft is capable of aerobatic flight, limitation (17) will be used instead of limitation (16).

(17) This aircraft may conduct aerobatic flight in accordance with the provisions of 14 CFR § 91.303. Aerobatics must not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable. The aircraft may only conduct those aerobatic flight maneuvers addressed in the aircraft's operating instructions and that have been satisfactorily accomplished during flight testing and recorded in the aircraft records. The aircraft may only conduct those aerobatic flight maneuvers that have been satisfactorily accomplished during flight testing and recorded in the aircraft maintenance records by use of the following, or a similarly worded, statement: **"I certify that the following aerobatic maneuvers have been test flown, and that the aircraft is controllable throughout the maneuvers' normal range of speeds and is safe for operation. The flight-tested aerobatic maneuvers and speeds are _____ at _____, _____ at _____, _____ at _____, and _____ at _____."**

> **Note:** Aerobatic flights may be permitted in the assigned test area. The applicant should be advised that aerobatics or violent maneuvers should not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable. These operating limitations may be modified to include only those aerobatics/maneuvers that have been satisfactorily accomplished and recorded in the aircraft records during the flight test period. These aerobatic maneuvers should be permitted upon leaving the assigned test area. Appropriate limitations identifying the aerobatics/maneuvers and conditions under which they may be performed should be prescribed. The FAA may witness aerobatic maneuvers if deemed necessary.

(18) The pilot in command of this aircraft must hold at least—

(a) A student pilot certificate with a _____ category, _____ class, _____ make/model privilege endorsement by an authorized instructor; or

(b) A sport pilot certificate, with a _____ category, _____ class, privilege endorsement (see 14 CFR § 61.317); or

(c) A recreational pilot certificate or higher with sport pilot privileges, with a _____ category, _____ class, privilege endorsement (see 14 CFR § 61.317); or

(d) A recreational pilot certificate or higher.

Note: This limitation must be aircraft-specific. When the aircraft clearly fits a category or class, the ASI or designee must list the category and class. When it is an aircraft for which a category and class has not been defined, select a category and class that has operating and handling characteristics that most closely resemble those of the aircraft.

(19) This aircraft must not be used for banner towing operations or intentional parachute jumping.

(20) The pilot in command of this aircraft must notify air traffic control of the experimental nature of this aircraft when operating into or out of airports with an operational control tower. When filing IFR, the experimental nature of this aircraft must be listed in the remarks section of the flight plan.

(21) Aircraft instruments and equipment installed and used under 14 CFR § 91.205 must be inspected and maintained in accordance with the requirements of part 91. Any maintenance or inspection of this equipment must be recorded in the aircraft maintenance records.

(22) No person may operate this aircraft unless within the preceding 12 calendar months it has had a condition inspection performed in accordance with the scope and detail to 14 CFR part 43, appendix D, or other FAA-approved programs, and was found to be in a condition for safe operation. As part of the condition inspection, cockpit instruments must be appropriately marked and needed placards installed in accordance with 14 CFR § 91.9. In addition, system-essential controls must be in good condition, securely mounted, clearly marked, and provide for ease of operation. This inspection will be recorded in the aircraft maintenance records.

(23) No person may operate this aircraft to tow a light-sport glider or unpowered ultralight vehicle for compensation or hire or conduct flight training for compensation or hire in this aircraft unless within the preceding 100 hours of time in service the aircraft has been inspected by a certificated light-sport repairman with a maintenance rating, an appropriately rated certificated mechanic, an appropriately rated repair station in accordance with inspection procedures developed by the aircraft manufacturer, in accordance with the scope and detail of 14 CFR part 43, appendix D, or a person acceptable to the FAA.

(24) Condition inspections must be recorded in the aircraft maintenance records showing the following, or a similarly worded, statement: **"I certify that this aircraft has been inspected on [**insert date**] in accordance with the scope and detail of 14 CFR part 43, appendix D, or the manufacturer's inspection procedures, and was found to be in a condition for safe operation."** The entry will include the aircraft's total time-in-service, and the name, signature, certificate number, and type of certificate held by the person performing the inspection.

(25) An experimental LSA owner/operator as a repairman for this aircraft under 14 CFR § 65.107, an appropriately rated FAA-certificated mechanic, or an appropriately rated FAA repair station may perform the condition inspection required by these operating limitations.

4085.-4095 Reserved.

Section 9. Experimental Amateur-Built Airworthiness Certifications

4096. General. Under the provisions of 14 CFR § 21.191(g), an amateur-built aircraft is defined as an aircraft of which the major portion has been fabricated and assembled by a person(s) who undertook the construction project solely for their own education or recreation.

a. Amateur-built aircraft may be constructed from—

(1) An amateur builder's original design, or

(2) Purchased plans.

b. Some kits have been evaluated by the FAA; some have not. These evaluations are not required by the regulations, nor is a manufacturer required to have a kit evaluated by the FAA before selling it. Kit evaluations determine whether aircraft fabricated and assembled by an amateur builder from an evaluated kit may meet the major portion requirement of 14 CFR § 21.191(g) and be eligible for an experimental amateur-built airworthiness certificate.

c. Amateur builders who contact their local FAA managing office should be advised of the availability of forms and AC 20-27, Certification and Operation of Amateur-Built Aircraft, to assist them in planning their project. See paragraph 4101b of this order for a complete list of available guidance.

4097. Eligibility.

a. Basic Guidelines. Amateur-built aircraft are eligible for a special airworthiness certificate in the experimental category, for the purpose of operating amateur-built aircraft when—

(1) The FAA finds that the aircraft complies with acceptable aeronautical standards and practices,

(2) The aircraft is in condition for safe operation, and

(3) The applicant (individual or group) presents satisfactory evidence that the major portion of the aircraft was fabricated and assembled solely for their own educational or recreational purposes.

> **Note:** Fabrication is defined as to perform work on any article such as layout, bending, countersinking, straightening, cutting, sewing, gluing/bonding, layup, forming, shaping, trimming, drilling, deburring, machining, applying protective coatings, surface preparation and priming, riveting, welding or heat treating, and transforming the article toward or into its finished state.

b. Statement of Eligibility. The applicant must submit a notarized FAA Form 8130-12, Eligibility Statement, Amateur-Built Aircraft (see figure 4-10 of this order), certifying the major portion was fabricated and assembled for educational or recreational purposes.

(1) The form specifies that an amateur builder identify if commercial assistance was used in the construction of the aircraft and identify the source of the assistance.

(2) Evidence and records must be available to support these statements and provided to the FAA upon request.

(3) Records that are typically requested are listed in paragraph 4101e of this order.

c. Additional Information and Demonstrating Level of Knowledge. To determine level of knowledge, the FAA may ask the applicant to provide information during the airworthiness inspection. For example, the FAA could ask the applicant to describe a particular construction task or technique used to fabricate the aircraft or provide information as to the type of materials. These discussions enable the FAA to evaluate the involvement of the applicant in the construction of the aircraft.

d. Prototype Aircraft Produced by an Amateur-Built Aircraft Kit Manufacturer. In some cases, prototype aircraft originally certificated under market survey/crew training were used to prove their design for amateur-built purposes. However, such aircraft are considered to be produced as a furtherance of a business, in that their design is intended to be sold as plans and/or kits, and therefore are not eligible for amateur-built aircraft status.

(1) These prototype aircraft are not produced by persons "solely for their own education or recreation," and therefore are not eligible for an experimental airworthiness certificate under 14 CFR § 21.191(g).

(2) Following termination of their use in the business development activity, such prototype aircraft may be eligible for an experimental certificate for another purpose(s).

(3) In those instances where an aircraft is constructed at a manufacturing facility by employees or principals of that company, the applicant must demonstrate to the FAA that the aircraft was not produced to be used in the furtherance of the business activities of that company.

(4) Kit aircraft manufactured and assembled by a business, as either a prototype or for sale to other persons, are not considered amateur-built and do not meet the education or recreation requirements of 14 CFR § 21.191(g). Applications for such aircraft will not be accepted.

e. Records. If records are not available to support the eligibility statement, FAA Form 8130-12, the FAA will not be able to find compliance to the education, recreation, and major portion requirements of 14 CFR § 21.191(g).

4098. Determination of Major Portion. The determination of major portion is made by evaluating the amount of work accomplished by the amateur builder(s) against the total amount of work necessary to complete the aircraft, excluding standard procured items. The major portion of the aircraft is defined as more than 50 percent of the fabrication and assembly tasks, commonly referred to as the "51-percent rule." An aircraft is not eligible for an experimental amateur-built certificate under 14 CFR § 21.191(g) if the major portion of the aircraft fabrication and assembly tasks are not completed by an amateur builder(s).

a. Use of Prior Policy. If an aircraft kit was evaluated and placed on the FAA List of Amateur-Built Aircraft Kits or if a non-evaluated aircraft kit was purchased from the manufacturer before September 30, 2009, the prior policy will be used. However, other factors, such as a major change to the kit by the manufacturer or a builder's use of commercial assistance, will preclude the use of prior policy. Figure 4-21 of this order depicts the use of the prior policy.

b. FAA Use of the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009). The Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) is to be used by the FAA as an aid in determining compliance with the major portion requirement of 14 CFR § 21.191(g). A specific checklist has been developed for fixed-wing aircraft. Checklists for other types of aircraft will be developed. Instructions for completion are included on the form. See FAA Order 8130.35, Amateur-Built Aircraft National Kit Evaluation Team (NKET), for a copy and instructions of the checklist. The Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) must be used when—

(1) Performing FAA kit evaluations by the NKET to determine if an aircraft fabricated and assembled from a kit may meet the major portion requirement of 14 CFR § 21.191(g).

(2) Commercial assistance was used by the amateur builder(s) during construction.

(3) The amateur builder made modifications to an aircraft kit included on the FAA List of Amateur-Built Aircraft Kits that potentially affects the major portion determination.

(4) The aircraft was built from prefabricated major components that are readily available from aircraft parts suppliers, other than those components listed in paragraph 4099a(2) of this order.

(5) The aircraft was built using any salvaged articles from aircraft that have been type certificated. For additional details and limitations affecting this practice, see paragraph 4099b through d of this order.

(6) The aircraft was built from a kit that has not been evaluated or found eligible by the FAA.

(7) Providing guidance to a kit manufacturer to determine if a proposed amateur-built kit may meet the major portion requirement of 14 CFR § 21.191(g).

(8) There are questions that arise as to the determination of major portion.

Note. Copies of the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) and/or FAA Form 8000-38, as appropriate, for each kit on the FAA List of Amateur-Built Aircraft Kits are available on the FAA website, under the "General Aviation & Recreational Aircraft-Ultralights & Amateur-Built Aircraft" section.

c. Providing Commercial and/or Educational Assistance. Amateur builders may contract for commercial assistance, but should notify the FAA if they intend to use commercial assistance. Amateur builders may also receive commercial educational assistance in the fabrication or assembly of specific articles, and the completion of tasks or processes involved in the construction of an aircraft. In some cases, this commercial assistance may be provided by kit manufacturers. The FAA may credit commercial assistance provided for educational purposes toward the major portion determination. However, this educational assistance cannot exceed a demonstration on how to perform the task.

(1) The amateur builder needs to submit a notarized FAA Form 8130-12, certifying the major portion was fabricated and assembled for educational or recreational purposes. The form specifies that an amateur builder identify if commercial assistance was used in the construction of the aircraft, and identify the source of the assistance. In addition, the amount of commercial assistance needs to be annotated on the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) for the specific make and model of aircraft. Evidence and records should be available to support these statements and provided to the FAA upon request.

(2) Any fabrication or assembly tasks contracted to another party (for hire) or provided by a commercial assistance center, including commercial assistance provided by a kit manufacturer, must not prevent the amateur builder(s) from meeting the major portion requirement. Fabrication knowledge is necessary for the FAA to issue the amateur builder a repairman certificate as the primary builder of the aircraft, to which the privileges of the certificate are applicable, as provided under 14 CFR § 65.104.

(3) The FAA may request to observe fabrication and assembly activities at any commercial assistance facility to determine whether the project can meet the major portion requirement of 14 CFR § 21.191(g).

4099. Design and Construction. The FAA should be reasonable in its requests for design data from amateur builders, keeping in mind that in most instances only one aircraft is involved. Accordingly, the amateur builder(s) are not required to have the detailed design data, quality systems, and procedures that holders of type and production certificates are required to have for the serial production of duplicate aircraft. Often, the amateur builder will only have the information provided with the kit. However, the amateur builder should be strongly encouraged to maintain the documentation listed in paragraph 4101e of this order to substantiate the fabrication and assembly process and show compliance with 14 CFR § 21.191(g).

a. Use of Commercially Produced Products and Articles. To meet the intent of 14 CFR § 21.191(g) and to be eligible for an experimental airworthiness certificate, satisfactory evidence must be presented to show that the aircraft was not assembled from completely prefabricated products, articles, or kits.

(1) The FAA recognizes that amateur builders cannot be expected to have fabricated every product and article that makes up the aircraft and that some products and articles will be acquired from commercial sources.

(2) Items such as engines, engine accessories, propellers, rotor blades, rotor hubs, tires, wheel and brake assemblies, instruments, and standard aircraft hardware, including pulleys, bell cranks, rod ends, bearings, bolts, rivets, hot air balloon burners, and fuel tanks, are acceptable and may be procured on the open market. The use of these products and articles are not counted against the amateur builder or kit manufacturer when the FAA determines whether the amateur-built aircraft has met the major portion requirement.

b. Use of Salvaged Articles from Type-Certificated Aircraft. The use of used or salvaged articles (for example, landing gear, horizontal stabilizer, and engine mount) from type-certificated aircraft is permitted, as long as they are in a condition for safe operation, however—

(1) When a project involves a major article, such as wings, fuselage, or tail assembly, contact AIR-200 for a determination of eligibility to 14 CFR §21.191(g). AIR-200 will coordinate with Flight Standards Service, Aircraft Maintenance Division, AFS-300 personnel to resolve such issues.

(2) No credit will be given to the amateur builder(s) for any work on these salvaged articles when determining whether the amateur-built aircraft has met the major portion requirement. This would include any "rebuilding" or "restoring" activities to return these articles to an airworthy condition.

(3) All fabrication, installation, and assembly tasks accomplished with used or salvaged articles will be credited to the "Mfr Kit/Part/Component" column on the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009). However, assembly credit may given in those cases where used or salvaged articles are mated to portions of the aircraft fabricated and assembled by the amateur builder.

(4) Amateur builders should be made aware that excessive use of prefabricated or salvaged articles when building their aircraft may render the aircraft ineligible for amateur-built status as defined in 14 CFR § 21.191(g). The use of a significantly complete airframe or combination of major articles such as wings and fuselage, tail plane assembly from a type-certificated aircraft, or a compilation of aircraft, would most likely render the aircraft ineligible for amateur-built status as defined in 14 CFR § 21.191(g).

(5) As soon as it is known that a project involves the use of a complete airframe or combination of major articles such as wings, fuselage, or tail assembly, contact AIR-200 for additional guidance. AIR-200 will coordinate with AFS-300 personnel to resolve such issues.

c. Type-Certificated Aircraft. Alterations, rebuilding, and repairs to a type-certificated aircraft or article will be categorized as falling under 14 CFR part 43. The amateur builder will receive no credit for these actions toward fabrication or assembly.

Note: The practice of performing alterations, repairs, and rebuilding on previously type-certificated aircraft for the purpose of obtaining an experimental amateur-built airworthiness certificate is not authorized under 14 CFR § 21.191(g). Such maintenance actions properly fall under 14 CFR part 43. Applications for airworthiness inspections on such aircraft will not be accepted. (See paragraphs 4099b through d of this order.)

(1) This policy has been in effect since 1952 under section 1.74-3 of the CAM 1, which specifically states that "structural components of other aircraft may be used [for amateur-built aircraft]; however, it is not intended that this provision be used to avoid obtaining approval of major alterations to aircraft previously certificated in another category."

(2) Use the normal STC process for modifications to these aircraft. They need to be kept under their existing maintenance programs to ensure continued airworthiness.

d. Use of Military Surplus, Spare Articles. The amateur builder will receive no credit toward fabrication or assembly for amateur-built aircraft projects where military surplus, spare articles are used. Their use may compromise the builder's ability to meet 14 CFR § 21.191(g) major portion requirements. As soon as it is known that a project involves the use of a complete airframe or combination of major articles from a military aircraft such as wings, fuselage, or tail assembly, contact AIR-200 for additional guidance. AIR-200 will coordinate with AFS-300 personnel to resolve such issues.

e. Use of Amateur-Built Kits.

(1) An aircraft fabricated and assembled from a kit may be eligible for amateur-built certification, provided the major portion of the aircraft has been fabricated and assembled by the amateur builder(s) solely for their own education or recreation. The applicant must have satisfactory evidence to support the major portion (greater than 50 percent) requirement and the education/recreation statement on FAA Form 8130-12. This evidence is typically in the form of a builder's log or equivalent, and includes photographs that document the multitude of steps included in each of the listed tasks in the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009). In addition, such documentation needs to include materials and techniques used, construction dates, locations, and detailed descriptions (see paragraph 4101e of this order for a complete list). If the builder's log or equivalent does not provide sufficient detail, the FAA may not be able to find compliance with 14 CFR § 21.191(g).

(2) All fabrication or assembly tasks contracted to another party (that is, for compensation or hire) or provided by a commercial assistance center, when added to the manufacturer's total credits, must be less than the major portion of the construction project. An aircraft assembled from a kit composed entirely of completely finished prefabricated articles is not eligible for an experimental amateur-built airworthiness certificate.

(3) The major portion of a kit should be composed of raw stock, such as lengths of wood, tubing, and extrusions, which may have been cut to an approximate length. A certain quantity of prefabricated articles, such as heat-treated ribs, bulkheads, or complex articles made from sheet metal, fiberglass, composites, or polystyrene would also be acceptable, however—

(a) The kit must still allow an amateur builder to meet the major portion requirement, and the applicant must show to the satisfaction of the FAA that the completion of the aircraft was not simply an assembly operation.

(b) Caution is recommended for kits that provide large articles, such as complete fuselages and wing structures requiring minimal supplemental fabrication and assembly.

(4) Some kits may include aircraft-specific jigs, assembly tools and fixtures, templates, raw stock, or other means to simplify the fabrication and assembly process. If an amateur builder uses such items, the FAA will determine whether the amateur builder will still fabricate and assemble the major portion of the aircraft and advise the amateur builder accordingly.

(5) Amateur builders should obtain a copy of the completed FAA kit evaluation from their respective kit manufacturer if available. A list of FAA-evaluated kits is available on the FAA's website at http://www.faa.gov. The completed evaluation will enable the amateur builder to determine how much fabrication and assembly remains to be completed by the amateur builder, and if any percentage of that work could be performed using commercial assistance.

4100. FAA Evaluation of Amateur-Built Aircraft Kits.

a. General. The FAA performs kit evaluations to determine if an aircraft constructed from a prefabricated kit, following the manufacturer's instructions, may meet the major portion requirement of 14 CFR § 21.191(g). The FAA does not certify amateur-built aircraft kits or approve kit manufacturers. The outcome of these evaluations must not be construed as meaning the kit is FAA "certified," "certificated," or "approved," and kit manufacturers shall not represent their kits as such.

(1) The placing of a kit on the FAA List of Amateur-Built Aircraft Kits is not a prerequisite for issuance of an amateur-built airworthiness certification.

(2) If an aircraft is fabricated and assembled from a kit that does not appear on the List of Amateur-Built Aircraft Kits, the FAA must make a major portion determination at the time of airworthiness certification.

b. Determination of Credit. The FAA has adopted a task-based approach when evaluating amateur-built kits. Other variables, like time needed to complete a task, are not to be used. For simple repetitive fabrication tasks (that is, riveting, measuring, cutting, trimming, sanding, drilling, gluing, and layup) there should be enough work for the amateur builder to learn proficiency in each of those tasks. However, this does not mean that all the credit for the tasks may then be given on the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) to the amateur builder. Rather, an incremental percentage, resulting in partial credit, may be accounted for on the checklist.

c. NKET. Kit evaluations are performed at the manufacturer's facility or its distributor, by the FAA's NKET. The team is composed of FAA personnel with experience in the evaluation and certification of amateur-built aircraft. For additional information on the NKET, see FAA Order 8130.35.

d. Manufacturers Requesting a Kit Evaluation. Kit manufacturers desiring an FAA kit evaluation are directed to AC 20-27 for further information.

4101. Advising Applicants. Many individuals who want to build their own aircraft have little or no experience with respect to aeronautical practices, workmanship, or design. An excellent source for advice in such matters is the Experiment Aircraft Association (EAA), located in Oshkosh, Wisconsin. Information on EAA programs and benefits may be obtained via the EAA website at http://www.eaa.org.

a. Contacting the FAA. Amateur builders who contact the FAA should be provided the information and guidance needed to ensure a thorough understanding of amateur-built regulations and requirements. The FAA should also explain the various points in the process when FAA involvement may be necessary before construction proceeds.

b. Providing FAA Forms for Registration and Certification. FAA MIDOs and FSDOs may furnish amateur builders with the following forms and ACs, or indicate their availability on the Internet:

(1) Aeronautical Center Form 8050-1;

(2) FAA Form 8130-6;

(3) FAA Form 8130-12;

(4) Aeronautical Center Form 8050-88; and

(5) AC 20-27.

c. In-Process Inspections. The FAA usually will not perform in-process inspections for determining airworthiness during the fabrication and assembly process. However, the FAA has to make a determination that the aircraft is in a condition for safe operation. Therefore, the amateur builder's documentation needs to indicate all in-process inspections by knowledgeable persons, such as EAA technical counselors or certificated mechanics. All in-process inspection documentation needs to include dates and names of all person(s) involved.

d. FAA Pre-Cover Inspections. The FAA may conduct pre-cover inspections at its own discretion during the fabrication and assembly process for the purpose of determining if the major portion requirement of 14 CFR § 21.191(g) has been met. As with in-process inspections, all pre-cover inspections need to be thoroughly documented to include dates and names of all person(s) involved. In no instance will the FAA perform any of the fabrication or construction work on an aircraft they are certificating.

e. Proper Documentation. Amateur builder(s) need to be able to provide adequate and sufficient documentation to detail the construction and inspections of their aircraft.

(1) These records need to clearly indicate what was fabricated, assembled, or inspected, by whom, and the date the activity was performed.

(2) Documentation should clearly show who performed the task(s), describe when and where the tasks were performed, depict the methods of acceptable aeronautical construction and practices, and document the use of commercial and noncommercial assistance.

(3) The FAA must be provided with sufficient information to make a major portion determination. This documentation may include the following:

(a) The Amateur-Built Aircraft Fabrication and Assembly Checklist (2009).

(b) Comprehensive builder's logs in any format, to include photographs of all the steps included in each of the listed tasks in the Amateur-Builder Aircraft Fabrication and Assembly Checklist (2009), materials and techniques used in construction, as well as dates, locations, and detailed descriptions.

(c) Photographs/video/DVD.

(d) Drawings and engineering specifications.

(e) Kit manufacturer's data, when necessary.

(f) Relevant documentation (for example, plans) and references (for example, handbooks) used.

(g) Documentation concerning any commercial assistance used, including receipts.

(h) Documentation concerning any non-commercial assistance used.

(i) Article inventories and histories.

(j) Receipts and catalogs.

(k) Logbook entries.

f. Showing Compliance to 14 CFR § 91.319(b). The applicant should be advised that after the experimental amateur-built airworthiness certificate has been issued, they must show compliance to 14 CFR § 91.319(b). This is done by developing a flight test program that addresses the requirements, goals, and objectives of each test flight. The flight test program should be developed in accordance with AC 90-89, Amateur-Built Aircraft and Ultralight Flight Testing Handbook, or its equivalent in scope and detail. Flight test programs serve two purposes:

(1) They ensure the aircraft has been adequately tested and determined to be safe to fly within the aircraft's flight envelope.

(2) The flight test data is used to develop an accurate and complete aircraft flight manual and to establish emergency procedures.

Note: The EAA Flight Advisor program has been established to assist applicants in developing flight test programs.

4102. Certification Procedures. The procedures in these paragraphs provide guidance concerning amateur-built airworthiness certification and the issuance of FAA Form 8130-7, Special Airworthiness Certificate. FAA inspection of an amateur-built aircraft will be limited to a general airworthiness inspection when the aircraft is submitted for airworthiness certification. During this inspection, the FAA may not request extensive disassembly of the aircraft if the amateur builder can provide documented evidence of fabrication, assembly, and in-process inspections. The only time disassembly should be requested is when there is a lack of adequate documentation as described above, or if there is a suspected safety issue that would endanger the public.

a. Documentation in Support of Eligibility. It is necessary for the applicant to show and the FAA to find that the aircraft complies with the requirements of 14 CFR § 21.191(g). Common documentation in support of eligibility is typically in the form of a builder's log and substantiating photographs (see paragraph 4101e of this order for a complete list).

b. Major Portion Determination. The FAA must always make a major portion determination when an amateur-built aircraft has been presented for certification.

c. Deviating from Kits and/or Using Commercial Assistance. When the FAA identifies an aircraft as meeting the major portion requirement, at the time of certification, the FAA will review the applicant's documentation. Deviations from the FAA-identified kit configuration or changes that would result in an increase in the amount of commercial assistance will require the FAA to determine (before fabrication and assembly, and using Amateur-Built Aircraft Fabrication and Assembly Checklist (2009)) that the kit still meets the major portion requirement.

d. FAA Responsibilities at the Time of Certification. At the time of airworthiness certification, the FAA must—

(1) Ensure the aircraft is complete and all documentation is sufficient, credible, and adequate. If the applicant cannot, or will not, provide a statement of eligibility (FAA Form 8130-12), or the documentation is inadequate to make a major portion determination, the applicant should be advised that the aircraft cannot be certificated as an amateur-built aircraft and a denial letter will be issued.

(2) Examine records that the aircraft has been weighed in accordance with established weight and balance procedures to determine the aircraft's empty, gross, and most forward and aft CG location, including the weight and balance for the initial flight tests in order to help reduce stall, spin, and other control-related accidents.

(a) If the aircraft is self-designed, these limits would be determined by the amateur builder's calculations.

(b) If the aircraft is constructed from a kit or built from purchased plans, relevant existing documentation is used.

(c) If the amateur builder has made changes to a manufacturer's kit that affect the CG, the predetermined data must be recalculated based on the change(s).

(d) The completed weight and balance report, including load limits for flightcrew, oil, fuel, and baggage, should be available in the aircraft, along with the other applicable placards, listings, and markings required by 14 CFR § 91.9.

e. Certification Documentation. The FAA needs to obtain from the applicant the following FAA forms and documentation, and ensure they are properly executed:

(1) Aeronautical Center Form 8050-3 (a copy or online verification of registration).

(2) FAA Form 8130-6.

(3) A notarized FAA Form 8130-12 certifying that the major portion of the aircraft was fabricated and assembled by the applicant(s) for their own education or recreation purposes and that evidence exists to support this statement (see paragraph 4101e of this order).

(4) Sufficient information to identify the aircraft, such as photographs or three-view drawings.

(5) As described in paragraph 4101e of this order, sufficient, credible, and adequate documentation to show and the FAA to find compliance with the major portion requirement of 14 CFR § 21.191(g).

(6) As described in paragraph 4101c and d of this order, documentation indicating all in-process and precover inspections.

(7) A program letter identifying the aircraft, the purpose of the certificate, the area over which the operations are to be conducted, and the duration of the program. The program letter is based on the requirements of 14 CFR § 21.193(d).

(8) In addition, the applicant may be asked to submit additional information during the airworthiness inspection to assist the FAA in determining if the applicant is eligible for a repairman certificate under 14 CFR § 65.104.

f. FAA Records Review. Completion of FAA Form 8130-12 must not be used as the sole evidence of the applicant's compliance with the education, recreation, and major portion requirements of 14 CFR § 21.191(g). All relevant documentation must be reviewed. The FAA must—

(1) Review the documentation provided by the applicant to determine that the registration requirements of 14 CFR part 47 have been met, and ensure the aircraft is marked in accordance with 14 CFR part 45.

(2) Check with AFS-750 to determine if a denial letter exists for the particular aircraft. This may assist the FAA in determining aircraft eligibility.

(3) Review the aircraft records to determine whether any required maintenance or inspections have been accomplished.

(4) Ensure there is a signed and dated statement from the owner in the aircraft records, that the aircraft has had an inspection performed in accordance with 14 CFR part 43, appendix D, or other approved programs, and was found to be in a condition for safe operation. The inspection will help reduce errors made during construction of the aircraft. This statement will support the owner's inspection and airworthiness statement on block III of FAA Form 8130-6. AC 90-89, appendix 1, as revised, may be used.

> **Note:** There is no requirement for airframe and powerplant mechanics to sign off on amateur-built airworthiness inspections. The aircraft builder's signature on FAA Form 8130-6, block III, attests to the airworthiness of the amateur-built aircraft.

(5) Verify the entries on the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) to ensure the applicant has fabricated and assembled the major portion.

g. Aircraft Inspection. The FAA must arrange with the applicant to make the aircraft available for inspection to determine, at a minimum, the following:

(1) The ID plate meets the requirements of 14 CFR § 45.11(a), as applicable.

(2) The information on the ID plate matches the information on FAA Form 8130-6 and Aeronautical Center Form 8050-3. The pink copy of Aeronautical Center Form AC 8050-1 cannot be used for original certification).

(3) The aircraft nationality and registration marks are in accordance with 14 CFR part 45, subpart C.

(4) The flight control system, engine(s), propeller(s), pitot static system, and associated instruments operate properly.

(5) The cockpit instruments are appropriately marked, and needed placards are installed and placed for easy reference.

(6) System controls (for example, fuel selector(s) and electrical switches/breakers) are appropriately placed, clearly marked, provide easy access and operation, and function as intended by the amateur builder/owner.

(7) An ELT is installed, if required (14 CFR § 91.207).

(8) All explosive devices used in ballistic parachutes are clearly marked and identified.

> **Note:** The only time extensive disassembly should be requested is if there is a safety concern. Safety concerns may be mitigated through detailed photographs or other documentation (see paragraph 4101e of this order).

h. Certificate Issuance. Upon satisfactory completion of the airworthiness inspection and documentation review, the FAA will issue the special airworthiness certificate and the operating limitations for that aircraft. The operating limitations (see paragraph 4104 of this order) will be attached to FAA Form 8130-7. The FAA must review the operating limitations with the applicant to ensure a clear understanding of the limitations. The FAA will issue phase I and phase II operating limitations for an unlimited duration during the initial airworthiness certification. The FAA may elect to issue phase I and phase II limitations separately only when a documented safety issue exists. The operating limitations should be prescribed in two phases in the same document as follows:

(1) For the phase I limitations, the FAA must prescribe all operating limitations appropriate for the applicant to demonstrate compliance with 14 CFR § 91.319(b) in the assigned flight test area. This includes a limitation requiring the owner/operator to endorse the aircraft logbook and maintenance records with a statement certifying that the prescribed flight hours have been completed, and the aircraft has been shown to comply with 14 CFR § 91.319(b). The owner/operator may then operate in accordance with phase II.

(2) For the phase II limitations, the FAA must prescribe operating limitations, as appropriate, for the operation of an amateur-built aircraft for an unlimited duration.

(3) Under 14 CFR § 91.319(i), the FAA may prescribe any additional limitations in phase I or phase II deemed necessary in the interest of safety.

(4) If the aircraft meets the requirements for the certification requested, the FAA must—

(a) Make an aircraft logbook and maintenance records entry.

(b) Issue FAA Form 8130-7.

(c) Complete sections V and VIII of FAA Form 8130-6, in accordance with the instructions contained in chapter 8 of this order.

(d) Examine, review, and route the certification file, in accordance with the instructions contained in chapter 8 of this order.

(5) If the aircraft does not meet the requirements for the certification requested and the airworthiness certificate is denied, the FAA must—

(a) Write a letter to the applicant stating the reason(s) for denying the airworthiness certificate.

(b) Attach a copy of the denial letter to the original FAA Forms 8130-6 and 8130-12 and forward to AFS-750 to be made part of the aircraft record.

(c) Return to the applicant the documentation (photographs and three-view drawings) submitted for airworthiness certification.

(d) Advise the applicant that all documentation indicated in paragraph 4102e of this order needs to be resubmitted at the time of reapplication.

i. Transfer of Airworthiness Certificates. An airworthiness certificate is transferred with the aircraft (14 CFR § 21.179), for example, if there is a change of ownership or transfer of registration. There is no FAA inspection required after transfer of an aircraft with its airworthiness certificate, unless it is determined that revised operating limitations are necessary. In this case, a new FAA Form 8130-7 must be issued to reflect the new date of the revised operating limitations. FAA Form 8130-6 will be required to be submitted by the applicant.

j. Expired or Foreign Airworthiness Certificates. In some cases, amateur-built aircraft are sold with an expired airworthiness certificate or foreign airworthiness certificate. In such cases, an applicant may request and receive a special airworthiness certificate for the purpose of operating amateur-built aircraft, only if the aircraft previously was certificated under, and continues to meet 14 CFR § 21.191(g). In this case, a new FAA Form 8130-7 would be issued along with new operating limitations, but without the eligibility to obtain a repairman certificate for that aircraft. The new certificate should be issued only after the FAA has verified airworthiness by following the appropriate procedures in paragraph 4002 (Certification Procedures) of this order.

k. Special Considerations. In addition to the above certification requirements, if an applicant's aircraft is an unevaluated foreign amateur-built kit, the FAA must perform a major portion determination using the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009). If compliance to the major portion requirement of 14 CFR § 21.191(g) cannot be ascertained, a special airworthiness certificate for the purpose of operating amateur-built aircraft must not be issued.

l. Canadian Applicants. A Canadian applicant with a design for an amateur-built aircraft kit may make an application to Transport Canada Civil Aviation (TCCA) for evaluation of the kit design. Upon receipt of the application, TCCA will review the design for compliance with the U.S. major portion requirements of 14 CFR § 21.191(g), and forward it to the Aircraft Certification Service, Production and Airworthiness Division, AIR-200. The process for FAA approval is contained in the IPA with Transport Canada.

m. Operation of Canadian-Registered Amateur-Built Aircraft in the United States. Canadian-registered amateur-built aircraft are issued a special C of A with operating limitations set by TCCA. Operation of Canadian-registered amateur-built aircraft certified under the provisions of Canadian air regulations in the United States is permitted by the issuance of a SFA under 14 CFR § 91.715. This authorization must be obtained before operation in the United States is permitted. The authorization may be requested electronically via the FAA website at http://www.faa.gov. Additional guidance on the issuance of SFAs for Canadian-registered amateur-built aircraft may be found in paragraph 707 of this order.

n. Canadian Amateur-Built Aircraft. There are differences between Canadian and U.S. regulations and policies governing the issuance of airworthiness certificates concerning amateur-built aircraft. Aircraft built in Canada as amateur-built aircraft and brought into the United States are not eligible to receive an FAA-issued experimental airworthiness certificate as an amateur-built aircraft. However, applicants may be considered for eligibility if—

(1) They provide the FAA an official TCCA document stating that the applicant did in fact fabricate and assemble the major portion of the aircraft within the meaning of, and in compliance with, 14 CFR § 21.191(g), or

(2) They must show evidence of meeting 14 CFR § 21.191(g).

4103. Flight Test Areas.

a. General. 14 CFR § 91.319(b) requires that an unproven aircraft be assigned to a flight test area. The assigned test area is prescribed in accordance with 14 CFR § 91.305. The FAA, when requested, should assist applicants in selecting areas that comply with 14 CFR § 91.305. The FAA is required to evaluate each application to determine that the flight test area does not exceed that which is reasonably required to accomplish the program. Actions pertaining to flight test areas must be coordinated with the nearest office of the Air Traffic Service.

b. Assigned Flight Test Area. Under 14 CFR §§ 91.319(b) and 91.305, all initial flight operations of experimental aircraft must be limited to the assigned flight test area until the aircraft is shown to be controllable throughout its normal range of speeds and all maneuvers to be executed, and has not displayed any hazardous operating characteristics or design features.

(1) In the case of the first flight of an aircraft from an airport surrounded by a densely populated area, but with at least one acceptable approach/departure route of flight, the FAA must ensure that a route of flight is selected which subjects the fewest persons and least property to possible hazards. In addition, upon leaving such an airport, the aircraft should be required to operate from an outlying airport until its controllability and safety are established, after which the aircraft may return to its base and use the established corridor for subsequent operations. The description of the area selected by the applicant and agreed to by the FAA must be made a part of the operating limitations; or

(2) In the case of an aircraft located at any airport surrounded by a densely populated area and lacking any acceptable approach/departure route of flight, the FAA must deny the airworthiness certificate and process the denial in accordance with paragraph 4102 of this order. The applicant must be advised to relocate the aircraft by other means to a suitable airport.

c. Assigned Flight Test Area. The procedures outlined under paragraph 4075 of this order are applicable to amateur-built aircraft. Although the period of assignment is not established by regulation, the following times are suggested as guidelines when issuing original airworthiness certificates for amateur-built aircraft:

(1) Amateur-built aircraft issued original airworthiness certificates should be limited to operation within an assigned flight test area for a minimum of 25 hours when a type-certificated engine/propeller combination is installed. A minimum of 40 hours is required

when a non-type-certificated engine, propeller, or engine/propeller combination is installed. Furthermore, if the type-certificated engine, propeller, or engine/propeller combination installed have been altered in a way that differs from an approved type design in a TCDS, a minimum of 40 hours shall be required.

(2) Amateur-built gliders, balloons, dirigibles, and ultralight vehicles that meet the requirements of 14 CFR § 21.191(g), and for which original airworthiness certification is sought, should be limited to operation within an assigned flight test area for at least 10 hours of operation, including at least five takeoffs and landings.

(3) Following any major change, an amateur-built aircraft must be assigned to a flight test area for a minimum of 5 hours.

d. Operation Outside the Flight Test Area. The procedures outlined under paragraph 4076 of this order are applicable for amateur-built aircraft. During operation outside the flight test area, the following placard must be displayed in the aircraft in full view of all occupants: "NOTE: PASSENGER WARNING—THIS AIRCRAFT IS AMATEUR-BUILT AND DOES NOT COMPLY WITH FEDERAL SAFETY REGULATIONS FOR STANDARD AIRCRAFT."

Note: This placard is not necessary for single-place aircraft.

4104. Issuance of Experimental Amateur-Built Operating Limitations.

a. Operating limitations must be designed to fit the specific situation encountered. The ASI may impose any additional limitations deemed necessary in the interest of safety. The ASI and/or designee must review each imposed operating limitation with the applicant to ensure that the operating limitations are understood by the applicant.

b. The following operating limitations shall be prescribed to experimental amateur-built aircraft:

(1) No person may operate this aircraft for other than the purpose of meeting the requirements of 14 CFR § 91.319(b) during phase I flight testing, and for recreation and education after meeting these requirements as stated in the program letter (required by 14 CFR § 21.193) for this aircraft. In addition, this aircraft must be operated in accordance with applicable air traffic and general operating rules of 14 CFR part 91 and all additional limitations herein prescribed under the provisions of 14 CFR § 91.319(i). These operating limitations are a part of FAA Form 8130-7, and are to be carried in the aircraft at all times and be available to the pilot in command of the aircraft.

(2) During phase I flight testing to meet the requirements of 14 CFR § 91.319(b), all flights must be conducted within the geographical area described as follows:

(a) The area must be described by radius, coordinates, and/or landmarks.

(b) The designated area must be over open water or sparsely populated areas having light air traffic.

(c) The size of the area must be that required to safely conduct anticipated maneuvers and tests, as appropriate.

Note: In the case of an airport surrounded by a densely populated area, see paragraph 4075b(1) of this order.

(3) This aircraft must be operated for at least _____ hours in the assigned geographic area.

Note: See paragraph 4103c(1) of this order for hour requirements. ASIs may assign longer test hours when it is necessary to determine compliance with 14 CFR § 91.319(b).

(4) All test flights, at a minimum, must be conducted under day VFR only. Guidance concerning the scope and detail of test flights can be found in AC 90-89. Following satisfactory completion of the required number of flight hours in the flight test area, the pilot must certify in the records that the aircraft has been shown to comply with 14 CFR § 91.319(b). Compliance with 14 CFR § 91.319(b) must be recorded in the aircraft records with the following, or a similarly worded, statement: **"I certify that the prescribed flight test hours have been completed and the aircraft is controllable throughout its normal range of speeds and throughout all maneuvers to be executed, has no hazardous operating characteristics or design features, and is safe for operation. The following aircraft operating data has been demonstrated during the flight testing: speeds Vso _____, Vx _____, and Vy _____, and the weight _____ and CG location _____ at which they were obtained."**

(5) Except for takeoffs and landings, this aircraft may not be operated over densely populated areas or in congested airways.

Note: This limitation is applicable for phases I and II and should be issued in accordance with paragraphs 4075b(1) and (2) of this order.

(6) This aircraft is prohibited from operating in congested airways or over densely populated areas unless directed by air traffic control, or unless sufficient altitude is maintained to effect a safe emergency landing in the event of a power unit failure, without hazard to persons or property on the ground.

Note: This limitation is applicable to the aircraft after it has satisfactorily completed all requirements for phase I flight testing, has the appropriate endorsement in the aircraft logbook and maintenance records, and is operating in phase II.

(7) This aircraft is to be operated under VFR, day only.

(8) After completion of phase I flight testing, unless appropriately equipped for night and/or instrument flight in accordance with 14 CFR § 91.205, this aircraft is to be operated under VFR, day only.

(9) Aircraft instruments and equipment installed and used under 14 CFR § 91.205 must be inspected and maintained in accordance with the requirements of 14 CFR part 91. Any maintenance or inspection of this equipment must be recorded in the aircraft logbook and maintenance records.

(10) During the flight testing phase, no person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight.

(11) No person may operate this aircraft for carrying persons or property for compensation or hire.

(12) The pilot in command of this aircraft must advise each passenger of the experimental nature of this aircraft, and explain that it does not meet the certification requirements of a standard certificated aircraft.

(13) This aircraft must contain the placards or markings, as required by 14 CFR § 91.9. In addition, the placards and markings must be inspected for legibility and clarity, and the associated systems inspected for easy access and operation, to ensure they function as intended by the amateur builder/owner during each condition inspection.

(14) This aircraft must display the word "EXPERIMENTAL" in accordance with 14 CFR § 45.23(b).

(15) This aircraft is prohibited from aerobatic flight, that is, an intentional maneuver involving an abrupt change in the aircraft's attitude, an abnormal attitude, or abnormal acceleration not necessary for normal flight.

> **Note:** If the amateur builder states that the aircraft is capable of aerobatic flight, limitation 16 will be used in lieu of limitation 15.

(16) This aircraft may conduct aerobatic flight in accordance with the provisions of 14 CFR § 91.303. Aerobatics must not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable and in compliance with 14 CFR § 91.319(b). The aircraft may only conduct those aerobatic flight maneuvers that have been satisfactorily accomplished during flight testing and recorded in the aircraft logbook and maintenance records by use of the following, or a similarly worded, statement: **"I certify that the following aerobatic maneuvers have been test flown and that the aircraft is controllable throughout the maneuvers' normal range of speeds, and is safe for operation. The flight-tested aerobatic maneuvers are _____, _____, _____, and _____."**

Note: Aerobatic flights may be permitted in the assigned test area. The applicant should be advised that aerobatics or violent maneuvers should not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable. These operating limitations may be modified to include only those aerobatics/maneuvers that have been satisfactorily accomplished and recorded in the aircraft records during the flight test period. These aerobatic maneuvers should be permitted upon leaving the assigned test area. Appropriate limitations identifying the aerobatics/maneuvers and conditions under which they may be performed should be prescribed. The FAA may witness aerobatic maneuvers, if deemed necessary.

(17) The pilot in command of this aircraft must hold an appropriate category/class rating. If required, the pilot in command also must hold a type rating in accordance with 14 CFR part 61, or an LOA issued by an FAA Flight Standards Operations Inspector.

Note: This limitation applies to any turbojet/turbofan-powered aircraft, any aircraft with a maximum takeoff weight exceeding 12,500 pounds, and any other aircraft when deemed necessary. The Flight Standards Service inspectors should see FAA Order 8700.1, General Aviation Inspector's Handbook, for further guidance.

(18) The pilot in command of this aircraft must hold a pilot certificate or an authorized instructor's logbook endorsement. The pilot in command also must meet the requirements of 14 CFR § 61.31(e), (f), (g), (h), (i), and (j), as appropriate.

Note: This operating limitation applies to most amateur-built aircraft as a standard operating limitation (reference 14 CFR § 61.31(k)).

(19) After incorporating a major change as described in 14 CFR § 21.93, the aircraft owner is required to reestablish compliance with 14 CFR § 91.319(b) and notify the geographically responsible FSDO of the location of the proposed test area. The aircraft owner must obtain concurrence from the FSDO as to the suitability of the proposed test area. If the major change includes installing a different type of engine (reciprocating to turbine) or a change of a fixed-pitch from or to a controllable propeller, the aircraft owner must fill out a revised FAA Form 8130-6 to update the aircraft's file in the FAA Aircraft Registration Branch. All operations must be conducted under day VFR conditions in a sparsely populated area. The aircraft must remain in flight test for a minimum of 5 hours. The FSDO may require additional time (more than 5 hours) depending on the extent of the modification. Persons nonessential to the flight must not be carried. The aircraft owner must make a detailed aircraft logbook and maintenance records entry describing the change before the test flight. Following satisfactory completion of the required number of flight hours in the flight test area, the pilot must certify in the records that the aircraft has been shown to comply with 14 CFR § 91.319(b). Compliance with 14 CFR § 91.319(b) must be recorded in the aircraft records with the following, or a similarly worded, statement: **"I certify that the prescribed flight test hours have been completed and the aircraft is controllable throughout its normal range of speeds and throughout all maneuvers to be executed, has no hazardous characteristics or design**

features, and is safe for operation. The following aircraft operating data has been demonstrated during the flight testing: speeds Vso _____, Vx _____, and Vy _____, and the weight _____, and CG location _____ at which they were obtained."

(20) This aircraft must not be used for glider towing, banner towing, or intentional parachute jumping.

(21) This aircraft does not meet the requirements of the applicable, comprehensive, and detailed airworthiness code, as provided by Annex 8 to the Convention on International Civil Aviation. The owner/operator of this aircraft must obtain written permission from another CAA before operating this aircraft in or over that country. That written permission must be carried aboard the aircraft together with the U.S. airworthiness certificate and, upon request, be made available to an FAA inspector or the CAA in the country of operation.

(22) No person must operate this aircraft unless within the preceding 12 calendar months it has had a condition inspection performed in accordance with the scope and detail of 14 CFR part 43, appendix D, or other FAA-approved programs, and was found to be in a condition for safe operation. As part of the condition inspection, cockpit instruments must be appropriately marked and needed placards installed in accordance with 14 CFR § 91.9. In addition, system-essential controls must be in good condition, securely mounted, clearly marked, and provide for ease of operation. This inspection will be recorded in the aircraft logbook and maintenance records.

(23) Condition inspections must be recorded in the aircraft logbook and maintenance records showing the following, or a similarly worded, statement: **"I certify that this aircraft has been inspected on [insert date] in accordance with the scope and detail of 14 CFR part 43, appendix D, and was found to be in a condition for safe operation."** The entry will include the aircraft's total time-in-service (cycles if appropriate), and the name, signature, certificate number, and type of certificate held by the person performing the inspection.

> **Note:** Limitations 24 and 25 of this paragraph will be issued in lieu of limitations 22 and 23 of this paragraph for turbine-powered amateur-built aircraft.

(24) This aircraft must not be operated unless it is inspected and maintained in accordance with an inspection program selected, established, identified, and used as set forth in 14 CFR § 91.409(e) through (h). This inspection must be recorded in the aircraft logbook and maintenance records.

(25) Inspections must be recorded in the aircraft logbook and maintenance records showing the following, or a similarly worded, statement: **"I certify that this aircraft has been inspected on [insert date] in accordance with the scope and detail of the [identify program, title] FSDO-approved program dated _____, and found to be in a condition for safe operation."** The entry will include the aircraft's total time-in-service (cycles if appropriate), and the name, signature, certificate number, and type of certificate held by the person performing the inspection.

(26) An experimental aircraft builder certificated as a repairman for this aircraft under 14 CFR § 65.104 or an appropriately rated FAA-certificated mechanic may perform the condition inspection required by these operating limitations.

(27) Application must be made to the geographically responsible FSDO or MIDO for any revision to these operating limitations.

(28) The pilot in command of this aircraft must notify air traffic control of the experimental nature of this aircraft when operating into or out of airports with an operational control tower. When filing Instrument Flight Rules (IFR), the experimental nature of this aircraft must be listed in the remarks section of the flight plan.

4105.-4106. Reserved.

Section 10. Certification and Operation of Aircraft Under the Experimental Purpose(s) of Exhibition and Air Racing

4107. General. Under the provisions of 14 CFR § 21.191(d), exhibition aircraft are defined as aircraft that exhibit the aircraft's flight capabilities, performance, or unusual characteristics at airshows, fly-ins, aviation events, for motion picture, television, and similar productions, and for the maintenance of exhibition flight proficiency, including (for persons exhibiting aircraft) flying to and from such events and productions. Under the provisions of 14 CFR § 21.191(e), air racing aircraft are defined as aircraft that participate in air races, including (for such participants) practicing for such air races and flying to and from racing events.

a. Exhibition. A certificate for experimental exhibition must only be issued when an aircraft is to be used for valid exhibition purposes. Included in those purposes are organized airshows, organized fly-in activities, organized exhibitions, youth education events, shopping mall/school/similar static displays, organized aerobatic competition, fly-ins or meets, and movie or television productions. The duration of an airworthiness certificate for exhibition is unlimited.

b. Air Racing. A certificate for experimental air racing must only be issued when an aircraft is to be used for valid air racing purposes, including organized air races or sail plane competitive events. The duration of an airworthiness certificate for air racing is unlimited.

c. Home Base Changes or Ownership Transfers. When an aircraft's home base is changed or there is a transfer of ownership, the owner/operator must notify the local FSDO having jurisdiction over the area in which the aircraft will be based within 30 days, and—

(1) Provide the FSDO with a copy of the FAA-approved inspection program (if required for the aircraft). The person responsible for scheduling the inspections must be identified in the program letter to the FSDO. The gaining FSDO should accept the previously approved program, but may review it to ensure the adequacy of the program.

(2) The gaining FSDO will not require the aircraft's special airworthiness certificate and operating limitations to be reissued, unless the aircraft is in Phase I test flight operations, the current limitations require reissuance, or the owner requests reissuance or amendment.

(3) Upon transfer of ownership, the gaining FSDO will require the new owner to submit a new program letter to ensure the new owner is familiar with the limitations of the experimental exhibition aircraft. A new proficiency area is required for Groups 6 and 7 aircraft as described in paragraph 4110 of this order.

(4) Copies of the aircraft registration, special airworthiness certificate, and operating limitations are on file with the FAA Aircraft Registration Branch, and the aircraft owner does not need to provide copies to the gaining FSDO.

d. Existing Airworthiness Certificates and Operating Limitations. All previously issued airworthiness certificates and operating limitations will remain valid unless changes are requested by the applicant or reexamined by the FAA in accordance with 49 U.S.C. 44709.

4108. Former Military Aircraft.

a. Background. Many of the aircraft that are presented for airworthiness certification for the purpose(s) of exhibition or air racing are former military aircraft, both U.S. and non-U.S. The FAA acknowledges the significant role military aircraft have played in our aviation heritage and the importance of preserving their legacy for future generations. The exhibition of former military aircraft at aviation events for demonstration and display provides the public a rare view into our aviation past. Therefore, it is the policy of the FAA to permit the operation of former military aircraft for civilian use, consistent with the need to safeguard the general public.

b. Former Military Aircraft. These aircraft have historically operated in the United States for R&D, air racing, and exhibition purposes in the experimental category. It is the policy of the FAA that eligible aircraft will be certificated in the experimental category when operated for the special purposes of exhibition and/or air racing.

Note: Not all former military aircraft require experimental airworthiness certificates. Some models have a valid TC and are eligible for other airworthiness certificates.

c. Limitations. To ensure the safe operation of these aircraft and minimize adverse environmental impact, the FAA has established appropriate and reasonable operating limitations. Operating limitations developed jointly by the FAA Aircraft Certification Service and FAA Flight Standards Service are contained in paragraph 4113 of this order.

d. Maintenance and Inspections. The ability of civilian operators to maintain and operate these aircraft depends on their background and experience, training and facilities, availability of technical manuals and design information, and the complexity of the aircraft involved. Aircraft inspection guidelines are contained in the FAA Inspector’s Handbook; FAA Order 8900.1. Qualification standards for flight crew members have been developed by the Flight Standards Service and are contained in the FAA Inspector's Handbook.

e. Environmental Impact. Applicants for certification of experimental exhibition aircraft must be advised that these aircraft were designed and manufactured without the acoustical treatment provided for current commercial and business aircraft. They also must be advised of industry-developed procedures and guidelines designed to minimize the impact such aircraft impose at airports and the surrounding communities. Aircraft operators must accept the

responsibility for operating their aircraft in such a manner as to reduce the environmental impact to the lowest practicable level consistent with safe operation.

4109. Brokering. 14 CFR § 21.191(d) was not intended to allow for the brokering or marketing of experimental aircraft. This includes individuals who manufacture, import, or assemble aircraft, and then apply for and receive experimental exhibition airworthiness certificates so they can sell the aircraft to buyers. 14 CFR § 21.191(d) ONLY provides for the exhibition of an aircraft's flight capabilities, performance, or unusual characteristics at airshows, and for motion picture, television, and similar productions. COs must ensure that all applications for exhibition airworthiness certificates are for the purposes specified under 14 CFR § 21.191(d), and are from the registered owners who will exhibit the aircraft for those purposes. Applicants also must provide the applicable information specified in 14 CFR § 21.193.

4110. Groups of Aircraft. Aircraft eligible for experimental airworthiness certification are divided into seven groups. This was done in order to establish standardized operating limitations and inspection requirements. Operating limitations for each group are provided in paragraph 4113 of this order. The FAA will determine which group the aircraft will operate in based on the following definitions. An aircraft that meets any one of the criteria falls in that group. An aircraft with an ejection seat is always in group 7. Questions concerning the appropriate group for specific aircraft will be referred to the FAA National Program Office for Vintage and Experimental Aircraft, AFS-800.

a. Group 1 Aircraft.

(1) Description of Aircraft.

(a) Gliders, both unpowered and powered.

(b) The aircraft must be in full compliance with the manufacturer's or country of origin's maintenance and/or inspection programs (if provided).

(c) If the State of Manufacture or CAA does not provide an inspection program, the aircraft must have an annual condition inspection that meets the scope and detail of 14 CFR part 43, appendix D.

(d) The aircraft must be in full compliance with manufacturer's or country of origin life limits (if specified).

(2) Type of Aircraft. This group includes gliders; unpowered, self launching, and sustaining.

b. Group 2 Aircraft.

(1) Description of Aircraft.

(a) Piston or turbo propeller powered.

(b) Maximum gross takeoff weight not more than 12,500 lb.

(c) Stall speeds of 61 knots or less.

(d) If multiengine, is operated at weights and altitudes such that the aircraft is capable of continuing a takeoff after the failure of the critical power plant.

(e) Not equipped with an operable ejection seat.

(f) Must be in compliance with the manufacturer's or country of origin's maintenance, and/or inspection programs (if provided).

(g) If the manufacturer or country of origin does not provide an inspection program, the aircraft must have an annual condition inspection that meets the scope and detail of 14 CFR part 43, appendix D.

(h) The aircraft must be in full compliance with manufacturer's or country of origin life limits (if specified).

(2) Type of Aircraft. Examples of aircraft that could operate under this group include, but are not limited to, aircraft such as the Yak-52; SU-31; SIAI-Marchetti S.M.1019, AN-2; all single-engine piston-powered WWII fighters; and small helicopters.

c. Group 3 Aircraft.

(1) Description of Aircraft.

(a) Piston or turbo propeller powered with a takeoff rating of greater than 800 HP (per engine) and a Vne greater than 250 knots.

(b) If multiengine, is operated at weights and altitudes such that the aircraft is capable of continuing a takeoff after the failure of the critical power plant.

(c) Not equipped with an operable ejection seat.

(d) The aircraft must be in full compliance with the manufacturer's or country of origin's maintenance and/or inspection programs (if specified).

(e) If the manufacturer or country of origin does not provide an inspection program, the aircraft must have an annual condition inspection that meets the scope and detail of 14 CFR part 43, appendix D.

(f) The aircraft must be in full compliance with manufacturer's or country of origin life limits.

(2) Type of Aircraft. Examples of aircraft that could operate under this group include, but are not limited to, aircraft such as the P-51; T-28; Yak-9; RI-OV10; and Hawker Sea Fury.

d. Group 4 Aircraft.

(1) Description of Aircraft.

(a) Piston- or turbine-powered.

(b) Maximum gross takeoff weight in excess of 12,500 lb.

(c) Not equipped with an operable ejection seat.

(d) Must be maintained in full compliance with manufacturer, country of origin, or FAA approved maintenance and inspection programs.

(e) If the manufacturer or country of origin does not provide an inspection program, the owner/operator must select, establish, identify, and use an inspection program as set forth in 14 CFR § 91.409(f), (g), and (h).

(f) The aircraft must be in full compliance with manufacturer or country of origin life limits (if specified).

(2) Type of Aircraft. This group includes, but is not limited to, aircraft such as the IL-78; B-29; PB4Y; and OV-1.

e. Group 5 Aircraft.

(1) Description of Aircraft.

(a) Piston- or turbine-powered.

(b) Maximum gross takeoff weight in excess of 12,500 lb.

(c) If multiengine, operated at weights or altitudes such that the aircraft is not capable of maintaining a positive rate of climb after failure of the critical engine.

(d) Not equipped with an operable ejection seat.

(e) The aircraft must be in full compliance with the manufacturer, country of origin, or FAA-approved maintenance and inspection programs. If the manufacturer or country of origin does not provide an inspection program, the owner/operator must select, establish, identify, and use an inspection program as set forth in 14 CFR § 91.409(f), (g), and (h).

(f) The aircraft must be in full compliance with manufacturer or country of origin life limits (if specified).

(2) Type of Aircraft. This group includes, but is not limited to, aircraft such as the: L-29; L-39; T-33; and CM-170.

f. Group 6 Aircraft.

(1) Description of Aircraft. This group includes aircraft from any Group 1, 2, 3, 4 or 5, but is not maintained in accordance with the manufacturer's maintenance and/or inspection programs and life limits or approved life extensions.

(2) Type of Aircraft. This group includes aircraft that fit in to other groups but have not been maintained and inspected in accordance with an approved program, have an undocumented service/maintenance/inspection history, or are not in compliance with their life limits.

(3) Proficiency Area. A proficiency area will be established for the aircraft within this group. All proficiency flights will be conducted in airspace not more than one-half the range of the aircraft from the aircraft's home base airport and must be clearly described in the program letter. The proficiency area may be depicted using a map or it may be described by geographic land marks, airports, or aids to navigation. The maximum dimension of the proficiency area will not exceed 600 Nautical Miles (NM).

g. Group 7 Aircraft.

(1) Description of Aircraft.

(a) Unable to comply with 14 CFR § 91.117(a) in normal cruise configuration.

(b) Manufacturer's or country of origin emergency checklist requires bailout or ejection in the event of an engine or other system failure.

(c) If multiengine, not capable of continuing a takeoff after the failure of the critical power plant.

(d) Equipped with an operable ejection seat.

(e) Not maintained in accordance with the manufacturer's maintenance, inspection, and life limits (if specified).

(f) Rocket-powered aircraft.

(2) Type of Aircraft. This group includes aircraft that do not fit in to other groups and/or pose a higher risk to the general public. This group includes, but is not limited to subsonic aircraft such as the AV-8 Harrier; and supersonic aircraft such as the MIG-21, F-104, F-4, and SU-27.

(3) Proficiency Area. A proficiency area will be established for the aircraft within this group. All proficiency flights will be conducted in airspace not more than one-half the range of the aircraft from the aircraft's home base airport and must be clearly described in the program letter. The proficiency area may be depicted using a map or it may be described by geographic landmarks, airports, or aids to navigation. The maximum dimension of the proficiency area will not exceed 600 NM.

4111. Special Initial Certification Requirements. The following provides information and guidance concerning the initial airworthiness certification for experimental aircraft for the purpose(s) of exhibition and/or air racing. These steps are in the normal order of occurrence for the certification of these aircraft.

a. Demilitarization of Former Military Aircraft. For demilitarization of former military aircraft, see paragraph 4073 of this order.

b. Records Inspection. In addition to the record inspection requirements of paragraph 4002a of this order, the FAA must—

(1) Obtain from the applicant a program letter in accordance with 14 CFR § 21.193, setting forth the purpose(s) for which the aircraft will be used. The program letter must be specific as to the intended use under the purpose request and must include the information as required by limitation #3 found in paragraph 4113b(3) of this order.

(2) Ensure that the applicant has written in or translated into the English language all of the necessary maintenance, inspection, operating, and flight manual(s) required to safely operate the aircraft.

(3) Verify that maintenance records reflect records of inspections, overhauls, repairs, time-in-service on life-limited articles and engines, etc., and that all records are current. In addition, for Group 4, 5, and 6 aircraft, if appropriate, make an entry in the aircraft logbook showing the following (or similarly worded) statement: "The inspection program for this aircraft has been approved by the [insert name of FSDO] on [insert approval date] by [insert printed name of ASI], signed by approving Inspector."

> **Note:** The requirements in 14 CFR § 91.409(e) are applicable via an operating limitation issued at the time of certification for all turbojet powered and large aircraft. One of the requirements provides for the replacement of life-limited articles at a time specified in documents approved by the FAA.

(4) For turbine powered and large aircraft (maximum gross take-off weight in excess of 12,500 pounds), aircraft as described in paragraph 4110 of this order, verify that the applicant has an FSDO-approved inspection program that meets the requirements of 14 CFR § 91.409 and complies with the manufacturer's program. Guidance regarding inspection programs can be found in FAA Order 8900.1

> **Note:** A special airworthiness certificate shall not be issued for these aircraft without a FSDO-approved inspection program.

(5) Verify that the appropriately rated FAA-certificated mechanic has made an entry in the aircraft records documenting the applicable inspections as referenced in paragraph 4111d of this order for all aircraft (including new) within 60 days before submitting FAA Form 8130-6.

c. Aircraft Inspection. The FAA will perform an inspection to the extent necessary to ensure that a prior inspection of the aircraft and aircraft systems has been accomplished in accordance with the inspection requirements as identified in paragraph 4002b of this order. The FAA will verify that instruments, instrument markings, and placards are as required by the CFR and are identified in the English language. In addition, the FAA will verify that all measurements are converted to standard U.S. units of measure for those instruments necessary for operation in the U.S. air traffic system.

> **Note:** Depending on the intended operation, the applicable reference would be 14 CFR § 91.205(b), VFR (day); 14 CFR § 91.205(c), VFR (night); or 14 CFR § 91.205(d), IFR. Operators should be alerted that there are specific requirements under 14 CFR part 91 for maintenance and inspection of the various aircraft instruments, and that those requirements are applicable for these aircraft if the instruments are installed, for example, 14 CFR §§ 91.173 through 91.187, 91.215, 91.217, 91.219, 91.411, 91.413, etc.

4112. Certification Procedures.

a. Once it has been determined that the aircraft meets the requirements for the special airworthiness certification requested, the FAA must—

(1) Make an aircraft record entry showing the following, or similarly worded statement: "I find this aircraft meets the requirements for a special airworthiness certificate for the purpose(s) of [identify purpose(s)], and have issued a special airworthiness certificate and operating limitations dated _____. The next inspection is due _____. Signed: John Doe, Aviation Safety Inspector, NM48."

(2) Issue the special airworthiness certificate and appropriate operating limitations in accordance with this order.

b. Denial. If the aircraft does not meet the certification requirements and the special airworthiness certificate is denied, the FAA will provide a letter to the applicant stating the reason(s) for denial and, if feasible, identify which steps may be accomplished to meet the certification requirements. Should this occur, a copy of the denial letter will be attached to FAA Form 8130-6 and forwarded to AFS-750, and made a part of the aircraft's record.

c. Phases. For the purpose of this section:

(1) Phase 1 means: The initial flight testing period for a newly assembled aircraft, not newly manufactured or newly built. Newly manufactured/built aircraft must complete initial flight testing comparable to experimental amateur-built aircraft.

(2) Phase 2 means: an aircraft that has completed Phase 1 testing and has not been altered from the tested configuration, or flown outside the flight tested envelope. Modifications that invalidate Phase 2 limitations are:

(a) Structural modifications;

(b) Aerodynamic modifications, including externally mounted equipment except as permitted in limitation (15) found in paragraph 4113 of this order; and

(c) Change of engine make, model, or power rating (thrust or horse power).

Note 1: The owner/operator may return the aircraft to Phase 1 in order to flight test specific items as required by these limitations without invalidating the issued limitations; however, major modifications such as those listed above may require new operating limitations in accordance with limitation (32) found in paragraph 4113 of this order.

Note 2: The FAA may elect to process the aircraft on a one-time certification basis, for example, via the issuance of only one special airworthiness certificate of unlimited duration. In these instances, when issuing the special airworthiness certificate for the purpose(s) of exhibition and/or air racing, the operating limitations will be prescribed in two phases in the same document.

4113. Issuance of Experimental Exhibition and Air Racing Operating Limitations.

a. Operating limitations. The FAA may impose any additional limitations deemed necessary in the interest of safety, only after coordination with AFS-800 and AIR-200. The FAA must review each imposed operating limitation with the applicant to ensure that the operating limitations are understood by the applicant.

b. Issuance. Operating limitations must be issued in accordance with table 4-1 below:

Table 4-1. Operating Limitations to be Issued

R = Required N = Not required P = Prohibited I = If required by Aircraft Type
OL = Operating Limitation

OL		1	2	3	4	5	6	7	8	9	10	11	12
GROUP	1	R	R	R	R	N	N	N	N	R	R	R	R
	2	R	R	R	R	N	N	N	N	R	R	R	R
	3	R	R	R	R	R	N	N	R	R	R	R	R
	4	R	R	R	R	R	R	R	R	R	R	R	R
	5	R	R	R	R	R	R	I	R	R	R	R	R
	6	R	R	R	R	R	R	I	R	R	R	R	R
	7	R	R	R	R	R	R	I	R	R	R	R	R

OL		13	14	15	16	17	18	19	20	21	22	23	24
GROUP	1	R	R	R	R	R	R	N	N	R	N	N	R
	2	R	R	R	R	R	R	N	N	R	N	N	R
	3	R	R	R	R	R	R	N	N	R	N	N	R
	4	R	R	R	R	R	R	N	N	R	N	N	R
	5	R	R	R	R	R	P	R	N	R	N	N	R
	6	R	R	R	R	R	P	P	R	P	R	R	R
	7	R	R	R	R	R	P	P	R	R	R	R	R

OL		25	26	27	28	29	30	31	32	33	34	35	36
GROUP	1	R	R	R	R	N	N	R	R	R	R	N	R
	2	N	R	R	R	R	R	R	R	R	R	N	R
	3	N	R	R	R	R	R	N	N	R	R	N	R
	4	N	R	R	R	R	R	N	N	R	R	N	R
	5	N	R	R	R	R	R	N	N	R	R	N	R
	6	N	R	**P**	R	R	R	N	N	R	R	R	R
	7	N	R	**P**	R	R	R	N	N	R	R	R	R

OL		37	38	39	40	41	42	43	44	45			
+ GROUP	1	R	R	R	N	R	R	R	R	N			
	2	R	R	R	N	R	R	R	R	N			
	3	R	R	R	N	R	R	R	R	N			
	4	R	R	R	R	R	R	R	R	N			
	5	R	R	R	R	R	R	R	R	N			
	6	R	R	R	R	R	R	R	R	R			
	7	R	R	R	R	R	R	R	R	N			

(1) No person may operate this aircraft for other than the purpose of exhibition, or to participate in events, in accordance with 14 CFR § 21.193(d) or § 21.193(e). This aircraft must be operated in accordance with all air traffic and general operating rules of 14 CFR part 91, all limitations herein prescribed, and as described in the owner operator's program letter. These operating limitations are a part of FAA Form 8130-7, and are to be carried in the aircraft at all times and be available to the pilot in command of the aircraft.

(2) No person may operate this aircraft unless FAA Form 8130-7 is displayed at the cabin or cockpit entrance so that it is visible to passengers or flightcrew members, the word "EXPERIMENTAL" is displayed in accordance with 14 CFR § 45.23, and the aircraft contains the placards and markings required by 14 CFR § 91.9. The pilot in command of this aircraft must advise passengers of the experimental nature of this aircraft and that it does not meet the certification requirements of a standard certificated aircraft.

(3) The owner operator must submit an annual program letter to the geographically responsible FSDO where the aircraft is based. All operations must be conducted in accordance with these limitations and the program letter. A copy of the current program letter and any amendments must be carried on board the aircraft any time that the aircraft is being operated. The program letter must include the following information:

(a) The aircraft's home base.

(b) The name of the person responsible for the operation and maintenance of the aircraft.

(c) A list of events at which the aircraft will be exhibited (the list may be amended as necessary).

(d) For Group 6 and Group 7 aircraft, the proficiency area. The proficiency area may be depicted using a map or it may be described by geographic landmarks, airports, or aids to navigation.

(4) The pilot in command of this aircraft must hold an appropriate category and class rating.

(5) In addition to the requirements of limitation (4) of this paragraph; the pilot in command also must hold:

(a) An appropriate type rating (if one has been established), or

(b) An experimental aircraft authorization, by make and model, on their pilot certificate, or

(c) A temporary LOA issued by an FAA Flight Standards Operations Inspector.

Note: For the purpose of completing the practical test for the issuance of an experimental aircraft authorization, a qualified instructor may make a logbook endorsement permitting limited local solo operations for a period of not more than 30 days.

(6) In addition to the requirements of limitation (4) of this paragraph, the pilot in command also must hold:

(a) An appropriate type rating (if one has been established), or

(b) An experimental aircraft authorization by make and model, on their pilot certificate, or

(c) A temporary LOA issued by an FAA Flight Standards Operations Inspector, or

(d) For the purpose of completing the practical test for the issuance of an experimental aircraft authorization, a qualified instructor may make a logbook endorsement permitting limited local solo operations (provided that a second in command is not required by 14 CFR § 91.531) for a period of not more than 30 days.

Note: An experimental aircraft authorization or temporary LOA is issued in accordance with the procedures described in the FSIMS under the title "Airman Qualification Requirements for Aircraft for Which the Operating Limitations require an FAA-issued authorization to act as pilot in command."

(7) Additional crewmembers such as second in command as required by 14 CFR § 91.531, or flight engineers must hold appropriate airmen certificates. The additional required crewmembers must also meet the qualification, training, and recency of experience requirements of 14 CFR part 61 or part 63 as appropriate.

(8) The pilot in command must have completed a flight review in accordance with 14 CFR part 61 from a qualified instructor in a high performance aircraft. Additionally, if the pilot has not completed three takeoffs and landings within the preceding 180 days in this aircraft make and model or comparable aircraft, the pilot must receive training from a qualified instructor in this aircraft make and model or comparable aircraft.

(9) During Phase I test flight operations, this aircraft is to be operated under VFR, day only, and no person may be carried in this aircraft during flight unless that person is a required crewmember. The local FSDO must approve if a person is essential for the test flight.

(10) During Phase I test flight operations, no person may flight test an aircraft except over open water or sparsely populated areas having light air traffic.

(11) During Phase I test flight operations, this aircraft may only operate from [identify name of airport(s)] until the requirements of 14 CFR § 91.319(b) have been met.

(12) During Phase I test flight operations, this aircraft must be operated for at least _____hours with at least _____takeoffs and landings (to a full stop), and all operations must be conducted in the geographic area described as follows:

(a) The size of the test flight area must be the minimum required to safely conduct the anticipated maneuvers and tests.

(b) The area must be described by radius, and/or landmarks, or as depicted on an attached chart.

(c) The minimum number of hours and minimum number of takeoffs and landings should be based on the aircraft's condition and records and the total time on the aircraft and its engine(s).

(d) For aircraft other than newly manufactured or built, the number of hours normally should normally be 10 and the minimum number of takeoffs and landings should be five.

> **Note:** For newly manufactured or newly built aircraft, Phase I test flight limitations similar in scope to paragraph 4013b(3) and 4013b(4) of this order will be added to these operating limitations.

(13) During Phase I test flight operations, following satisfactory completion of the required number of flight hours in the flight test area, the pilot must certify in the records that the aircraft has been shown to comply with 14 CFR § 91.319(b). Compliance must be recorded in the aircraft records with the following, or a similarly worded, statement: "I certify that the prescribed flight test hours have been completed and the aircraft is controllable throughout its normal range of speeds and throughout all maneuvers to be executed, has no hazardous operating characteristics or design features, and is safe for operation."

(14) During Phase I test flight operations, aerobatic maneuvers intended to be performed must be satisfactorily accomplished and recorded in the aircraft records during the flight test period. In addition to the requirements of 14 CFR § 91.303, appropriate limitations identifying the aerobatic maneuvers and conditions under which they may be performed shall be included in the aircraft records.

(15) During Phase I test flight operations, if the aircraft will have removable externally mounted equipment, it must be test flown in all configurations. An entry must be made in the aircraft records indicating the configurations flight tested, unless the original manufacturer's flight test data for that equipment is included in the aircraft limitations.

(16) During Phase II operations, this aircraft is prohibited from flight with any externally mounted equipment except in compliance with limitation (15) of this paragraph.

> **Note:** The owner may place the aircraft back into Phase 1 for the sole purpose of flight testing the added external equipment; in this case the owner must comply with limitation (15) requirements of this paragraph.

(17) During Phase II operations, this aircraft is prohibited from flight with any externally mounted equipment unless the equipment is mounted in a manner that will prevent in-flight jettison,

(18) During Phase II operations, except for takeoffs and landings (within class B, C, D, or E surface airspace designated for the airport, or 5 NM, whichever is greater), this aircraft may not be operated over densely populated, or congested areas except in compliance with 14 CFR § 91.119, or in an emergency situation. When exercising this authorization, the pilot in command must avoid densely populated areas and congested areas whenever possible.

(19) During Phase II operations, this aircraft may not be operated over densely populated or congested areas. The pilot in command must operate at altitudes and over routes that ensure compliance with 14 CFR § 91.119(a) at all times and avoid densely populated and congested areas.

(20) During all operations, this aircraft may not be operated over densely populated areas or in congested airways. All operations must be conducted in a manner and in areas that, in the event of a bailout, ejection (unless otherwise authorized by AFS-800), or in-flight structural failure, persons or property on the surface or other aircraft in flight are not endangered.

(21) During Phase II operations, no person may be carried in this aircraft during the exhibition of the aircraft's flight capabilities, performance, or unusual characteristics at airshows, or for motion picture, television, or similar productions, unless essential for the purpose of the flight. Persons may be carried during flights to and from any event or during proficiency/currency flying, limited to the design seating capacity of the aircraft and subject to the regulatory prohibition on compensation. The pilot in command of this aircraft must advise the passenger of the experimental nature of this aircraft and that it does not meet the certification requirements of a standard certificated aircraft.

(22) During Phase II operations of Group 6 and Group 7 aircraft, all proficiency/practice flights must be conducted within the geographical area described in the applicant's program letter and any modifications to that letter, but that area will not be more than one-half the range of the aircraft from the aircraft's home base airport. An exception is permitted for proficiency flying outside of the area stated above for organized formation flying, training, or pilot checkout in conjunction with a specific event listed in the applicant's program letter (or amendments).

(23) During Phase II operations of Group 6 and Group 7 aircraft, flights for maintenance of the aircraft are permitted outside the defined proficiency area, provided the maintenance facility airport is listed in the required program letter. (Maintenance, as defined in 14 CFR § 1.1, is the reference for the purpose of these flights.) The maintenance performed in connection with the flight must be recorded in the aircraft records in accordance with 14 CFR part 43.

(24) During Phase II operations, aerobatic maneuvers that were not satisfactorily accomplished and recorded during the Phase I flight test time period may not be performed.

> **Note:** The owner may place the aircraft back into Phase 1 for the sole purpose of adding additional aerobatic maneuvers to the aircraft authorized maneuvers. In this case, the owner must comply with limitation (13) requirements of this paragraph.

(25) During Phase II operations, the following placard, pertaining to gliders and sailplanes having experimental certificates, must be displayed in the cockpit in full view of the pilot in addition to the requirements of 14 CFR § 91.9. "NOTE: No person may exceed the designer's or builder's recommended limitations as follows: maximum gross weight _____; CG limits _____; airplane tow speed _____; maximum airspeed in smooth air _____; and maximum airspeed in rough air _____."

(26) This aircraft must not be used for glider towing, banner towing, or recreational/sport parachute jumping.

(27) During Phase II operations, night and/or instrument flight is approved, provided the aircraft is equipped as described in 14 CFR § 91.205. Instruments and equipment installed for night and/or instrument flight must be inspected and maintained in accordance with the applicable requirements of 14 CFR part 91. All maintenance or inspection of this equipment must be recorded in the aircraft maintenance records.

(28) Equipment installed to meet regulatory requirements must be inspected and maintained in accordance with the applicable requirements of 14 CFR part 91. Any maintenance or inspection of this equipment must be recorded in the aircraft maintenance records.

(29) All large airplanes, turbojet airplanes, turbopropeller-powered multiengine airplanes, or turbine-powered rotorcraft must be maintained in with accordance an FAA approved inspection program meeting the scope and content as described in 14 CFR § 91.409(f). Completion of these inspections must be recorded in the aircraft maintenance records.

(30) Inspections for all large airplanes, turbojet airplanes, turbopropeller-powered multiengine airplanes, and turbine-powered rotorcraft must be recorded in the aircraft maintenance records showing the following, or a similarly worded, statement: "I certify that this aircraft has been inspected on [insert date] in accordance with the scope and detail of [identify applicable inspection program] and found to be in a condition for safe operation."

(31) No person may operate aircraft other than those described in limitations (29) and (30) of this paragraph unless within the preceding 12 calendar months it has had a condition inspection performed in accordance with the scope and detail of 14 CFR part 43, appendix D, or other FAA-approved programs, and was found to be in a condition for safe operation. This inspection will be recorded in the aircraft maintenance records.

(32) Condition inspections for aircraft other than those described in limitations (29) and (30) of this paragraph must be recorded in the aircraft maintenance records showing the following, or a similarly worded, statement: "I certify that this aircraft has been inspected on

[insert date] in accordance with the scope and detail of 14 CFR part 43, appendix D, and found to be in a condition for safe operation." The entry will include the aircraft's total time-in-service and the name, signature, certificate number, and type of certificate held by the person performing the inspection.

(33) Only FAA-certificated mechanics with appropriate ratings as authorized by 14 CFR § 43.3 may perform inspections required by these operating limitations.

(34) The cognizant FSDO must be notified, and its response received in writing, prior to flying this aircraft after incorporation of a major change as defined by 14 CFR § 21.93 in order to determine whether new operating limitations will be required. The FSDO response should be entered in the aircraft's records and a copy sent the FAA Aircraft Registration Branch, AFS-750, P.O. Box 25504, Oklahoma City, Oklahoma 73125 for recording in the aircraft's permanent records.

(35) Aircraft equipped with live ejection seats must be clearly externally marked to ensure that emergency personnel are aware of the hazard presented by the system. The ejection seat system must be maintained in accordance with the manufacturer's procedures and inspected in accordance with the inspection program applicable to this aircraft. In addition, the ejection seat system must be mechanically secured to prevent inadvertent operation of the system any time the aircraft is parked or out of service.

(36) The special airworthiness certificate and attached operating limitations for this aircraft have no expiration date.

(37) When an aircraft's home base is changed or there is a transfer of ownership, the new owner/operator will take any or all of the following actions within 30 days:

(a) Submit a new program letter to the geographically responsible FSDO.

(b) If an approved inspection program is specified in these operating limitations, submit a copy to the geographically responsible FSDO. The gaining FSDO will not change the previously approved program unless it can be substantiated that the previously approved program no longer meets FAA requirements.

(c) The gaining FSDO will not require the aircraft's airworthiness certificate or operating limitations to be reissued, unless the aircraft requires Phase I test flight operations.

(38) This aircraft does not meet the requirements of the applicable, comprehensive, and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. The owner/operator of this aircraft must obtain written permission from another country's CAA before operating this aircraft in or over that country. That written permission must be carried aboard the aircraft together with the U.S. airworthiness certificate and, upon request, be made available to an ASI or the CAA in the country of operation.

(39) Application must be made to the geographically responsible FSDO for any revision to these operating limitations.

(40) Supersonic flight (true flight Mach number greater than 1) is prohibited unless specifically authorized under 14 CFR §91.817(a) by the FAA Office of Aviation Policy Planning and Environment (AEP).

(41) The special airworthiness certificate and attached operating limitations for this aircraft have no expiration date. New proficiency areas must be described for Group 6 or 7 aircraft.

(42) FAA approval of maintenance and inspection interval extensions requires that the owner operator submit documentation and data justifying the extension to the local FSDO for elevation for concurrence.

(43) Approval of life limit extensions may be approved by the FAA only if the original manufacturer approves and provides documentation supporting the extension. In the case that original manufacturer data is not available, an appropriately qualified DER may provide data to substantiate life limit extension, but the FAA must concur with the results of the data.

(44) Aircraft originally incorporating fatigue life recording systems must maintain the system and comply with the original manufacturer fatigue limits. If the fatigue life system is removed, or is inoperative, the aircraft cannot be operated in any group other than Group 6.

(45) Operations are limited to minimum required crew. The carriage of passengers is prohibited at all times.

4114.-4124 Reserved.

Section 11. Certification and Operation of Aircraft Under the Experimental Purpose(s) of Research and Development, Showing Compliance with Regulations, Crew Training, Market Surveys, and Operating Kit-Built Aircraft

4125. General. Under the provisions of 14 CFR § 21.191(a), R&D aircraft are defined as aircraft that test new design concepts, aircraft equipment, installations, operating techniques, or new uses for aircraft. Under the provisions of 14 CFR § 21.191(b), show compliance aircraft are defined as aircraft that conduct flight tests and other operations to show compliance with the regulations. This includes flights to show compliance for the issuance of TCs and STCs, major design changes, and function and reliability requirements. Under the provisions of 14 CFR § 21.191(c), crew training aircraft are defined as aircraft involved in the training of the applicant's flightcrews. Under the provisions of 14 CFR § 21.191(f), market survey aircraft are defined as aircraft that are used for conducting market surveys, sales demonstrations, and customer crew training as provided for in 14 CFR § 21.195. Under the provisions of 14 CFR § 21.191(h), operating kit-built aircraft is defined as operation of a PCA that meets the criteria of §14 CFR 21.24(a)(1) that was assembled by a person from a kit manufactured by the holder of a PC for that kit, without the supervision and quality system of the PC holder under 14 CFR § 21.184(a). Unless further defined in paragraphs 4125a through e of this order, the duration of an experimental certificate for R&D (showing compliance with regulations, crew training, market surveys, or kit-built aircraft) is found in paragraph 4003 of this order.

a. Research and Development. Any aircraft would be eligible for an experimental certificate under this purpose. See FAA Order 8130.29, Issuance of Special Airworthiness Certificate for Show Compliance and/or Research and Development Flight Testing, for specific guidance on R&D certification. Although the operations may eventually lead to a TC, they may be conducted by the applicant only as a matter of research or to determine whether an idea warrants further development. In addition to the operations specified in 14 CFR § 21.191(a), the operation of a chase plane, a tanker used for in-flight icing tests, or other aircraft not otherwise eligible for a standard or an experimental certificate (R&D), but necessary for use in direct connection with the R&D project, is considered to be within the scope of this purpose. Aircraft currently certificated in the experimental category for the purposes of exhibition or air racing also may be eligible for a special airworthiness certificate for the experimental purpose of R&D. Also, former military aircraft are often used in R&D projects, and it is appropriate to use the guidance in this order when performing R&D certification of former military aircraft.

b. Showing Compliance with Regulations. This purpose would be considered valid when the applicant for a TC or an aircraft modifier has revised the TC design data or has applied for an STC or field approval. The purpose is to show compliance to the CFR after the applicant has completed testing under R&D, if applicable, and is ready for flight testing by the FAA. See FAA Order 8130.29 for specific guidance for showing compliance. In addition to the operations specified in 14 CFR § 21.191(b), the operation of a chase plane or other aircraft not otherwise eligible for a standard or experimental certificate, but necessary for use in direct connection with a type certification project, is considered to be within the scope of this purpose.

c. Crew Training. Under 14 CFR § 21.191(c), this purpose is limited to only the applicant's flightcrews, which normally would be the manufacturer's employees necessary to be trained in experimental aircraft. These flightcrews operate aircraft being flight-tested in type certification programs or for production flight testing. Crew training of the manufacturer's customers in experimental aircraft is covered in paragraph 4128 of this order.

d. Market Surveys. A U.S. manufacturer of aircraft or engines and persons that alter aircraft may apply for a special airworthiness certificate in the experimental category for the purpose of market surveys, sales demonstrations, and customer crew training under 14 CFR § 21.195. Amateur-built aircraft kit manufacturers also may be eligible to give customer familiarization training under 14 CFR § 21.191(f). The FAA representative must ensure that the provisions of 14 CFR § 21.195 are met before issuing the experimental certificate. The applicant must provide the FAA representative with the estimated time or number of flights required for the market survey operation as well as the area or itinerary over which the operations are to be conducted under 14 CFR § 21.193(d)(2) and (3). The duration of the certificate should be limited to the time needed for the described operations effective for 1 year or less after the date of issuance. A longer duration may be provided for a PC holder who has an approved procedure for experimental operations. The MIDO manager has the option to extend the duration for other situations.

e. Operating Kit-Built Aircraft. If a PCA kit is assembled without the benefit of the PC holder's supervision, the aircraft may qualify for an experimental certificate in accordance with 14 CFR § 21.191(h). The purchaser or owner of the kit is not required to assemble or fabricate any specific portion of the kit; assistance for some or all of the work may be obtained from other sources, such as the PC holder or some other fabricator. The kit, however, must have been manufactured by a PC holder.

4126. Special Certification Requirements. In addition to the certification procedures in paragraph 4002 of this order, see paragraph 4073 of this order for demilitarization of former military aircraft.

4127. PC Holder and Modifier Experimental Operating Procedure. PC holders and modifiers may submit to their local managing office for FAA approval a procedure describing the operation of experimental aircraft. After it is approved, the procedure may be listed in the operating limitations as indicated in paragraph 4128b of this order. The principal inspector (PI) may exclude certain aircraft from the privileges of either all or part of this procedure, for example, the first of a model, such as the B757/B767, or a nonproduction R&D aircraft. The procedure should include at least the following elements:

a. A description of the test area that will be used to show compliance with 14 CFR § 91.319(b). This area must be described by a radius, coordinates, and/or landmarks, and be over open water or sparsely populated areas having light air traffic. The size of the area must be that required to safely conduct the anticipated maneuvers and tests. Multiple-purpose certificates may require individually prescribed geographical areas.

b. A daily flight log that must be maintained by the pilot that shows compliance with 14 CFR § 91.319(b) and inspection of the aircraft prior to release for flights in the expanded test area. The flight log will be maintained for the duration of the certificate for review by the PI.

c. A description of the method used to conduct and record necessary flights outside the test area, and for maintaining these records. This procedure will remain active for the duration of the certificate, and will eliminate the need for the PC holder to obtain approval for each flight.

d. A description of the method used to define the persons who may be carried during these operations. The following must be incorporated into this procedure:

(1) A requirement that the pilot in command advise each passenger of the experimental nature of the aircraft, in accordance with 14 CFR § 91.319(d).

(2) A method of recording persons carried on each flight. These records must be maintained for the duration of the certificate for review by the PI.

(3) A provision that no persons may be carried in the aircraft during flight unless that person is required for the purpose of the flight. Persons other than flightcrew members may be carried when all of the following conditions are met:

(a) The aircraft is of the same basic model that previously has shown compliance with 14 CFR §§ 91.319(b) and 21.195.

(b) The aircraft has been proven in accordance with paragraph 4128b(3) of this order.

(c) Flight tests do not include intentional maneuvers involving abrupt changes in the aircraft's attitude, abnormal attitudes, or abnormal acceleration/deceleration not necessary for normal flight.

(d) The procedures specifically cover the types of flying to be permitted while carrying passengers other than flightcrew members.

(e) The following placard is displayed inside the aircraft, in letters at least three-eighths of an inch high and in a location easily visible and legible to all persons entering the aircraft: **"NOTICE: THIS AIRCRAFT DOES NOT COMPLY WITH FEDERAL SAFETY REGULATIONS FOR STANDARD AIRCRAFT."** (This placard is not necessary for single-place aircraft).

e. A description of the method used to determine that the aircraft is in a condition appropriate for the purpose intended when changing from one purpose to another (multiple-purpose certificates), and to document the results of this determination in a log or daily flight sheet (for example, changing from R&D to market survey).

f. Any other condition deemed necessary in the interest of safety by the PI.

g. A requirement that a copy of this procedure must be carried in the aircraft while operating under the privileges of this procedure. A copy of this procedure also may be included or directly referenced in the PC holder's quality manual for the convenience of the manufacturer and the PI. Any enforcement deemed appropriate would be under 14 CFR § 91.319 and not 14 CFR part 21, subpart F, Production Under Type Certificate Only, or subpart G, Production Certificates.

4128. Issuance of Experimental Research and Development, Showing Compliance with Regulations, Crew Training, Market Surveys, and Operating Kit-Built Aircraft Operating Limitations.

a. Operating limitations must be designed to fit the specific situation encountered. The ASI may impose any additional limitations deemed necessary in the interest of safety. The ASI and/or designee must review each imposed operating limitation with the applicant to ensure that the operating limitations are understood by the applicant.

b. The following operating limitations must be prescribed as applicable:

Note: The applicability is identified in boldface parentheses at the end of each limitation.

(1) No person may operate this aircraft unless FAA Form 8130-7 is displayed at the cabin or cockpit entrance and visible to passengers or flightcrew members.
(Applicability: All)

(2) No person may operate this aircraft for other than the purpose of R&D, showing compliance with regulations, crew training, market surveys, or operating kit-built aircraft, to accomplish the flight operation outlined in the program letter dated _____, which describes compliance with 14 CFR § 21.193(d), and has been made available to the pilot in command of the aircraft. In addition, this aircraft must be operated in accordance with applicable air traffic and general operating rules of 14 CFR part 91, and all additional limitations herein prescribed under the provisions of 14 CFR § 91.319(i).
(Applicability: All)

(3) All flights must be conducted within the geographical area described as follows: The area must be described by radius, coordinates, and/or landmarks. The designated area must be over open water or sparsely populated areas having light air traffic. The size of the area must be that required to safely conduct the anticipated maneuvers and tests. Multiple-purpose certificates may require individually prescribed geographical areas.
(Applicability: All)

Note: This applies to all certificates issued to show compliance with 14 CFR § 91.319(b). When the FAA finds compliance, the operating limitations will be revised to remove the limitation. The aircraft will not be allowed to operate over densely populated areas or in congested airways in accordance with 14 CFR § 91.319(c). The FAA may permit takeoffs and landings to be conducted over densely populated areas or in congested airways. If this operating limitation is issued, it should say, "Except for takeoffs and landings, this aircraft must not be operated over densely populated areas or in congested airways." Limitation (5) in this paragraph may be specified in lieu of this operating limitation for PC holders who have submitted a procedure in accordance with paragraph 4127 of this order.

(4) All flights of this aircraft must be conducted within the geographic area indicated on the chart as follows:
(Applicability: All except kit-built)

Note: This limitation will be prescribed to expand the area after the FAA finds compliance with 14 CFR § 91.319(b). This limitation applies to the following purposes: R&D, showing compliance, crew training, and market surveys. Limitation (5) in this paragraph may be specified in lieu of this operating limitation for PC holders who have submitted a procedure in accordance with paragraph 4127 of this order.

(5) All flights must be conducted in accordance with [that is, describe the PC holder's approved operating procedure, for example, ABC Aircraft Co. Experimental Operating Procedure No. 12 (dated)].
(Applicability: All except kit-built)

> **Note:** Limitation (5) in this paragraph may be specified in lieu of limitations (3) and (4) in this paragraph for PC holders that have submitted a procedure in accordance with paragraph 4127 of this order.

(6) When changing between operating purposes of a multiple-purpose certificate, the operator must determine that the aircraft is in a condition for safe operation and appropriate for the purpose intended. A record entry will be made by an appropriately rated person to document that finding in the aircraft logbook.
(Applicability: All except kit-built)

> **Note:** This limitation is not applicable when a PC holder's experimental operating procedure is specified (see paragraph 4127 of this order).

(7) This aircraft must not be operated unless it is inspected and maintained in accordance with appropriate military technical publications and/or manufacturer's recommendations. The owner/operator must select, establish, identify, and use an inspection program as set forth in 14 CFR § 91.409(e), (f), (g), and (h). This inspection program must be recorded in the aircraft maintenance records.
(Applicability: All except kit-built)

(8) The pilot in command of this aircraft must hold an appropriate category/class rating. If required for the type of aircraft to be flown, the pilot in command also must hold either an appropriate type rating or an LOA issued by an FAA Flight Standards Operations Inspector.
(Applicability: All)

> **Note 1:** An LOA is issued in accordance with the procedures described in FAA Order 8900.1, volume 5, chapter 9, section 2 for all training and eligibility requirements.

> **Note 2:** This limitation is applicable to any turbine-powered or reciprocating engine-powered aircraft with a total power greater than 800 horsepower, rotorcraft, aircraft with a maximum takeoff weight exceeding 12,500 pounds, or any other aircraft when deemed necessary. FAA Flight Standards Operations Inspectors should see FAA Order 8900.1 for further guidance.

(9) This aircraft is to be operated under VFR, day only.
(Applicability: All)

> **Note:** 14 CFR § 91.319(d)(2) provides for VFR, day only. If other operations are requested, the authorization will be prescribed as a limitation by selecting operating limitation (10) and/or (11) in this paragraph, as appropriate, and by deleting this limitation.

(10) This aircraft may be operated under VFR, day and/or night.
(Applicability: All)

> **Note:** 14 CFR § 91.319(d)(2) provides for VFR, day only, unless otherwise specifically authorized by the FAA. This limitation gives that authorization. If other operations are requested, the aircraft must be equipped in accordance with 14 CFR § 91.205.

(11) This aircraft may be operated under IFR, and must be properly equipped for instrument flight in accordance with 14 CFR § 91.205.
(Applicability: All)

> **Note:** 14 CFR § 91.319(d)(2) provides for VFR, day only, unless otherwise specifically authorized by the FAA. This limitation gives that authorization. If other operations are requested, the aircraft must be equipped in accordance with 14 CFR § 91.205.

(12) No person may operate this aircraft for carrying persons or property for compensation or hire.
(Applicability: All)

(13) No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight.
(Applicability: R&D and show compliance only)

> **Note:** This limitation may be deleted for PC holders and limitation (14) in this paragraph may be specified instead.

(14) Persons may be carried in accordance with [describe the PC holder's approved operating procedure, for example, ABC Aircraft Co. Experimental Operating Procedure No. 12 (dated)].
(Applicability: All except kit-built)

> **Note:** This limitation is applicable only for PC holders that have submitted a procedure in accordance with paragraph 4127 of this order.

(15) The pilot in command of this aircraft must advise each passenger of the experimental nature of this aircraft, and explain that it does not meet the certification requirements of a standard certificated aircraft.
(Applicability: All)

(16) This aircraft must contain the placards, markings, etc., (or other operating instructions developed for an STC modification) required by 14 CFR § 91.9.
(Applicability: All)

> **Note:** Inspectors also will identify the flight manual, flight manual supplements, markings, drawings, etc., as required.

(17) This aircraft is prohibited from aerobatic flight, that is, an intentional maneuver involving an abrupt change in the aircraft's attitude, an abnormal attitude, or abnormal acceleration not necessary for normal flight.
(Applicability: All)

> **Note:** Aerobatic flights may be permitted in the assigned test area. The applicant should be advised that aerobatics or violent maneuvers should not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable. These operating limitations may be modified to include only those aerobatics/maneuvers that have been satisfactorily accomplished and recorded in the aircraft records during the flight test period. These aerobatics/maneuvers may be permitted upon leaving that assigned test area. Appropriate limitations identifying the aerobatics/maneuvers and conditions under which they may be performed should be prescribed. The FAA may witness aerobatics/maneuvers if deemed necessary.

(18) This aircraft may conduct aerobatic flight in accordance with 14 CFR § 91.303. Aerobatics must not be attempted until sufficient flight experience has been gained to establish that the aircraft is satisfactorily controllable and in compliance with 14 CFR § 91.319(b). Aerobatic maneuvers intended to be performed must be satisfactorily accomplished and recorded in the aircraft records during the flight test period.
(Applicability: All)

(19) The cognizant FSDO must be notified, and its response received in writing, prior to flying this aircraft after incorporation of a major change as defined by 14 CFR § 21.93.
(Applicability: All except for R&D and show compliance)

> **Note:** Limitation (5) in this paragraph may be specified in lieu of this limitation for PC holders that have submitted a procedure in accordance with paragraph 4127 of this order.

(20) This aircraft must not be used for glider towing, banner towing, or intentional parachute jumping.
(Applicability: All)

(21) No person must operate this aircraft unless within the preceding 12 calendar months it has had a condition inspection performed in accordance with the scope and detail of 14 CFR part 43, appendix D, or other FAA-approved programs, and was found to be in a condition for safe operation. This inspection will be recorded in the aircraft maintenance records.
(Applicability: All)

(22) FAA-certificated repair stations and FAA-certificated mechanics with appropriate ratings as authorized by 14 CFR § 43.3 may perform inspections required by these operating limitations.
(Applicability: All)

(23) Inspections must be recorded in the aircraft maintenance records showing the following, or a similarly worded, statement: "I certify that this aircraft has been inspected on [insert date] in accordance with the scope and detail of 14 CFR part 43, appendix D, or other FAA-approved programs, and was found to be in a condition for safe operation." The entry will include the aircraft's total time-in-service, and the name, signature, certificate number, and type of certificate held by the person performing the inspection.
(Applicability: All)

(24) If aircraft, engine, or propeller operating limitations are exceeded, an appropriate entry will be made in the aircraft records.
(Applicability: All except kit-built)

> **Note:** This limitation applies only when an aircraft is temporarily in the experimental category and will be returned to the original certificate status, for example, STC project.

(25) This aircraft must not be operated unless it is maintained and inspected in accordance with the requirements of 14 CFR part 43.
(Applicability: All)

> **Note:** This operating limitation is applicable to any aircraft that previously had been issued a different type of airworthiness certificate prior to applying for a special airworthiness certificate (see 14 CFR § 43.1(b)).

(26) This aircraft must display the word "EXPERIMENTAL" in accordance with 14 CFR § 45.23(b).
(Applicability: All)

(27) The pilot in command of this aircraft must notify air traffic control of the experimental nature of this aircraft when operating into or out of airports with operating control towers. The pilot in command must plan routing that will avoid densely populated areas and congested airways when operating VFR.
(Applicability: All)

(28) This aircraft does not meet the requirements of the applicable, comprehensive, and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. The owner/operator of this aircraft must obtain written permission from another country's CAA prior to operating this aircraft in or over that country. That written permission must be carried aboard the aircraft together with the U.S. airworthiness certificate and, upon request, be made available to an FAA inspector or the CAA in the country of operation.
(Applicability: All)

(29) Aircraft instruments and equipment installed and used under 14 CFR § 91.205 must be inspected and maintained in accordance with the requirements of 14 CFR parts 43 and 91. Any maintenance or inspection of this equipment must be recorded in the aircraft maintenance records.
(Applicability: All)

(30) Application must be made to the geographically responsible FSDO or MIDO [insert name of office] for any revision to these operating limitations.
(Applicability: All)

(31) 14 CFR § 47.45 requires that the FAA Aircraft Registry must be notified within 30 days of any change in the aircraft registrant's address. Such notification is to be made by submitting Aeronautical Center Form 8050-1 to AFS-750 in Oklahoma City, Oklahoma.
(Applicability: All)

(32) Condition inspections must be performed in accordance with 14 CFR part 43, appendix D at least every 100 flight hours. The inspections must be performed by an FAA-certificated mechanic with appropriate ratings as defined in 14 CFR § 43.3.
(Applicability: All)

(33) Familiarization flights must be conducted only over sparsely populated areas. If aerobatics are involved, the applicant must inform the local FAA office and additional limitations may be imposed as necessary.
(Applicability: All)

4129.-4135. Reserved.

Section 12. Provisional Airworthiness Certification

4136. General. Under the provisions of 14 CFR part 21, subpart I, two classes of provisional airworthiness certificates may be issued. Class I certificates may be issued for all categories, whereas Class II certificates are issued for transport category aircraft only. In each case, a corresponding provisional TC or provisional amendment to the TC must be in effect to be eligible for a corresponding provisional airworthiness certificate.

4137. Eligibility. Only a U.S. aircraft manufacturer, aircraft engine manufacturer, or certificated air carrier may apply for provisional airworthiness certificates as provided in 14 CFR part 21, subpart I. Because the aircraft normally is one that is being used in the type certification process, the FAA should be familiar with its progress and conformity status. Therefore, upon determination that the application and attachments are satisfactory, inspection of the aircraft is necessary only to the extent required to determine that it is in a condition for safe operation when operated within its operating limitations.

4138. Special Purpose Operations. The special purpose operations for which provisionally certificated aircraft may be operated are contained in 14 CFR § 91.317. These operations include—

a. Training flightcrew members, including simulated air carrier operations;

b. Demonstration flights by the manufacturer for prospective purchasers;

c. Market surveys by the manufacturer;

d. Flight checking of instruments, accessories, and equipment that does not affect the basic airworthiness of the aircraft; and

e. Service testing of aircraft.

4139. Statement of Conformity. A properly completed FAA Form 8130-9 containing the information required by 14 CFR §§ 21.221 and 21.223 may be used by the manufacturer as its conformity statement and should be attached to FAA Form 8130-6.

4140. Certification Procedures. The FAA should follow the appropriate procedures outlined in paragraph 4002 of this order.

4141. Special Airworthiness Certificate, FAA Form 8130-7. Upon determination that the aircraft conforms to its provisional TC or provisional amendment to a TC and that it is in a condition for safe operation, the FAA should issue FAA Form 8130-7. The issuance of a provisional airworthiness certificate, corresponding to a provisional amendment to a TC in accordance with 14 CFR § 21.225, is considered to be an original issuance in the provisional category.

4142. Operating Limitations. Operating limitations established for the issuance of a provisional TC or provisional amendment to a TC are considered to be a part of the provisional airworthiness certificate issued to an individual aircraft. The FAA must ensure that these operating limitations are available in the aircraft in compliance with 14 CFR § 91.9. Limitations and restrictions as required by 14 CFR § 91.317, and which are not included in placards or the provisional flight manual, must be enumerated on a separate sheet and displayed with the provisional airworthiness certificate.

4143.-4160. Reserved.

Section 13. Special Flight Permits

4161. General.

a. Special flight permits are issued for aircraft that currently may not meet applicable airworthiness requirements, but are capable of safe flight. A special flight permit is not an authorization to deviate from the requirements of 14 CFR part 91.

(1) Section 14 CFR 21.197(a) applies to aircraft that may not meet applicable airworthiness requirements and that will be operated for a purpose specified in 14 CFR § 21.197(a)(1) through (5).

(2) Section 14 CFR 21.197(b) applies to those aircraft that meet all of the applicable airworthiness requirements except those that cannot be met because of an overweight condition.

(3) Section 14 CFR 21.197(c) applies only to holders of operating certificates issued under 14 CFR part 121 or 135 for aircraft operated and maintained under a continuous airworthiness maintenance program. The instructions for issuance of a special flight permit with a continuing authorization are contained in FAA Order 8900.1, volume 4, chapter 13, section 1.

b. Forms 8130-6 and 8130-7 are used for the administration of 14 CFR §§ 21.197 and 21.199. The instructions for completion of these forms are contained in chapter 8 of this order, except as noted in this section.

c. Special flight permits for purposes other than production flight testing and customer demonstration flights will be issued by the FSDO/MIDO/international field office (IFO) geographically responsible for the area in which the flight is to originate. If the applicant's aircraft is outside the jurisdiction of the FSDO/MIDO/IFO receiving the request, the applicant should be referred to the appropriate office. This paragraph does not apply to 14 CFR part 121 or 135 certificate holders.

> **Note:** ODA holders and designees may issue special flight permits if it is an authorized function. See Order 8100.15 (ODA) and FAA Order 8100.8, Designee Management Handbook, for further clarification and guidance.

d. Special flight permits issued to 14 CFR part 121 or 135 certificate holders who do not have a continuous authorization normally will be issued by their certificate holding district office (CHDO). However, with the CHDO's concurrence, these special flight permits may be issued by the office having geographical responsibility.

e. Under special conditions, special flight permits may be issued to 14 CFR part 145 repair facilities for the purpose of delivering aircraft from international locations to the United States. In this instance, the special flight permit will be issued by the CHDO having jurisdiction over the repair facility under the following conditions:

(1) It is a U.S.-registered aircraft that currently does not meet the conditions of its standard airworthiness certificate, due to the installation of non-standard auxiliary fuel systems. Auxiliary fuel system installations must be accomplished by an FAA-certificated repair facility which is specifically airframe-rated for the desired installation.

(2) Procedures relating to the application and issuance of special flight permits, the installation of auxiliary fuel systems, and any conditions and limitations for flight must be incorporated into the repair facility's operations specifications.

> **Note:** The FAA office issuing the special flight permit, under these special conditions, must assure compliance with all other guidelines outlined within this order. The CHDO may request the IFO geographically responsible for the area in which the flight is to originate to inspect the aircraft prior to flight utilizing an ASI or qualified designee.

f. The validity of the special flight permit is not affected by the operation of the aircraft outside the border of the United States as long as it is operated for the intended purpose under 14 CFR § 21.197 and within the timeframe specified on the permit. The special flight permit does not authorize flight over countries other than the United States without permission of that country. If such operation is contemplated, the effective date of the permit is contingent on compliance with section D(2) of the permit and it becomes the responsibility of the owner/operator to obtain such permission.

Note: This paragraph does not apply to authorizations covered by FAA Order 8900.1, volume 4, chapter 13, section 1.

g. In accordance with 14 CFR § 39.23, some operations specifications may give an operator the authority including the provision to fly an aircraft to a repair station to perform work required by an AD. If the operator does not have this authority, the local FSDO may issue a special flight permit in accordance with 14 CFR § 21.197(a) unless the airworthiness directive states otherwise.

(1) In cases where the special flight permit paragraph is intentionally missing from an AD, 14 CFR § 39.23 authorizes the issuance of a special flight permit, if the AD was published after August 21, 2002 (the effective date of 14 CFR § 39.23). In all new ADs, the special flight permit is authorized by 14 CFR § 39.23, and not the AD, unless the engineer determines that the aircraft cannot be moved safely, and therefore the AD will include a paragraph that does not allow any special flight permit or has certain restrictions.

(2) The ASI also has the authority under 14 CFR § 39.23 to deny a special flight permit request for safety reasons as well as adding operating restrictions to the proposed route of flight. An example of a justified denial would be a special flight permit request for operation over large bodies of water or mountainous terrain with a single-engine aircraft that has an AD applicable to the engine or propeller.

h. If the product is not an aircraft, and the AD does not provide for the product's operation during a ferry flight, in accordance with 14 CFR § 39.7 the product may not be operated during such a flight. If the aircraft on which the product is installed can be operated safely without operating the product, a special flight permit could be issued in accordance with 14 CFR § 21.197(a) with a limitation that the product be rendered inoperative for flight.

4162. Purposes. 14 CFR § 21.197 prescribes the general purposes for which a special flight permit may be issued. The following specific operations also are considered to be within the scope of the general provisions:

a. Any flight of a U.S.-registered aircraft covered by 14 CFR § 21.197, if the aircraft is capable of safe flight, even though a TC has not been issued.

b. The delivery of an aircraft of either U.S. or non-U.S. manufacture to the base of the purchaser or to a storage point in the United States.

c. The operation of non-air carrier four-engine aircraft with one inoperative engine. The provisions of 14 CFR § 91.611 should be used as a guide.

d. Flying an aircraft whose annual inspection has expired to a base where an annual inspection can be accomplished.

e. Flying an amateur-built aircraft whose condition inspection has expired to a base where the condition inspection can be accomplished.

f. Production flight testing of LSA in accordance with 14 CFR § 21.190(c)(7).

4163. Application and Issuance (General).

a. When the application for a special flight permit is found in compliance with all requirements, the FAA should issue FAA Form 8130-7, with operating limitations deemed necessary for safe operation. The operating limitations must be enumerated on a separate sheet, identified by the aircraft registration and serial number, dated, and signed. The applicant should be advised that FAA Form 8130-7 and attached operating limitations must be displayed in the aircraft in accordance 14 CFR § 91.203(b).

b. The FAA may assist the applicant by completing FAA Form 8130-6 based on information furnished by telephone, letter, or fax. The name of the applicant should be entered in the space provided for the applicant's signature. A notation as to how the information was received should be entered above the name, for example, "Received by letter dated _____." If the information provided is adequate, and all requirements for issuance are satisfied, the ASI may issue a telegraphic or faxed special flight permit with appropriate limitations (except 14 CFR § 21.197(b) for overweight operations). These limitations will include inspection requirements as deemed necessary. The telegraphic or faxed copy of the special flight permit and prescribed operating limitations must be displayed in the aircraft in accordance with 14 CFR § 91.203(b) prior to conducting the special flight.

> **Note:** Designees cannot issue a telegraphic or faxed special flight permit. The 14 CFR part 135 air carrier must have an approved program to operate nine or less passenger seats. All designees are required to physically perform the inspection necessary to ensure the aircraft is eligible for the special flight permit.

c. If a district office processes numerous applications for telegraphic or faxed special flight permits, a standard format may be filed with the local office.

d. When FAA Form 8130-6 has been completed, the ASI will complete the telegraphic or faxed special flight permit to include any additional operating limitations that may be required. The completed and signed permit may then be transmitted by fax. The faxed copy of the permit that is received for display in the aircraft at the point of departure will be considered the original permit.

e. A copy of each certification document should be retained in the files of the issuing office. Only copies required per paragraph 807a(1) of this order, as applicable, are to be forwarded to AFS-750.

4164. Aircraft Inspections.

a. It is the responsibility of the FAA to determine which inspections or tests are necessary to ensure that the aircraft is capable of safe flight for the intended purpose.

b. The FAA should make, or require the applicant to make, appropriate inspections or tests considered necessary for safe flight.

c. The FAA should inspect damaged aircraft or an aircraft for which the airworthiness is questionable in any respect. Additionally, the FAA or the designee should inspect the LSA for which a special flight permit may be issued. The FAA is authorized, at its discretion, to allow a properly certificated mechanic or a repair station to conduct the necessary aircraft inspection(s) in support of the issuance of a special flight permit.

> **Note:** If an affirmative, technical determination cannot be made that a particular aircraft is capable of safe operation because of insufficient design, inspection, or maintenance data that normally is available for a type-certificated aircraft, the special flight permit should not be issued.

d. When the FAA requires the applicant to make the inspection, the applicant must be advised that such inspections must be—

(1) Accomplished by an appropriately certificated mechanic or repair station familiar with all of the procedures and requirements contained in this chapter.

(2) Documented in the aircraft logbook by the authorized person who conducted the inspection.

4165. Special Operating Limitations. The FAA should establish limitations as deemed necessary for safe operation. Because individual circumstances may vary greatly, a list of limitations applicable in every case cannot be provided. The objective is to ensure safe operation of the aircraft. If necessary, solicit the technical assistance of other FAA offices or specialties. Limitations should be clear and concise so they can be easily understood. In addition to the limitations deemed necessary for the particular flight, the following items must be considered when establishing operating limitations:

a. Conformity to the aircraft's technical data.

b. Operational equipment necessary for safe operation of the aircraft.

c. Special qualifications required of the pilot and crewmembers. For flights that involve long distances over which various weather conditions may be encountered, the pilot in command also must be appropriately instrument-rated.

d. Aircraft weight limits.

e. Fuel and fuel distribution limits.

f. CG limits.

g. Maneuvers to which the aircraft is limited.

h. Limits on use of flight equipment, such as autopilots, etc.

i. Meteorological conditions to be avoided and the inspections required if inadvertently encountered.

j. Airspeed limits.

k. Operation in the overweight condition must be conducted to avoid cities, towns, villages, and congested areas, or any other areas where the flights might create hazardous exposure to persons or property.

l. Runway selections, if considered necessary for safety.

m. Communications required with airport tower personnel to inform them prior to takeoff or landing of the nonstandard condition of the aircraft.

n. When flight over another country is planned, the ASI must emphasize to the applicant that special permission must be obtained from the country over which the aircraft will be operated. In addition, section C of FAA Form 8130-7 should contain the statement, "Subject to D(2) on reverse side." (figure 4-13 of this order).

> **Note:** When required to fly over an ICAO member state, the operating limitations issued with the special flight permit should include, when appropriate, the following statement: "This aircraft does not comply with the international standards of Annex 8 to the Convention on International Civil Aviation as follows: [describe here the item(s) which do not comply with the airworthiness requirements for standard aircraft]."

o. Any other limitation that should be prescribed for the particular flight.

4166. Special Flight Permit for Operation of Overweight Aircraft.

a. General.

(1) The FAA has two primary concerns when issuing special flight permits for the temporary operation of overweight aircraft:

(a) That the public will be guarded in the event of an accident; and

(b) That when the aircraft is returned to a standard configuration, it has not been rendered unairworthy due to the overweight operations.

(2) With safety being the primary concern, it is essential that the processing office use the technical assistance of other FAA offices or specialties as deemed necessary to ensure the highest degree of safety possible. All installations, for example, a long-range fuel system or navigational equipment, must be installed in accordance with FAA-approved data.

(3) Applications for which the proposed maximum weight does not exceed 110 percent of the maximum certificated weight, and for which the certificated CG limits are not exceeded, may be processed by district offices without obtaining an engineering evaluation (except for rotorcraft).

(4) Applications for which the proposed maximum weight exceeds 110 percent of the maximum certificated weight, or the CG limits exceed the certificated limits, must be coordinated with an ACO for an engineering evaluation of the structural integrity and for any other provisions deemed necessary.

(5) All applications for rotorcraft must be coordinated with an ACO for an engineering evaluation of the structural integrity, the flight integrity, and for any other provisions deemed necessary.

(6) The processing of an application must encompass a review of the airworthiness status of the basic aircraft, an evaluation of the added installations that constitute the excess weight, required flightcrew member qualifications, and proposed operating limitations.

b. Added Installations.

(1) Technical Data.

(a) When the submitted application falls under the provisions of paragraph 4166a(4) or (5) of this order, any drawings and reports submitted with the application that substantiate structural integrity must be sufficiently detailed to show that the added installations are structurally and functionally safe and to allow for a conformity inspection of the added installations.

(b) The structural report should reference the drawings used for the installation(s).

(2) Record of Installation(s).

(a) The installation(s) added to the aircraft for the intended overweight flight must be recorded in accordance with the requirements of 14 CFR § 43.9.

(b) The following statement must be entered in section 3 of FAA Form 337: "No person may operate this aircraft, as altered herein, unless it has within it an appropriate and current special flight permit issued under 14 CFR part 21" (figure 4-14 of this order).

(3) Auxiliary Fuel System Installations. In the evaluation of the auxiliary fuel system installations, the following items will be considered:

(a) The aircraft and auxiliary fuel system should meet all applicable original airworthiness requirements, except for those the aircraft cannot meet because of its overweight condition. The aircraft and auxiliary fuel system must be found safe for the intended flight.

(b) Fuel tank(s) installed in a pressurized area should be tested for the maximum pressure differential existing between cabin pressurization and aircraft maximum operating altitude with fuel tank(s) empty.

(c) Adequate ventilation must be provided for the fuel tank(s) and the area in which the fuel tank(s) are located to prevent the accumulation of fumes that would be detrimental to the flightcrew or present a fire or explosion hazard.

(d) A means must be provided to readily determine the quantity of fuel in the auxiliary tank(s) prior to takeoff. In addition, a means must be provided to indicate the quantity of fuel in tanks that have a vapor/excess fuel return line, both prior to takeoff and during flight.

(e) The location of the fuel tank(s) in the aircraft is a major factor in determining that the aircraft is safe for flight because the added fuel and fuel facilities have the greatest effect on the aircraft's CG. In addition, the fuel system installation must not restrict entrance to or exit from the aircraft as provided by the applicable section of 14 CFR. If required under 14 CFR § 23.1001 (amendment 23-7), the aircraft should have an adequate fuel jettison system installed.

(f) Auxiliary fuel systems that are not complete, that is, not connected to the basic aircraft fuel system, may not be considered for issuance of a special flight permit.

(4) Engine Oil Quantity. The applicant will show that the oil supply provided for each engine is sufficient to ensure satisfactory cooling and system circulation for the duration of the flight. If deemed necessary, an oil transfer system for replenishing the engine oil while the aircraft is in flight must be provided.

(5) Maximum Weight and Center of Gravity Limits.

(a) 14 CFR § 21.197(b) limits any excess weight over the certificated maximum weight to additional fuel, fuel carrying facilities, and navigational equipment added for the intended flight. It must be determined that this part of the maximum weight complies with this requirement.

(b) When numerous alterations are performed, it may be necessary to weigh the aircraft to establish the aircraft weight and the CG limits. The computations should be evaluated for accuracy. It also may be necessary to require flight testing at the new maximum weight and CG limits to determine that the aircraft is safe for operation. Computed weight and balance information should be reflected on FAA Form 337, section 8.

(c) Operation of rotorcraft over the certificated maximum weight presents some unique conditions over and above those encountered with fixed-wing aircraft. Special attention should be given to this type of aircraft. A careful evaluation should be made to determine what effect the overweight operation may have on the retirement times of critical articles.

(6) Operating limitations must be prescribed as deemed necessary. See paragraphs 4128 and 4141 of this order, and include:

(a) Operation in the overweight condition must be conducted to avoid cities, towns, villages, and congested areas, or any other areas where the flights might create hazards to persons or property.

(b) Runway [specify] must be used for overweight takeoff (and landing when appropriate). If an en route stop is scheduled, the following must be added to this limitation: Contact FAA office, [city, routing symbol, and telephone number] for runway to be used for overweight takeoff and landing at [city].

(c) A copy of FAA Form 337 covering the additional fuel-carrying facilities and equipment must be in the aircraft.

(d) Special entries to note required inspection of the aircraft for possible damage due to overweight operation upon completion of overweight flight(s).

4167. Special Flight Permit for Production Flight Testing. A special flight permit issued for production flight testing may be used by a manufacturer to meet the requirements of 14 CFR § 91.203 when operating new production aircraft for the purpose of production flight testing, as provided in 14 CFR § 21.197. This permit may be used with Aeronautical Center Forms 8050-3 and 8050-6, or Aeronautical Center Form 8050-1, and is transferable from one aircraft to another, except for LSA, which require one special flight permit for each aircraft. The permit normally is valid only for the purpose of production flight testing. However, when deemed appropriate, the MIDO/CMO may allow both production flight testing and customer demonstration to be entered in block A of FAA Form 8130-7 as explained in paragraph 4168 of this order. The applicable operating limitations are printed in block B on the reverse side of FAA Form 8130-7 (figure 4-1 of this order).

a. Eligibility.

(1) A manufacturer producing aircraft under 14 CFR part 21, subpart F or G, is eligible to obtain special flight permits for production flight testing.

(2) A manufacturer producing aircraft prior to issuance of the TC also is eligible for a special flight permit for production flight testing provided the following conditions are met:

(a) The manufacturer holds a TC and a currently effective PC for at least one other aircraft in the same category.

(b) The FAA official flight test program is in progress.

(c) A prototype aircraft of that model has been flown by the manufacturer under an experimental certificate to ensure that there are no adverse flight characteristics and that production test pilots are fully familiar with the aircraft.

(d) An FAA-accepted production flight test procedure and checklist for the aircraft involved will be used to ensure that all requirements for production flight tests are fulfilled.

(e) The aircraft is not being flown by the manufacturer for purposes other than production flight tests, except as identified in paragraph 4168 of this order.

(f) Limitations have been established to define the production flight test area.

(3) A manufacturer producing LSA under 14 CFR § 21.190 is eligible to obtain special flight permits for production flight testing within the provisions established in paragraph 4040 of this order.

(4) There may be cases where a TC/PC holder is selling new aircraft to the foreign military that are not produced under their PC and do not have a TC. The aircraft manufacturer may be eligible for 14 CFR § 21.197 special flight permits for production flight testing under 14 CFR § 21.197(a)(3). Ownership of those aircraft must be held by the manufacturer during production flight testing.

b. Application and Issue.

(1) An eligible manufacturer should apply for as many special flight permits for production flight testing as deemed necessary for satisfactory coverage of the aircraft involved. The number of special flight permits for production flight testing issued to the manufacturer must be limited to actual need.

(2) A MIDO that has issued special flight permits for production flight testing should maintain suitable accountability records that show expiration dates not exceeding 12 months from the date of issuance, and the number of permits issued to each manufacturer. It is recommended that each permit issued be numbered serially in the upper-right corner of the airworthiness certificate by the issuing office; for example, SW-MIDO-41 #1. The same serial number may be reassigned to a manufacturer each year. The issuing official must sign each permit and associated limitations in permanent blue or black ink above the typed name.

4168. Special Flight Permit for Conducting Customer Demonstration Flights. A special flight permit may be used by a manufacturer to meet the requirements of 14 CFR § 91.203 when operating a new production aircraft for the purpose of conducting customer demonstration flights in accordance with 14 CFR § 21.197(a)(5). This permit may be used with Aeronautical Center Form 8050-3, 8050-6, or 8050-1. This permit is normally issued only for the purpose of customer demonstration. However, as stated in paragraph 4167 of this order, customer demonstration may be listed in block A of FAA Form 8130-7 along with production flight testing, but will not be issued in conjunction with any other special flight permit purposes. When both flight purposes are listed in block A of FAA Form 8130-7, the aircraft's operating limitations must clearly state that no customer demonstration flights are allowed until the aircraft has satisfactorily completed its production flight tests. The format for listing both flight purposes is "Production Flight Testing or Customer Demonstration."

> **Note:** The meaning of the word "customer" for the purpose of this airworthiness certificate means any person or organization judged by the manufacturer to be an acknowledged or potential aircraft purchaser.

a. Eligibility. A special flight permit for conducting customer demonstration flights may be issued when the following conditions are met:

(1) The new production aircraft was produced under a PC or TC.

(2) The PC/TC holder has satisfactorily completed production flight tests. Completion of production flight tests indicates acceptance by the production flight test pilot and no further flight tests are required or planned.

b. Application and Issue.

(1) A letter from the manufacturer must accompany the application describing the customer demonstration flights to be made if sufficient information cannot be included on the application.

(2) Upon receipt of a properly executed application, the issuing FAA representative must inspect the aircraft and prescribe the operating limitations in accordance with paragraphs 4128 and 4165 of this order, as deemed necessary for safe operation. It is not necessary to repeat the limitations on the reverse side of FAA Form 8130-7, except for the statement, "Subject to D(2) on reverse side," which must be entered in block C on the face side of the form. The demonstration flight area(s) also must be listed on the operating limitations. Special flight permits may be issued only for the period needed to complete demonstration flights, usually not to exceed 90 days.

(3) If the MIDO determines that the PC holder has procedures in place to safeguard the storage and issuance of special flight permits for customer demonstration flights, permits that are transferable from one aircraft to another may be issued. It is still necessary to prescribe operating limitations in accordance with paragraphs 4128 and 4165 of this order, as deemed necessary for safe operation. The statement, "Subject to D(2) on reverse side" must be entered in block C on the face side of FAA Form 8130-7. The expiration date shown on FAA Form 8130-7 and the associated limitations must not exceed 12 months from the date of issuance. The permits issued in this manner should be serialized so as to differentiate them from any production flight permits which may have been issued. The number of special flight permits for conducting customer demonstration flights issued to a manufacturer must be limited to actual need.

(4) The MIDO issuing special flight permits for customer demonstration flights will maintain a copy of the complete file in accordance with record retention requirements.

4169. Special Flight Permit for Certain Large Aircraft for which 14 CFR Part 125, Certification and Operations: Airplanes Having a Seating Capacity of 20 or More Passengers or a Maximum Payload Capacity of 6,000 Pounds or More, is not Applicable.

a. Eligibility. A special flight permit may be issued for certain large aircraft for which 14 CFR part 125 is not applicable. In those cases, the provisions of paragraph 4169b of this order must be met.

b. Application and Issue.

(1) Prior to issuance of a special flight permit, the applicant must select, identify in the aircraft maintenance records, and use one of the programs specified in 14 CFR § 91.409(f). If the program selected contains provisions addressing situation-specific inspection of the aircraft, then those provisions may be used to ensure safe operation of the aircraft. If the program selected does not contain those provisions, the FAA will specify the appropriate inspections and/or tests required to ensure safe operation.

Note: Only Flight Standards ASIs can approve the inspection program.

(2) In some cases the applicant may not intend to place the aircraft in service following the flight authorized by the special flight permit. In this case the applicant may wish to select, identify, and use the program specified in 14 CFR § 91.409(f)(4). Unless provisions for additional flights are provided for in the FAA-approved program, no additional flights are permitted.

(3) The following examples are provided to illustrate how the above procedures may be applied:

Example 1: ABC Airlines, operating a B-777 aircraft in air carrier service, wishes to lease another B-777 from XYZ Leasing. The subject aircraft has been in storage for 1 year. ABC Airlines wishes to operate the aircraft from the point of storage to a maintenance facility prior to placing the aircraft in service with the airline. ABC Airlines may choose to select, identify in the maintenance records, and use the inspection program that is part of ABC Airlines' Continuous Airworthiness Maintenance Program (CAMP) for its B-777, as provided in 14 CFR § 91.409(f)(4). If the selected CAMP contains provisions for inspection prior to the flight of the aircraft being removed from storage, those provisions may be used to ensure safe operation of the aircraft. If the CAMP does not contain such provisions, the CAMP may still be selected; however, the FAA must require ABC Airlines to make appropriate inspections or tests necessary to ensure safe operation.

Example 2: XYZ Leasing wishes to operate its A-300 from one storage location to another. When applying for the special flight permit, XYZ submits a description of the inspections and tests it considers necessary to ensure safe operation of the aircraft. Upon review of the submitted description, the FAA issues the special flight permit with the conditions and limitations under which XYZ may operate its aircraft following the satisfactory completion of the inspections and tests described. XYZ may then select, identify, and use the description of inspections and tests approved by the Flight Standards ASI as the inspection program under which the aircraft is to be operated for the purpose of this flight only.

(4) The scope and detail of the inspections and/or tests required to ensure safe operation may vary considerably depending on why the permit is issued and/or the conditions or circumstances surrounding the subject aircraft. In-service aircraft that have been routinely maintained and/or inspected under an approved inspection program may not require more than the normal inspections routinely required.

(5) Aircraft that have been damaged or have been out of service for an extended period of time may require additional inspections or tests to ensure safety. Aircraft that have been damaged may require engineering evaluations or special tests to determine airworthiness. In the case of aircraft that have been out of service, the way the aircraft was stored should be evaluated. In many cases, aircraft in storage have been routinely maintained and inspected, and have had preventive maintenance performed at regular intervals. These aircraft normally would require less attention before any anticipated flight. However, any aircraft that has been in storage for an extended period of time requires, at the very least, an extensive visual inspection by a properly certificated mechanic, an inspection of the fuel storage and delivery systems for contamination,

and operational checks of all systems and equipment that may be required to function on the intended flight.

(6) Indiscriminate operation of these types of aircraft should be discouraged by restricting the operation of the aircraft to specific airports and to a specific flight path. The special flight permit should be issued for no more than 7 days.

(7) When the flight characteristics of the aircraft have not been appreciably altered, persons other than flightcrew members and/or persons essential to the operation of the aircraft may be carried aboard during flight operations authorized by a special flight permit. In those cases, the passenger-carrying requirements of 14 CFR part 91 will apply.

(8) An FAA Flight Standards Operations Inspector, type rated in the aircraft, should be consulted regarding the adequacy and appropriateness of the conditions and limitations of the special flight permit.

(9) Special flight permits for large aircraft are issued by the FSDO having geographic responsibility for the area in which the aircraft is located. A CHDO may issue a special flight permit for its 14 CFR part 121, 125, or 133 aircraft operations or 14 CFR part 137, Agricultural aircraft operations, certificate holders who do not have a continuing authorization, but only for those aircraft listed on the certificate holder's aircraft listing. A CHDO may not issue a special flight permit for an aircraft located outside the CHDO's geographic boundaries unless that aircraft is listed on the certificate holder's aircraft listing.

(10) In order to provide proper surveillance and oversight of the flight operations of these types of aircraft, it is recommended that the issuing office advise the destination FSDO or regional airworthiness branch of the conditions and limitations of the special flight permit, as well as the aircraft's anticipated arrival time and destination.

(11) The operation of noise-restricted aircraft requires an SFA issued in accordance with 14 CFR § 91.858 and must be obtained by applying 30 days in advance to the FAA's Office of Environment and Energy (AEE). A special flight permit is not required in these instances and will not be issued unless the aircraft does not meet applicable airworthiness standards as provided in 14 CFR § 21.197. All other inspection program requirements apply.

Figure 4-1. Sample FAA Form 8130-7, Special Airworthiness Certificate

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION		
	PURPOSE		
B	MANU-FACTURER	NAME	
		ADDRESS	
C	FLIGHT	FROM	
		TO	
D	N-		SERIAL NO.
	BUILDER		MODEL
E	DATE OF ISSUANCE		EXPIRY
	OPERATING LIMITATIONS DATED		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE		DESIGNATION OR OFFICE NO.

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-2. Sample FAA Form 8130-7, Special Airworthiness Certificate for Restricted Category Aircraft Certificated Under 14 CFR § 21.25(b)(7)

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION RESTRICTED		
	PURPOSE 14 CFR 21.25(b)(7)(OTHER), SEE ATTACHED LIMITATIONS		
B	MANU-FACTURER	NAME	
		ADDRESS	
C	FLIGHT	~~FROM~~ SEE ATTACHED OPERATING LIMITATIONS	
		~~TO~~ SEE ITEM D, REVERSE SIDE OF THIS CERTIFICATE	
D	N-		SERIAL NO.
	BUILDER		MODEL
E	DATE OF ISSUANCE		EXPIRY
	OPERATING LIMITATIONS DATED		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE		DESIGNATION OR OFFICE NO.

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-3. Sample FAA Form 8130-7, Special Airworthiness Certificate for Primary Category Aircraft Certificated Under 14 CFR § 21.184(a)

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION PRIMARY CATEGORY		
	PURPOSE N/A		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 2EZ		SERIAL NO. F0002
	BUILDER Flight Corp		MODEL F-C-1A
E	DATE OF ISSUANCE 01/31/2001		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Bob Gooday *Bob Gooday*		DESIGNATION OR OFFICE NO. CE43

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-4. Sample FAA Form 8130-7, Special Airworthiness Certificate for Primary Category Aircraft Certificated Under 14 CFR § 21.184(b)

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION PRIMARY CATEGORY		
	PURPOSE N/A		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 345FT		SERIAL NO. FL009
	BUILDER Flight LTD.		MODEL FL-1A
E	DATE OF ISSUANCE 01/31/2001		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Sue Lacy *Sue Lacy*		DESIGNATION OR OFFICE NO. CE45

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-5. Sample FAA Form 8130-7, Special Airworthiness Certificate for Primary Category Aircraft Certificated Under 14 CFR § 21.184(c)

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION PRIMARY CATEGORY		
	PURPOSE N/A		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 7897T		SERIAL NO. 172A-001
	BUILDER Cessna Aircraft Corp.		MODEL 172A
E	DATE OF ISSUANCE 01/31/2001		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Joe Mendez *Joe Mendez*		DESIGNATION OR OFFICE NO. NW24

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-6. Sample Operating Limitations for Primary Category Aircraft Certificated Under 14 CFR § 21.184(c)

PRIMARY CATEGORY AIRCRAFT OPERATING LIMITATIONS

Make: CESSNA Registration Number: N7897T
Model: 172A Serial Number: 172A-001

1. No person may operate a primary category aircraft for carrying persons or property for compensation or hire.

2. No person may operate a primary category aircraft that is maintained by the pilot-owner under an approved special inspection and maintenance program except:

a. The pilot-owner; or

b. A designee of the pilot-owner, provided that the pilot-owner does not receive compensation for the use of the aircraft.

3. No person may operate a primary category aircraft certificated under 14 CFR § 21.184 unless within the preceding 12 calendar months the annual inspection required by 14 CFR § 91.409(a) has been performed. A 100-hour inspection required by 14 CFR § 91.409(b) is required if the aircraft is used for rental or flight instruction for hire. The aircraft may only be returned to service by persons authorized by 14 CFR § 43.7.

4. A primary category aircraft does not meet the requirements of applicable, comprehensive, and detailed airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. It may not be operated over any other country without the special permission of the country. Evidence of that permission must be carried aboard the aircraft along with the U.S. airworthiness certificate, and be made available to the Federal Aviation Administration or Civil Aviation Authority in the country of operation upon request.

Name	Signature	Designation or Office No.	Date

Figure 4-7. Sample FAA Form 8130-7, Special Airworthiness Certificate for Experimental To Show Compliance With The CFR

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Experimental		
	PURPOSE To Show Compliance With the CFR		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 654GL		SERIAL NO. NX09
	BUILDER Night		MODEL N7-XRay
E	DATE OF ISSUANCE 01/31/2001		EXPIRY 01/31/2002
	OPERATING LIMITATIONS DATED 01/31/2001		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Larry Kim Larry Kim		DESIGNATION OR OFFICE NO. CE34

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-8. Sample Operating Limitations for Experimental Kit-Built Aircraft

Small Airplane

Directorate
U.S. Department
of Transportation

Federal Aviation Administration

EXPERIMENTAL – KIT BUILT AIRCRAFT
OPERATING LIMITATION

MAKE: Night-Test MODEL: N7-XRay

S/N: NX09 REG. NUMBER: N654GL

1. This aircraft must not be operated outside the assigned test area until it has been shown to comply with Title 14 of the Code of Federal Regulations (14 CFR) § 91.319(b). A log book entry must be made by the person finding compliance. Flight test area (describe area needed to test aircraft).

2. No person may operate this aircraft for other than the purpose for which the special airworthiness certificate was issued and the aircraft must be operating in accordance with the applicable Federal Aviation Administration (FAA) Air Traffic and General Operating Rules.

3. No operations must be conducted over densely populated areas or in congested airways, except for takeoffs and landings.

4. Operator of this aircraft shall notify the control tower of the experimental nature of this aircraft when operating into or out of airports with operating control towers.

5. Unless appropriately equipped for night and/or instrument flight in accordance with 14 CFR § 91.205, this aircraft shall be operated Day Visual Flight Rules only.

6. This aircraft must contain the placards, markings, etc., required by 14 CFR § 91.9, as applicable.

7. No person may operate this aircraft for carrying persons or property for compensation or hire.

8. The person operating this aircraft shall advise each person carried of the experimental nature of this aircraft.

9. Aerobatic flights are limited to the aerobatics described in the aircraft log book or contained in placards are permitted.

10. Any major change to this aircraft, as defined by 14 CFR § 21.93, invalidates the special airworthiness certificate issued for this aircraft.

11. FAA-certificated mechanics holding an Airframe and Powerplant rating, and appropriately rated repair station may perform condition inspections in accordance with 14 CFR part 43, appendix D.

12. Condition inspections must be recorded in the aircraft maintenance records showing the following or a similarly worded statement: "I certify that this aircraft has been inspected on (insert date) in accordance with the scope and detail of 14 CFR part 43. appendix D and found to be in a condition for safe operation." The entry will include the aircraft total time-in-service, name, signature, and certificate type and number of the person performing the inspection.

Name Signature Designation or Office No. Date

Figure 4-9. Sample Program Letter,
Research and Development/Showing Compliance
Applicant Program Letter Special Airworthiness Certificate

1. Registered Owner (as shown on Certificate of Aircraft registration)

NAME:

ADDRESS:

2. Aircraft Description

a. Registration Marks **b.** Aircraft **c.** Yr. Mfg.

d. Aircraft Serial No. **e.** Aircraft Model Designation

3. Describe program purpose for which the aircraft is to be used (14 CFR 21.193(d)(1)).

4. Provide the following information as it pertains to your Program Letter.

a. List estimated flight hours required for program. Hrs.:

b. List estimated number of flights required for program. No. Flts:

c. List estimated duration for programs (14 CFR § 21.193(d)(2)). No. Days:

5. Describe the areas over which the flights are to be conducted, and address of base operation (14 CFR 21.193(d)(3)).

6. Describe the aircraft configuration (attach three-view drawings or three-view dimensioned photographs of the aircraft (14 CFR 21.193(d)(4)).

7. Date **Name and Title** (Print or Type) **Signature**

Figure 4-10. Sample FAA Form 8130-12, Eligibility Statement, Amateur-Built Aircraft

Form Approved
OMB NO. 2120-0018

US Department of Transportation Federal Aviation Administration

ELIGIBILITY STATEMENT
AMATEUR-BUILT AIRCRAFT

Instructions: Print or type all information except signature. Submit original to an authorized FAA representative. Applicant completes Section I thru III. Notary Public Completes Section IV.

I. REGISTERED OWNER INFORMATION

Name(s) _____

Address(es) _____
No. & Street **City** **State** **Zip**

Telephone No.(s) ( ) _____ ( ) _____
Residence **Business**

II. AIRCRAFT INFORMATION

Model _____ Engine(s) Make _____

Assigned Serial No. _____ Engine(s) Serial No. _____

Registration No. _____ Prop./Rotor(s) Make _____

Aircraft Fabricated: Plan ☐ Kit ☐ Prop./Rotor(s) Serial No.(s) _____

III. MAJOR PORTION ELIGIBILITY STATEMENT OF APPLICANT

I certify that the major portion of this aircraft (identified in Section II above) was fabricated and assembled by

_____
Names of all builders (Please Print)

solely for my (our) education or recreation, in accordance with 14 CFR part 21, Certification Procedures for Products and Parts, § 21.191(g), Operating amateur-built aircraft. I have records to support this statement and will make them available to the FAA upon request.

During the fabrication and assembly of this project, I/ we used the following commercial assistance (mark N/A if no commercial assistance was used):

Name of company or individual(s)	City & State	Phone

-NOTICE-

Whoever in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or who makes any materially false, fictitious or fraudulent statement or representation, or makes or uses any false writing or document knowing the same to contain any materially false, fictitious or fraudulent statement or entry, shall be fined under this title, imprisoned not more than 5 years or, if the offense involves international or domestic terrorism, imprisoned not more than 8 years, or both.
(U.S. Code, Title 18, Sec. 1001)

APPLICANT'S DECLARATION

I hereby certify that all statements and answers provided by me in this statement form are complete and true to the best of my knowledge, and I agree that they are to be considered part of the basis for issuance of any FAA certificate to me. I have also read and understand the Privacy Act statement that accompanies this form.

Signature of Applicant (*In Ink*)	Date

IV. NOTARIZATION STATEMENT

FAA Form 8130-12 (08-2009) Supersedes Previous Edition NSN:0052-00-889-9002

Figure 4-11. Sample FAA Form 8000-38, Fabrication/Assembly Operation Checklist

FABRICATION/ASSEMBLY OPERATION CHECKLIST

Company Name _____.
Address _____.
_____.
Aircraft Model _____ Document Name and Date _____.
Type of Aircraft _____.

	Accomplished By	
	Kit Manufacturer	Amateur
FUSELAGE		
1. Fabricate Special Tools or Fixtures		
2. Fabricate Longitudinal Members, Cores or Shells		
3. Fabricate Bulkheads or Cross Members		
4. Assemble Fuselage Basic Structure		
5. Fabricate Brackets and Fittings		
6. Install Brackets and Fittings		
7. Fabricate Cables, Wire, and Lines		
8. Install Cables, Wires, and Lines		
9. Fabricate Fuselage Covering or Skin		
10. Install Fuselage Covering or Skin		
11. Fabricate Windshield/Windows/Canopy		
12. Install Windshield/Windows/Canopy		
WINGS		
1. Fabricate Special Tools or Fixtures		
2. Fabricate Wing Spars		
3. Fabricate Wing Ribs or Cores		
4. Fabricate Wing Leading and Trailing Edge		
5. Fabricate Drag/Anti-Drag Truss Members		
6. Fabricate Wing Brackets and Fittings		
7. Fabricate Wing Tips		
8. Assemble Basic Wing Structures		
9. Install Wing Leading/Trailing Edge and Tips		
10. Install Drag/Anti-Drag Truss		
11. Fabricate Cables, Wires and Lines		
12. Install Cables, Wires, and Lines		
13. Fabricate Wing Covering or Skin		
14. Install Wing Covering or Skin		
15. Fabricate Wing Struts/Wires		
16. Install and Rig Wings and Struts		

FAA Form 8000-38 (12-91)

Figure 4-11. Sample FAA Form 8000-38, Fabrication/Assembly Operation Checklist (Continued)

FABRICATION/ASSEMBLY OPERATION CHECKLIST (Continued)		
	Accomplished By	
	Kit Manufacturer	Amateur
FLIGHT CONTROLS		
1. Fabricate Special Tools or Fixtures		
2. Fabricate Aileron Spars		
3. Fabricate Aileron Ribs or Cores		
4. Assemble Aileron Structure		
5. Fabricate Aileron Leading and Trailing Edge		
6. Assemble Aileron Leading and Trailing Edge		
7. Fabricate Aileron Brackets and Fittings		
8. Install Aileron Brackets and Fittings		
9. Fabricate Aileron Covering or Skin		
10. Install Aileron Covering or Skin		
11. Fabricate Aileron Trim Tab		
12. Install Aileron Trim Tab		
13. Install and Rig Aileron		
14. Fabricate Flap Spars		
15. Fabricate Flap Ribs or Cores		
16. Assemble Flap Structure		
17. Fabricate Flap Leading and Trailing Edge		
18. Assemble Flap Leading and Trailing Edge		
19. Fabricate Flap Brackets and Fittings		
20. Install Flap Brackets and Fittings		
21. Fabricate Flap Covering or Skin		
22. Install Flap Covering or Skin		
23. Install and Rig Flap		
24. Fabricate Elevator Spars		
25. Fabricate Elevator Ribs or Cores		
26. Assemble Elevator Structure		
27. Fabricate Elevator Leading and Trailing Edge		
28. Assemble Elevator Leading and Trailing Edge		
29. Fabricate Elevator Brackets and Fittings		
30. Install Elevator Brackets and Fittings		
31. Fabricate Elevator Covering or Skin		
32. Install Elevator Covering or Skin		
33. Fabricate Elevator Trim Tab		
34. Install Elevator Trim Tab		
35. Install and Rig Elevator		
36. Fabricate Rudder Spar		
37. Fabricate Rudder Ribs or Cores		
38. Assemble Rudder Structure		
39. Fabricate Rudder Leading and Trailing Edge		
40. Assemble Rudder Leading and Trailing Edge		
41. Fabricate Rudder Brackets and Fittings		
42. Install Rudder Brackets and Fittings		
43. Fabricate Rudder Covering or Skin		
44. Install Rudder Covering or Skin		
45. Fabricate Rudder Trim Tab		
46. Install Rudder Trim Tab		
47. Install and Rig Rudder		

FAA Form 8000-38 (12-91)

Figure 4-11. Sample FAA Form 8000-38, Fabrication/Assembly Operation Checklist (Continued)

FABRICATION/ASSEMBLY OPERATION CHECKLIST (Continued)		
	Accomplished By	
	Kit Manufacturer	Amateur
EMPENNAGE		
1. Fabricate Special Tools of Fixtures		
2. Fabricate Spars		
3. Fabricate Ribs or Cores		
4. Fabricate Leading and Trailing Edges		
5. Fabricate Tips		
6. Fabricate Brackets and Fittings		
7. Assemble Empennage Structures		
8. Install Leading/Trailing Edges and Tips		
9. Install Fittings		
10. Fabricate Cables, Wires, and Lines		
11. Install Cables, Wires and Lines		
12. Fabricate Empennage Covering or Skin		
13. Install Empennage Covering or Skin		
CANARD		
1. Fabricate Canard		
2. Assemble Canard Structure		
3. Install and Rig Canard		
LANDING GEAR		
1. Fabricate Special Tools or Fixtures		
2. Fabricate Struts		
3. Fabricate Brakes System		
4. Fabricate Retraction System		
5. Fabricate Cables, Wires and Lines		
6. Assemble Wheels, Brakes, Tires, Landing Gear		
7. Install Landing Gear System Components		
PROPULSION		
1. Fabricate Special Tools of Fixtures		
2. Fabricate Engine Mount		
3. Fabricate Engine Cooling System/Baffles		
4. Fabricate Induction System		
5. Fabricate Exhaust System		
6. Fabricate Engine Controls		
7. Fabricate Brackets and Fittings		
8. Fabricate Cables, Wires and Lines		
9. Assemble Engine		
10. Install Engine and Items Listed Above		
11. Fabricate Engine Cowling		
12. Install Engine Cowling		
13. Fabricate Propeller		
14. Install Propeller		
15. Fabricate Fuel Tank		

FAA Form 8000-38 (12-91)

Figure 4-11. Sample FAA Form 8000-38, Fabrication/Assembly Operation Checklist (Continued)

FABRICATION/ASSEMBLY OPERATION CHECKLIST (Continued)		
	Accomplished By	
	Kit Manufacturer	Amateur
PROPULSION (Continued)		
16. Install Fuel Tank		
17. Fabricate Fuel System Components		
18. Install Fuel System Components		
MAIN ROTOR DRIVE SYSTEMS AND CONTROL MECHANISM(S)		
1. Fabricate Special Static and Dynamic Main Rotor Rigging Tools		
2. Fabricate/Assemble Main Rotor Drive Train		
3. Install Main Rotor Drive Train Assembly		
4. Fabricate/Assemble Main Rotor Shaft and Hub Assembly		
5. Install Main Rotor Shaft and Hub Assembly		
6. Align Main Rotor Shaft-Drive Train, Shaft and Hub Assembly		
7. Fabricate Main Rotor Rotating Controls		
8. Install Main Rotor Rotating Controls		
9. Fabricate Main Rotor Non-Rotating Controls		
10. Rig Main Rotor Rotating and Non-Rotating Controls		
11. Fabricate Main Rotor Blades		
12. Install Main Rotor Blades on Rotor Hub		
13. Statically Balance and Rig Main Rotor System		
14. Dynamically Track and Balance Main Rotor System		
TAIL ROTOR DRIVE SYSTEMS AND CONTROL MECHANISM(S)		
1. Fabricate Special Static Tail Rotor Rigging Tools		
2. Fabricate Vertical Trim Fin		
3. Install Vertical Trim Fin		
4. Fabricate Horizontal Stabilizer		
5. Install Horizontal Stabilizer		
6. Fabricate Tail Rotor Drive System		
7. Install Tail Rotor Drive System		
8. Fabricate Tail Cone or Frame		
9. Install and Rig Tail Cone or Frame		
10. Rig Vertical Trim Fin		
11. Fabricate Tail Rotor Shaft and Hub Assembly		
12. Install Tail Rotor Shaft and Hub Assembly		
13. Fabricate Tail Rotor Rotating and Non-Rotating Controls		
14. Rig Tail Rotor Rotating and Non-Rotating Controls		
15. Fabricate/Assemble Tail Rotor Blades		
16. Install Tail Rotor Blades		
17. Statically Balance and Rig Tail Rotor System		
18. Dynamically Track and Balance Tail Rotor System		

FAA Form 8000-38 (12-91)

Figure 4-11. Sample FAA Form 8000-38, Fabrication/Assembly Operation Checklist (Continued)

FABRICATION/ASSEMBLY OPERATION CHECKLIST (Continued)		
	Accomplished By	
	Kit Manufacturer	Amateur
COCKPIT/INTERIOR		
1. Fabricate Instrument Panel		
2. Install Instrument Panel and Instruments		
3. Fabricate Seats		
4. Install Seats		
5. Fabricate Electrical Wiring, Controls/Switches		
6. Install Electrical System Controls/Switches		
TOTAL		

Comments

Printed Name	Signature	Date

FAA Form 8000-38 (12-91)

Figure 4-12. Sample FAA Form 8130-7, Unlimited

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Experimental		
	PURPOSE AGRICULTURAL		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	~~FROM~~ SEE ATTACHED OPERATING LIMITATIONS	
		~~TO~~ SEE ITEM D, REVERSE SIDE OF THIS CERTIFICATE	
D	N- 32104		SERIAL NO. 2245
	BUILDER BELL		MODEL 47G-4
E	DATE OF ISSUANCE 01/31/2001		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED 01/31/2001 ARE PART OF THIS CERTIFICATE		
	SIGNATURE OF FAA REPRESENTATIVE Bart J. Johnson *Bart J. Johnson*		DESIGNATION OR OFFICE NO. NW-XX

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-13. Sample FAA Form 8130-7, Special Flight Permit

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Special Flight Permit		
	PURPOSE Production Flight Testing or Customer Demonstration		
B	MANU-FACTURER	NAME The Boeing Company	
		ADDRESS P.O. Box 767, Renton WA 13567	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- N/A		SERIAL NO. N/A
	BUILDER N/A		MODEL N/A
E	DATE OF ISSUANCE 01/31/2001		EXPIRY 01/31/2001
	OPERATING LIMITATIONS DATED 01/31/2001 ARE PART OF THIS CERTIFICATE		
	SIGNATURE OF FAA REPRESENTATIVE Sam T. Smith *Sam T. Smith*		DESIGNATION OR OFFICE NO. NM-XX

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-14. Sample FAA Form 337, Major Repair and Alteration

US Department of Transportation Federal Aviation Administration

MAJOR REPAIR AND ALTERATION
(Airframe, Powerplant, Propeller, or Appliance)

Form Approved OMB No. 2120-0020 2/28/2011

Electronic Tracking Number

For FAA Use Only

INSTRUCTIONS: Print or type all entries. See Title 14 CFR §43.9, Part 43 Appendix B, and AC 43.9-1 (or subsequent revision thereof) for instructions and disposition of this form. This report is required by law (49 U.S.C. §44701). Failure to report can result in a civil penalty for each such violation. (49 U.S.C. §46301(a))

1. Aircraft	Nationality and Registration Mark: N93142	Serial No.: 4312	
	Make: Beech	Model: D50A	Series:
2. Owner	Name *(As shown on registration certificate)*: Ted K. Bauer	Address *(As shown on registration certificate)*: Address 1496 Oak Lane; City Vienna; State VA; Zip 21666; Country USA	

3. For FAA Use Only

No person may operate this aircraft, as altered herein, unless it has within it an appropriate and current Special Flight Permit issued under the provisions of 14 CFR part 21.

4. Type		5. Unit Identification			
Repair	Alteration	Unit	Make	Model	Serial No.
☐	☐	AIRFRAME	—	*(As described in Item 1 above)*	—
☐	☐	POWERPLANT			
☐	☐	PROPELLER			
☐	☐	APPLIANCE	Type / Manufacturer		

6. Conformity Statement

A. Agency's Name and Address
Name: Flight Inc.
Address: 419 Harford Road
City: Windsor Locks State: CT
Zip: 06066 Country: USA

B. Kind of Agency

	U. S. Certificated Mechanic		Manufacturer
	Foreign Certificated Mechanic	C. Certificate No.	
✓	Certificated Repair Station	1234	
	Certificated Maintenance Organization	Airframe Class 3	

D. I certify that the repair and/or alteration made to the unit(s) identified in item 5 above and described on the reverse or attachments hereto have been made in accordance with the requirements of Part 43 of the U.S. Federal Aviation Regulations and that the information furnished herein is true and correct to the best of my knowledge.

Extended range fuel per 14 CFR Part 43 App. B ☐

Signature/Date of Authorized Individual: S.J. Wilborn/ 11/16/2009

7. Approval for Return to Service

Pursuant to the authority given persons specified below, the unit identified in item 5 was inspected in the manner prescribed by the Administrator of the Federal Aviation Administration and is ☐ Approved ☐ Rejected

BY	✓	FAA Flt. Standards Inspector		Manufacturer		Maintenance Organization		Persons Approved by Canadian Department of Transport
		FAA Designee		Repair Station		Inspection Authorization		Other *(Specify)*

Certificate or Designation No.

Signature/Date of Authorized Individual: A.W. Reed/ 11/18/2009

FAA Form 337 (10-06)

Figure 4-15. Sample FAA Form 8130-7, Special Flight Permit LSA

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Special Flight Permit		
	PURPOSE Production Flight Testing LSA		
B	MANU-FACTURER	NAME The Acme Company	
		ADDRESS 420 W Jackson, Mexico MO 65265	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 1234LS		SERIAL NO. 0007
	BUILDER Acme Co.		MODEL Pegasus
E	DATE OF ISSUANCE 09/01/2004		EXPIRY 09/08/2004
	OPERATING LIMITATIONS DATED 09/01/2004		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Sam T. Smith *Sam T. Smith*		DESIGNATION OR OFFICE NO. CE-XX

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-16. Sample Special Flight Permit
Operating Limitations for LSA Category Production Flight Testing

U.S. Department
of Transportation

Federal Aviation
Administration

SPECIAL FLIGHT PERMIT
OPERATING LIMITATIONS

MAKE: ACME MODEL: Flyer I

S/N: 00002 REG. NUMBER: NXXXX

1. No person may operate this aircraft for other than the purpose of meeting the requirements of Title 14 of the Code of Federal Regulations (14 CFR) § 21.190(c)(7) during flight testing. In addition, this aircraft must be operated in accordance with applicable air traffic and general operating rules of 14 CFR part 91 and all additional limitations herein prescribed. These operating limitations are a part of a special flight permit and are to be carried in the aircraft at all times and be available to the pilot in command of the aircraft.

2. All flight must be conducted within the geographical area described as follows. The
area must be described by radius, coordinates, and/or landmarks. The designated area must be over open water or sparsely populated areas having light air traffic. The size of area must be that required to safely conduct the anticipated maneuvers and tests.

3. All flights must be conducted and recorded in accordance with the manufacturer's production acceptance test procedure that meets the applicable consensus standard.

4. This aircraft is to be operated under Visual Flight Rules, day only.

5. The test pilot in command of this aircraft must hold at least a private pilot certificate, appropriate category, and class ratings to act as pilot in command, and have a minimum of 100 hours as a pilot in command in that category and class.

6. The production test pilot is to be the sole occupant.

_____ _____
Date FAA Representative Designation

Figure 4-17. Sample FAA Form 8130-7, Special Airworthiness Certificate for LSA Category Aircraft Certificated Under 14 CFR § 21.190

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Light-sport		
	PURPOSE Airplane		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 2LSA		SERIAL NO. 00002
	BUILDER ACME Co.		MODEL Flyer I
E	DATE OF ISSUANCE 09/28/2004		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED 09/28/2004		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Steven Zahrt *Steven Zahrt*		DESIGNATION OR OFFICE NO. CE43

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-18. Sample FAA Form 8130-7, Special Airworthiness Certificate for Experimental LSA Certificated Under 14 CFR § 21.191

Front

UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION

SPECIAL AIRWORTHINESS CERTIFICATE

A	CATEGORY/DESIGNATION Experimental		
	PURPOSE Operating Light-Sport Aircraft (PPC)		
B	MANU-FACTURER	NAME N/A	
		ADDRESS N/A	
C	FLIGHT	FROM N/A	
		TO N/A	
D	N- 9777		SERIAL NO. 0022
	BUILDER Powrachute		MODEL Pegasus
E	DATE OF ISSUANCE 12/31/2005		EXPIRY Unlimited
	OPERATING LIMITATIONS DATED 12/31/2005		ARE PART OF THIS CERTIFICATE
	SIGNATURE OF FAA REPRESENTATIVE Johnnie Mulsow J.S. Mulsow		DESIGNATION OR OFFICE NO. CE34

Any alteration, reproduction or misuse of this certificate may be punishable by a fine not exceeding $1,000 or imprisonment not exceeding 3 years, or both. THIS CERTIFICATE MUST BE DISPLAYED IN THE AIRCRAFT IN ACCORDANCE WITH APPLICABLE TITLE 14, CODE OF FEDERAL REGULATIONS (CFR).

FAA Form 8130-7 (04-11) Previous Edition 07/04 May be Used until Depleted *SEE REVERSE SIDE* *NSN: 0052-00-693-4000*

Back

A	This airworthiness certificate is issued under the authority of Public Law 104-6, 49 United States Code (USC) 44704 and Title 14 Code of Federal Regulations (CFR).
B	The airworthiness certificate authorizes the manufacturer named on the reverse side to conduct production fight tests, and only production flight tests, of aircraft registered in his name. No person may conduct production flight tests under this certificate: (1) Carrying persons or property for compensation or hire: and/or (2) Carrying persons not essential to the purpose of the flight.
C	This airworthiness certificate authorizes the flight specified on the reverse side for the purpose shown in Block A.
D	This airworthiness certificate certifies that as of the date of issuance, the aircraft to which issued has been inspected and found to meet the requirements of the applicable CFR. The aircraft does not meet the requirements of the applicable comprehensive and detailed airworthiness code as provided by Annex 8 to the Convention On International Civil Aviation. No person may operate the aircraft described on the reverse side: (1) except in accordance with the applicable CFR and in accordance with conditions and limitations which may be prescribed by the FAA as part of this certificate; (2) over any foreign country without the special permission of that country.
E	Unless sooner surrendered, suspended, or revoked, this airworthiness certificate is effective for the duration and under the conditions prescribed in 14 CFR, Part 21, Section 21.181 or 21.217.

Figure 4-19. Sample FAA Form 8130-15, Light-Sport Aircraft Statement of Compliance

Form Approved
O.M.B. No. 2120-0690

U.S. Department of Transportation
Federal Aviation Administration

Light-Sport Aircraft Statement of Compliance

INSTRUCTIONS - Print or type. Present original to an authorized FAA Representative. If additional space is required, use an attachment.

I. Aircraft Identification

1. Manufacturer Name	2. Manufacturer Address (*street, city, zip*)		
The ACME Company	420 W Jackson, Mexico MO 65265		
3. Aircraft Serial No.	4. Date of Manufacture (*MM dd, yyyy*)	5. Aircraft Make	6. Aircraft Model
00002	09/02/2005	ACME	Flyer I
7. Maximum Take-off Weight	8. Maximum Number Occupants	9. V_H	10. V_{S1}
1,430 lb	2	120 KCAS	45 KCAS

Class of light-sport aircraft: *(Check all applicable items)* X Operation on Water

X Airplane ☐ Powered Parachute ☐ Weight-Shift-Control ☐ Glider ☐ Lighter-Than-Air

II. Applicable User Manuals

Consensus Standard(s) *(list below or use attachment)* ASTM Standard F2245-04 (design and performance) ASTM Standard F2339-04 (engine) ASTM Standard F2316-054 (airframe emergency parachute)	Revision N/A	Valid Until N/A
Aircraft Operating Instructions (*list applicable items*) ACME-AOI-1st Edition ASTM Standard F2245-04	Revision None Revision N/A	Date issued 08/01/2005 Date N/A
Aircraft Maintenance and Inspection Procedures (*list applicable items*) ACME-MM-1st Edition ASTM Standard F2483-05	Revision Rev A Revision N/A	Date issued 08/15/2005 Date N/A
Aircraft Flight Training Supplement (*list applicable items*) ACME-FTSupp ASTM Standard F2245-04	Revision None Revision N/A	Date issued 08/01/2005 Date N/A

III. Manufacturer's Process Documents

Comments (*any additional statements may be stated here or attached*)
This aircraft flight test is recorded in the aircraft records per 14 CFR section 91.417, and an airframe time of 5 hours is attributed to flight testing. All applicable service directives to date have been incorporated and annotated in the aircraft records.

Manufacturer's Quality Assurance System (*list applicable items*) ACME-QCS.001 ASTM Standard F2279-03	Revision Rev C Revision N/A	Date 07/23/2005
Manufacturer's Continued Airworthiness System (*list applicable items*) ACME-CAW.002 ASTM Standard F2295-03	Revision Rev A Revision N/A	Date 10/31/2004

IV. Manufacturer's Certification

CERTIFICATION: I hereby certify that aircraft serial number -00002 complies with the Consensus Standard(s) identified on this statement of compliance and that the Manufacturer's Continued Airworthiness System will be adhered to support the aircraft throughout its life. This aircraft (1) was manufactured following the consensus standard(s) procedures and Manufacturer's Quality Assurance System identified on this statement, (2) conforms to the manufacturer's design data, (3) was ground and flight tested successfully, and (4) is in a condition for safe operation. Additionally, at the request of the FAA, the manufacturer will provide unrestricted access to its facilities.

Name: Irving M. Himm	Signature: I M Himm
Title: President, General Manager	Date 9/7/2005
Name:	
Title:	Date

FAA Form 8130-15 (09-04)

Figure 4-20. Sample FAA Form 8130-15, Light-Sport Kit-Built Aircraft Statement of Compliance

Form Approved
O.M.B. No. 2120-0690

U.S. Department of Transportation
Federal Aviation Administration

Light-Sport Aircraft Statement of Compliance

INSTRUCTIONS - Print or type. Present original to an authorized FAA Representative. If additional space is required, use an attachment.

I. Aircraft Identification

1. Manufacturer Name	2. Manufacturer Address (*street, city, zip*)		
Express Aircraft	1876 N. Parkview Drive, Chandler, OK 65432		
3. Aircraft Serial No.	4. Date of Manufacture (*MM dd, yyyy*)	5. Aircraft Make	6. Aircraft Model
K-00014	Kit – 03/07/2006	Express Flyer	Silver One
7. Maximum Take-off Weight	8. Maximum Number Occupants	9. V_H	10. V_{S1}
1,320 lb	2	120 KCAS	45 KCAS

Class of light-sport aircraft: *(Check all applicable items)* Operation on Water

X Airplane ☐ Powered Parachute ☐ Weight-Shift-Control ☐ Glider ☐ Lighter-Than-Air

II. Applicable User Manuals

	Revision	
Consensus Standard(s) *(list below or use attachment)*		Valid Until
Silver One Assembly Instructions, KFSO-1A	Rev A	N/A
ASTM Standard F2245-04 (design and performance)	N/A	N/A
ASTM Standard F1234-06 (assembly instructions)	N/A	N/A
Aircraft Operating Instructions (*list applicable items*)	Revision	Date issued
Silver One Operating Instructions, SO-OI-1	None	12/11/2005
ASTM Standard F2245-04	Revision N/A	Date N/A
Aircraft Maintenance and Inspection Procedures (*list applicable items*)	Revision	Date issued
Silver One Maintenance Manual, SO-MM-1	Rev A	11/30/2005
ASTM Standard F2483-05	Revision N/A	Date N/A
Aircraft Flight Training Supplement (*list applicable items*)	Revision	Date issued
Silver One Flight Training, SO-FT-1	None	12/11/2005
ASTM Standard F2245-04	Revision N/A	Date N/A

III. Manufacturer's Process Documents

Comments (*any additional statements may be stated here or attached*)
Express Aircraft manufactured and assembled Express Flyer Silver One, serial number F-0002, N456EF, which was issued a special airworthiness certificate in the light-sport category on 12/01/2005.

Manufacturer's Quality Assurance System (*list applicable items*)	Revision	Date 01/18/2006
Express Aircraft QA Manual	Rev C	
ASTM Standard F2279-03	Revision N/A	
Manufacturer's Continued Airworthiness System (*list applicable items*)	Revision	Date
N/A	Revision	

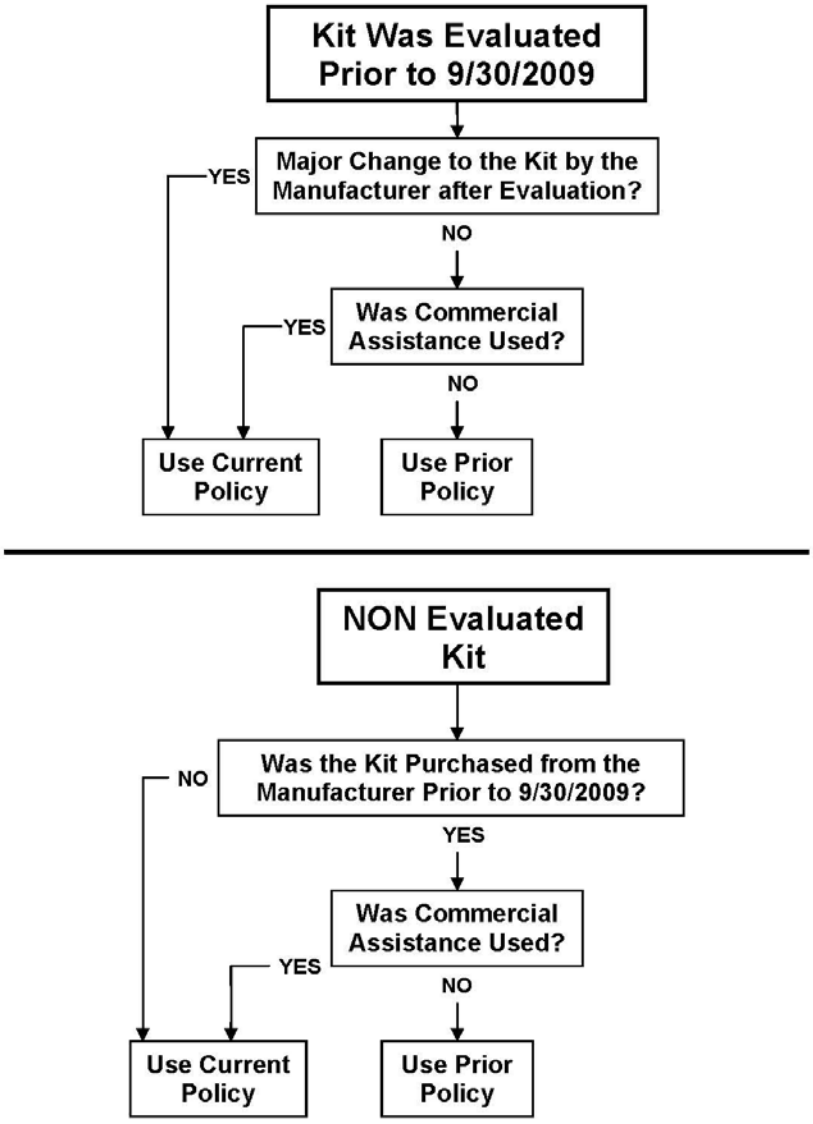
IV. Manufacturer's Certification

CERTIFICATION: I hereby certify that ~~aircraft~~ kit serial number K-00014 complies with the Consensus Standard(s) identified on this statement of compliance ~~and that the Manufacturer's Continued Airworthiness System will be adhered to support the aircraft throughout its life~~. This aircraft (1) was manufactured following the consensus standard(s) procedures and ~~Manufacturer's Quality Assurance System identified on this statement,~~ (2) conforms to the manufacturer's design data, ~~(3) was ground and flight tested successfully, and (4) is in a condition for safe operation~~. Additionally, at the request of the FAA, the manufacturer will provide unrestricted access to its facilities.

Name: Jacob Small	Signature: *Jake Small*
Title: General Manager	Date 03/07/2006
Name:	
Title:	Date

FAA Form 8130-15 (09-04)

Figure 4-21. Use of Prior Policy



Notes for figure 4-21:

1. An "evaluated kit" means an FAA-evaluated kit, which may allow an amateur builder to meet the major portion requirement for a Special Airworthiness Certificate in the Experimental Amateur Built category, and be placed on the FAA List of Amateur-Built Aircraft Kits.

2. "Prior policy" means the policy, AC, or checklist in effect prior to 9/30/2009 (for example, Order 8130.2, AC 20-27, and FAA Form 8000-38). AIR-200 will maintain these documents as part of the web-based reference materials section concerning amateur-built aircraft.

3. "Current policy" means the policy contained in FAA Order 8130.2F (change 4) or later, AC 20-27G or latest revision, and the Amateur-Built Aircraft Fabrication and Assembly Checklist (2009) or latest revision,

4. "Major Change to Kit by Manufacturer" means any change that would affect the allocation of task credit.

5. "Commercial assistance" means to provide assistance with fabricating or assembling amateur-built aircraft for cash, services, or other tender. This does not include one builder helping another without compensation.

6. The manufacturer of a previously evaluated kit that was placed on the FAA List of Amateur-Built Aircraft Kits may request to have the kit reevaluated under the current policy.

Chapter 5. Export Approval Procedures

Section 1. General Information

500. General. This chapter provides policy and procedures for the issuance of export approvals under the provisions of 14 CFR part 21, subpart L, Export Airworthiness Approval Procedures.

a. The requirements of importing countries/jurisdictions must be met when exporting products or articles from the United States to these countries/jurisdictions. The requirements for a specific country/jurisdiction may be found in either a bilateral agreement or a specific document submitted to the FAA for publication that contains import requirements. The FAA website contains a listing of the bilateral agreements as well as a listing of requirements that have been submitted to the FAA by importing countries/jurisdictions.

b. "Special requirements" are those administrative requirements that must be satisfied as a condition of shipment at the time of export, for example, the requirement for FAA Form 8130-4, as well as copies of logbooks, flight manuals, and other materials. When a product or article does not meet the special requirements of an importing country/jurisdiction, the exporter should first prepare a technical description of the specific nonconformities. The FAA should then prepare an accompanying cover letter for direct transmittal to the importing CAA requesting the CAA's acceptance of the nonconformities and a return reply to the FAA before export. The reply from the importing CAA accepting the nonconformities must accompany each application for an Export C of A.

c. When any requirements, including the special requirements determined necessary by the importing country/jurisdiction for its certification basis (for example, changes to meet environmental conditions), cannot or will not be satisfied, the exporter, through coordination with the FAA, must obtain a written statement from the CAA of the importing country/jurisdiction indicating acceptance of the specific nonconformity (or nonconformities, as applicable). The instructions of paragraph 512(a) of this order shall be followed for the administrative processing and the FAA coordination of such written statements.

d. It is the responsibility of the exporter, with the assistance of the FAA if needed, to determine whether an importing country/jurisdiction's requirements have been met before export. The exporter should indicate whether the import requirements have or have not been met on FAA Form 8130-1. See paragraph 806 of this order for detailed instructions on reviewing and completing FAA Form 8130-1. When an exporter notifies the FAA that a product or article does not meet the requirements of the importing country/jurisdiction, the FAA must then obtain a written statement signifying its acceptance from the CAA of the importing country/jurisdiction. Requests for acceptance of these products or articles to the CAA of the importing country/jurisdiction should be transmitted to and received from authority-to-authority. The FAA must receive a written statement of acceptance from the CAA of the importing country/jurisdiction prior to export.

e. In addition to a written statement of acceptance from the importing CAA, the items not complied with must be identified in the Exceptions block of the Export C of A. A copy of the written statement of acceptance from the importing CAA must be included with the Export C of A.

f. An FAA export airworthiness approval is not necessary for products or articles being exported to countries/jurisdictions for which there is no bilateral agreement or definitive import requirements that have been formally notified to the FAA. A business or contractual agreement between the seller and the purchaser does not constitute or qualify as an authority-to-authority request for an export airworthiness approval. However, the FAA will issue an export airworthiness approval to countries/jurisdictions that do not have a bilateral agreement or have not formally notified the FAA of definitive import requirements. This export airworthiness approval applies to all eligible products and articles when they are found to conform to their FAA-approved design and are in a condition for safe operation. Such an approval would certify compliance with U.S. airworthiness standards only.

g. FAA Form 8130-4 certifies compliance with applicable requirements but DOES NOT CONSTITUTE AUTHORITY TO OPERATE AN AIRCRAFT. When issued for new aircraft, the certification is considered original. When the aircraft is imported back into the United States, the certification is considered recurrent.

h. Additional information and guidance concerning airworthiness certificates and/or flight permits can be found in AC 20-65, U.S. Airworthiness Certificates and Authorizations for Operation of Domestic and Foreign Aircraft.

501.-504. Reserved.

Section 2. Export Approvals

505. 14 CFR § 21.323, Eligibility. Any person may apply for an export airworthiness approval. Aircraft are eligible for an Export C of A if they meet the requirements of 14 CFR § 21.329. Aircraft engines, propellers, and articles are eligible for an export airworthiness approval if they meet the requirements of 14 CFR § 21.331.

506. 14 CFR § 21.325, Export Airworthiness Approvals. This section covers the manner in which aircraft, aircraft engines, propellers and articles are exported. A sample FAA Form 8130-4, Export Certificate of Airworthiness, is shown in figure 5-1 of this order.

a. Unassembled Aircraft. All new aircraft presented for export approval must be completely assembled and flight tested. Because compliance with the PC rules ensures conformity with the approved type design, aircraft certificated under 14 CFR parts 23 and 27, or CAR parts 3, 4a, and 6, as well as gliders manufactured under a PC, are exempt from this requirement. If these aircraft are shipped unassembled, the exporter must furnish to the importing CAA the manufacturer's assembly instructions and the FAA-approved flight test checkoff form. Care should be taken to ensure the importing country/jurisdiction has no special requirements that prohibit importing unassembled aircraft.

b. Products Located in Countries Other Than the United States. 14 CFR § 21.325(c) permits the issuance of export approvals for used aircraft, aircraft engines, and propellers located in other countries/jurisdictions. The applicable field office is responsible for determining whether the acceptance of these products, any necessary FAA inspections, and the issuance of these approvals would create an undue burden on the FAA. This regulation was adopted as a service to U.S. citizens abroad to assist them in the legitimate disposal of used airworthy products to other countries/jurisdictions. Caution should be exercised to ensure that this feature of the regulation is not used as a means of obtaining an easy "rubber stamp" approval. Before accepting an application, the geographically responsible international office should ensure that the applicant is willing and able to meet all applicable requirements.

c. Issuance of an Export C of A for U.S.-Manufactured Aircraft Located in Another Country. The FAA will not issue FAA Form 8130-4 to U.S.-manufactured aircraft located in another country/jurisdiction unless it possesses a valid U.S. airworthiness certificate. The aircraft would then meet the requirements of 14 CFR § 21.329.

d. The Date of Issuance of an Export Airworthiness Approval. The date of issuance of an export airworthiness approval is the date the product was inspected by the FAA, found to comply with the applicable requirements, and determined to be airworthy.

507. 14 CFR § 21.327, Application. Chapter 8 of this order provides instructions for filling out FAA Form 8130-1, Application for an Export Certificate of Airworthiness. Part I of the form must be completed for aircraft. Aircraft engines, propellers, and articles do not require a written application. In this case, an oral application or request should be made to the FAA or designated representative of the FAA authorized to issue those approvals.

508. Issuance of FAA Form 8130-4, Export Certificate of Airworthiness, for Aircraft (14 CFR§ 21.329).

a. An Export C of A may be issued only for COMPLETE aircraft shown by the applicant to meet the applicable requirements specified under 14 CFR § 21.329. Aircraft that are exported disassembled are considered complete aircraft.

> **Note:** 14 CFR § 21.329 permits the issuance of an Export C of A for new or used aircraft. A used U.S.-manufactured aircraft that is foreign-owned and located in the United States would be eligible for an Export C of A subject to compliance with the other requirements of 14 CFR part 21, subpart L.

b. Under the provisions of this section, new or used U.S.-manufactured aircraft do not require a standard airworthiness certificate or a special airworthiness certificate in the restricted or primary category to be issued prior to export, but are required to meet the requirements for such a certificate. Aircraft manufactured in another country/jurisdiction are required to possess a valid U.S. standard airworthiness certificate issued under the provisions of 14 CFR § 21.183(c), or a special airworthiness certificate in the restricted category issued under the provisions of 14 CFR § 21.185(c) unless a written statement is received from the importing authority accepting the aircraft without an airworthiness certificate. Any other aircraft not meeting the requirements for a standard airworthiness certificate, or a special airworthiness certificate in the restricted or

primary category, are not eligible to receive an Export C of A unless the importing country/jurisdiction accepts the aircraft in accordance with 14 CFR 21.329(b).

509. Issuance of FAA Form 8130-3, Airworthiness Approval Tag, for Engines, Propellers, and Articles (14 CFR § 21.331). Export airworthiness approvals for aircraft engines, propellers, and articles are issued using FAA Form 8130-3. Instructions for completing Form 8130-3 are found in FAA Order 8130.21, Procedures for Completion and Use of the Authorized Release Certificate, FAA Form 8130-3, Airworthiness Approval Tag.

510. Responsibilities of Exporters (14 CFR § 21.335). Each exporter receiving an export airworthiness approval for a product or article must-

a. Forward to the importing country/jurisdiction all documents and information specified by that country/jurisdiction.

b. Preserve and package products and articles as necessary to protect them against corrosion and damage during transit or storage and state the duration of effectiveness of such preservation and packaging.

c. Remove, or cause to be removed, any temporary installation incorporated on an aircraft for the purpose of export delivery and restore the aircraft to the approved configuration upon completion of the delivery flight.

d. Secure all proper foreign entry clearances from all of the countries/jurisdictions involved when conducting sales demonstration or delivery flights.

e. Ensure that the following regulatory responsibilities under 14 CFR § 21.335 (when the title to an aircraft passes or has passed to a foreign purchaser) are fulfilled. The FAA should remind the exporter of these responsibilities:

(1) Request cancellation of the U.S. registration and airworthiness certificates from the FAA, giving the date of the transfer of title and the name and address of the new owner.

(2) Return the registration and airworthiness certificates, Aeronautical Center Form 8050-3 and FAA Form 8100-2, to AFS-750.

(3) Submit a statement to the address below certifying that the U.S. identification and registration numbers have been removed from the aircraft in compliance with 14 CFR § 45.33.

Federal Aviation Administration
Aircraft Registration Branch, AFS-750
P.O. Box 25504
Oklahoma City, OK 73125-0504

f. Although not specifically described in the regulations, when exporting an unassembled aircraft, the exporter should forward the manufacturer's assembly instructions and an FAA-approved flight test checkoff form to the CAA of the importing country/jurisdiction.

511. Determination of "New" and "Used" Products or Articles.

a. The regulations do not define "new" or "used" products or articles. There should be no problem in making this determination with uninstalled aircraft engines, propellers, or articles, because any time-in-service makes them used.

b. An aircraft may be considered new as long as ownership is retained by the manufacturer, distributor, or dealer; if there is no intervening private owner, lease, or time-sharing arrangements; and if the aircraft has not been used in any pilot school and/or air taxi operation. An aircraft is still considered new regardless of the operating time logged by the manufacturer, distributor, or dealer when the following apply:

(1) The aircraft is built from spare and/or surplus articles, even though the articles may be used as well as new, and has been operated under an experimental airworthiness certificate only for the purpose of conducting flight tests for meeting the requirements set forth in 14 CFR § 21.127 by the applicant and by an FAA test pilot.

(2) The aircraft has been maintained in accordance with the overhaul provisions of 14 CFR part 43, as applicable.

(3) The U.S. Export C of A reflects the information required by paragraph 512 of this order.

512. Preparation of Export C of A. Upon determining that the product is satisfactory, FAA Form 8130-4 (GPO pad only) will be prepared in duplicate. The make, model, and serial number of all installed engines and propellers must be included on the form.

a. If the aircraft has been examined and found to be nonconforming with the FAA type design, or the import type design; or the special import requirements have not been met, the Export C of A should not be issued until either:

(1) The applicant corrects the nonconformities, or

(2) The FAA obtains a written statement from the CAA of the importing country/jurisdiction signifying its acceptance of the product with the nonconformities as listed. Requests for acceptance of nonconformities to the importing country CAA should be transmitted to and received from authority to authority. The U.S. exporter should first prepare a technical description of the nonconformities to the type design or specific nonconformities related to other special importing requirements. The FAA should then prepare an accompanying cover letter for direct transmittal to the importing CAA requesting the CAA's acceptance of the nonconformities and a return reply to the FAA before export. Electronic mail may be used to expedite this process as long as the FAA can confirm that the required statement is sent by authorized personnel within the importing CAA.

Note: For countries with which the United States has a BASA, instructions for transmittal of requests for acceptance of nonconformities are contained in the IPA section titled "Export Certificate for Airworthiness Exceptions." For BAA countries/jurisdictions, the requests for acceptance of nonconformities should be directed to the importing CAA's appropriate contact identified on the AIR-40 "Certification Authorities Contact List." For all non-bilateral countries/jurisdictions, if an appropriate recipient and address is unknown, AIR-40 should be contacted directly for assistance.

(3) If a written statement of acceptance is received by the FAA from the importing CAA, the nonconformities should be listed on the Export C of A under "Exceptions," with a reference to the importing CAA's written statement of acceptance (for example, letter by subject and date, facsimile). A copy of the written statement of acceptance from the importing CAA must then be attached to the Export C of A. Other items not related to the type design but failing to meet the importing country's/jurisdiction's requirements will be attached to the Export C of A. The completed Export C of A and a copy of the importing CAA's letter, facsimile, or other such document, should be provided to the exporter, and the product may then be released for export. The original statement of acceptance (for example, letter or facsimile) from the importing CAA should be submitted to AFS-750 with the appropriate export certification documentation required by paragraph 807 of this order.

b. When other than a domestic U.S.-manufactured product is being exported to a third party country/jurisdiction with whom a bilateral agreement is in effect, the following statement will be inserted on the Export C of A under Exceptions: "This [insert product] was not manufactured in the United States and this certificate is not issued pursuant to the bilateral agreement providing for the reciprocal recognition of airworthiness certificates between the United States and the government of [name of country/jurisdiction] which has stated its willingness to accept this certificate under these conditions, as indicated in their communication, reference _____, dated _____."

Note: The above statement is not applicable if the bilateral agreement provides for "third party" acceptance of airworthiness from an importing country/jurisdiction that is not the State of Manufacture.

c. The Export C of A is an official U.S. Government document issued to other countries/jurisdictions. All entries must be typewritten and no erasures or strikeovers are permitted. The original and duplicate copy of the certificate must be signed in permanent blue or black ink above the typed name of the ASI or designee. The original will be given to the applicant or applicant's representative, together with those documents required with the aircraft. Provisions should be made to preclude the Export C of A from becoming mutilated in transit.

d. The following instructions apply to preparation of the Export C of A when temporary installations, such as provisions for extra fuel or navigational equipment, have been made for the purpose of export delivery:

(1) If the Export C of A is issued AFTER the installation has been made, either by the manufacturer or by other persons, the following statement or equivalent should be inserted under Exceptions: "A temporary [insert type of installation] has been installed in this aircraft in conformity with [insert drawing numbers, or other data to which conformity was shown] to facilitate its delivery flight. This certificate is valid when the temporary installation is removed." Copies of all referenced drawings and data should accompany the original Export C of A when it is submitted to the applicant or the applicant's representative.

(2) If the Export C of A is issued BEFORE making the temporary installation, such as at the manufacturer's plant, and the aircraft is then flown to another location for installation of the temporary equipment, the Export C of A should reflect the configuration of the aircraft at the time the certificate was issued. It then becomes the responsibility of the exporter and importer to secure the installation documents or data required by the CAA of the country/jurisdiction of import. The U.S. Export C of A may not be amended, reissued, or revalidated after original issuance.

e. If there are no exceptions, type the word "None" in the Exceptions block. If additional information is to be provided, it is permissible to type in the words "Additional Information" under the Exceptions block. If the importing country/jurisdiction has notified the FAA that it wishes to have a conforming statement to its approved design, a statement similar to the following example must be included for new products: "This aircraft conforms to [insert importing country/jurisdiction] approved type certificate number [insert number]."

Note: The conforming statement does not apply to USED aircraft.

f. The entries at the bottom of the form must be completed as follows:

(1) Signature of Authorized Representative. The name and FAA authority of the person signing the form should be typed adjacent to or under the signature with the signature signed in permanent blue or black ink on the original and copy(s).

(2) Date. Enter the date the inspection of the aircraft was completed.

(3) District Office or Designee Number.

(a) An ASI must enter the district office designation.

(b) An individual designee must enter the letters DMIR/DAR and the designation number.

(c) An ODA must enter the name of the company, "ODA," and their ODA number.

513. Approval of Modifications. In many instances, an aircraft that conforms to the type design may be modified prior to export, in accordance with the purchaser's requirements. The responsibility for approval and recording of such modifications primarily would be dependent upon the registration status of the aircraft. The following guidelines should be used in issuing Export C of A for modified aircraft:

a. If the aircraft is modified while under U.S. registry, the applicable rules in 14 CFR part 21 or 43 may apply. Depending on whether any airworthiness certificate had been issued, any necessary test flying would require the issuance of an experimental certificate. The Export C of A would not require any listing of exceptions, because the aircraft would meet the appropriate FAA standards, whether the Export C of A is issued before or after the FAA-approved modifications.

b. If the aircraft is modified after it has been removed from the U.S. registry, approval of the modifications becomes the responsibility of the CAA of the country/jurisdiction of registry or intended registry. The applicant or exporter is responsible for obtaining the approval. Any test flying that may be necessary would require the issuance of an SFA. The Export C of A would require no listing of exceptions if the aircraft conformed to the type design before the modifications. However, if the Export C of A is issued after the aircraft is modified, reference to the documentary evidence of non-U.S. approval should be shown under Exceptions.

514. Export Certificate Number Assignment Card.

a. Aeronautical Center Form 8050-72, Export Certificate Number Assignment Card (figure 5-3 of this order), is a serial-numbered card used to facilitate the identification and recording of the official export files in Oklahoma City and is accountable. These cards will be furnished by AFS-750 when requested by the regional or directorate offices. The cards will be distributed to, and controlled at, the district offices.

b. This card is to be completed by the FAA from the information submitted in the application, ensuring that the identity of the aircraft and the application agree. Insert the card serial number on the application, FAA Form 8130-1, and on FAA Form 8130-4.

c. Corrections may be made and information erased on this card if necessary. For example, if the card is completed for an aircraft to be exported, and the aircraft is then not exported, the information on the card may be erased and the card used for another aircraft.

> **Note:** District offices will provide FAA designees with a supply of these cards as required. Regional/district offices will maintain accountability records of these cards.

515. Routing and Processing of Export Files. After the issuance of FAA Form 8130-4, the ASI or designee must complete part III of FAA Form 8130-1. All files, including those processed by designees must be spot checked by the geographically responsible district office before sending them to AFS-750. A spot check will be indicated by the signature of the supervising ASI in permanent blue or black ink above the typed name. The district or regional office number and date must be entered in the boxes. The documents specified in paragraph 807 of this order, including special export files processed under 14 CFR § 21.339, are to be forwarded promptly to AFS-750 as the final step in the certification process.

516. Issuance of Export C of A for Aircraft Type Certificated in Multiple Categories. To retain eligibility for issuance of an Export C of A as a standard aircraft after having been operated in the restricted category, the following items apply:

a. While being operated in the restricted category, any changes made to the aircraft that are to be retained when in normal category operation, or any operations that are outside of the standard category operating limitations, must be approved in accordance with the regulations and procedures applicable to an aircraft having a standard airworthiness certificate.

b. If the TCDS for an aircraft includes both standard and restricted category, and the maximum gross weight and/or other operating limitations for the restricted category are higher than that for standard category, the aircraft is NOT eligible for issuance of an Export C of A as a standard aircraft, after having been operated in the restricted category, unless:

(1) The TCDS specifically states that the aircraft is eligible for operation in the standard category after having been operated at the limitations applicable to the restricted category; or

(2) If the TCDS does not have such a note or other reference, the operations outside of the standard category operating limitations, including increased gross weight, had been approved as appropriate for an aircraft having a standard airworthiness certificate.

517. Issuance of Export C of A for Restricted Category Aircraft. The following comment will be included under Exceptions: "The above is a restricted category aircraft. This aircraft has not been determined to meet the international standards concerning the airworthiness of aircraft as provided for in Annex 8 to the Convention on International Civil Aviation."

518. Controversial Information. If, for any reason, the previously listed information results in a controversy or is contrary to existing requirements, the exporter should be advised that the issue is to be settled between the exporter, the importer, and the CAA of the importing country/jurisdiction.

Figure 5-1. Sample FAA Form 8130-4,
Export Certificate of Airworthiness

The United States of America
Department of Transportation
Federal Aviation Administration
Washington, D.C.

No. _____

Export Certificate of Airworthiness

This certifies that the product identified below and particularly described in Specification(s)[1] of the Federal Aviation Administration, Numbered _____ _____has been examined as of the date of this certificate, is considered airworthy in accordance with a comprehensive and detailed airworthiness code of the United States Government, and is in compliance with those special requirements of the importing country filed with the United States Government, except as noted below. The certificate in no way attests to compliance with any agreements or contracts between the vendor and purchaser, nor does it constitute authority to operate an aircraft.

Product:

Manufacturer:

Model:

Serial No:

New: Used:

Country to which exported:

Exceptions:

_____
Signature of Authorized Representative

_____ _____
Date *District Office or Designee Number*

[1] For complete aircraft, list applicable specification or type certificate data sheet for the aircraft, engine, and propeller. Applicable specification or type certificate data sheet, If not attached to this export certificate, will have been forwarded to the appropriate governmental office of the importing country.

FAA Form 8130-4 (04-11) Supersedes Previous Edition

Figure 5-2. Sample FAA Form 8130-1, Application for Export Airworthiness Approval (Face Side)

Form Approval OMB
No. 2120-0018
XX/XX/XX

U.S. Department of Transportation Federal Aviation Administration	**Application for Export Certificate of Airworthiness**	Export Certificate No.

INSTRUCTIONS – This application is to be submitted to an authorized FAA representative (one copy) when the product(s) and/or article(s) to be exported is (are) presented for inspection. Use Part I for products and Part II for articles. For complete aircraft, execute items 1 through 11, as applicable. For engines and propellers, omit item 5A. Part III is for FAA use only.

Part I – Application for Export Certificate of Airworthiness (Complete items 1-11)

1. Application is made for an export certificate of airworthiness to cover the product(s) described below which (are):

☐ *NEW* ☐ *USED*

2. Name and address of exporter	3. Name and address of foreign purchaser	4. Country of destination

5. Description of product(s)

Type (a)	Make and Model (b)	Identification No.	Serial Nos. (c)	FAA TC or Spec. No. (d)	Operating Time (Hours) (e)	
					Since Overhaul	Total
A. AIRCRAFT						
B. ENGINES						
C. PROPELLERS						

6. Does the product comply with all applicable Federal Aviation Regulations, Airworthiness Directives, and other FAA requirements?

☐ **YES** ☐ **NO *(Explain in "Remarks")***

7. Have applicable special requirements of the importing country been complied with?

☐ **YES** ☐ **NO *(Explain in "Remarks")***

8. Date title passed or is expected to pass to foreign purchaser:

9. For overseas shipment, preservation and packaging methods used to protect product(s) against corrosion and damage *(List Spec. No. or Title)*:

Effective duration of above methods:

10. Remarks

11. EXPORTER'S CERTIFICATION – The undersigned certifies that the above statements are true and that the product(s) described herein is (are) airworthy and in a condition for safe operation except as may be noted under item 10 "Remarks" above.

Signature of applicant or authorized representative	Title	Date

FAA Form 8130-1 (04-11) Supersedes Previous Edition NSN: 0052-00-024-9005

Figure 5-2. Sample FAA Form 8130-1, Application for Export Airworthiness Approval (Reverse Side)

Part II – Application for Approval of Articles (Complete items 12-20)

12. Name and address of exporter	13. Name and address of foreign purchaser	14. Country of destination
15. Articles are eligible for installation on _____	Make and model of product	FAA Spec. No. or TC

16. The articles are *(Check One)* → ☐ ***NEW*** ☐ ***USED***

17. The articles are described *(Check One)* ☐ Below by name, part number, and quantity ☐ On the attached invoice or packing sheet by name, part number and quantity		Invoicing/packing sheet No.
Name (a)	Part number (b)	Quantity (c)

18. Have applicable special requirements of the importing country been complied with? ☐ ***YES*** ☐ ***NO (Explain in item 10 "Remarks")***

19. Preservation and packaging methods used to protect articles against corrosion and damage *(List Spec. No. or Title)*:

Effective duration of above methods:

20. Exporters Certification – I certify that the foregoing statements are true and that the articles described herein are airworthy, conform to FAA approved design data, and are in a condition for safe operation except as may be noted in item 10, "Remarks".

Signature of applicant or authorized representative	Title	Date

Part III – Approval *(FOR FAA USE ONLY)*

21. It is considered that the product(s) and/or articles described in Part I or Part II is (are) airworthy and conform(s) to pertinent requirements except as noted in item 10. *(Check One)* → ☐ Part I ☐ Part II

Signature	Number	Date

(Check one) → ☐ ODA ☐ DMIR ☐ DAR ☐ FAA Inspector

22. Give quantity of approval tags, FAA Form 8130-3, issued for the articles described in Part II. →	Quantity

23. EXPORT FILE SPOT-CHECKED BY:

FAA Supervising Inspector	D.O. No.	Date

FAA Form 8130-1 (04-11) Supersedes Previous Edition *U.S. Government Printing Office: 1996 – 405-552/45303 NSN: 0052-00-024-9005

Figure 5-3. Aeronautical Center Form 8050-72, Export Certificate Number Assignment Card

DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION **EXPORT CERTIFICATE NUMBER ASSIGNMENT CARD**		**CERTIFICATE** **NO E 244100** DATE ISSUED	
PRODUCT	MANUFACTURER	EXPORT C OF A TYPE DESIGN CONFORMITY ☐ EXCEPTIONS LISTED ☐ NO EXCEPTIONS CHECK ONE	
MODEL	SERIAL NO.*f		
EXPORTER			
FOREIGN PURCHASER			
ADDRESS			
IDENTIFICATION MARK DISPLAYED-U.S.			
EXPORT PROCESSED BY	SIGNATURE-AUTHORIZED REP.	AGENCY REPRESENTED	AGENCY OR DESIGNEE NO.

AC Form 8050-72 (10-78) * Indicate additional serial numbers on reverse side.

Chapter 6. Import Procedures

Section 1. General Information

600. General. This chapter provides guidance and procedures relating to U.S. airworthiness certification and approval of imported products. This includes aircraft, aircraft engines, propellers, and articles imported from other countries/jurisdictions with which the United States has a bilateral agreement.

a. Non-U.S.-manufactured aircraft and related products must be accompanied by one of the following when being imported to the United States for FAA airworthiness acceptance:

(1) An Export C of A; or

(2) A certifying statement issued by the CAA of the State of Manufacture, or by the exporting CAA in the case of a third country, as addressed in paragraph 605 of this order.

b. Any deviations from the FAA-approved design must be noted on the certifying statement. Any deviations must be resolved by the installer before the product is eligible for installation on any U.S.-registered aircraft or product thereof.

c. The importing document for products or articles issued from another country will contain essentially the same information as FAA Form 8130-3, and will be signed by a person or organization authorized by the CAA of the exporting country.

d. FAA airworthiness approvals for civil aeronautical products imported to the United States are processed in the following manner:

(1) Issuance of U.S. airworthiness certificates for completed aircraft are processed in accordance with paragraph 603 of this order.

(2) Aircraft engines, propellers, and articles are considered to meet the requirements of 14 CFR when accompanied by certification from the appropriate CAA. Certification confirms the products are of FAA-approved design and are in a condition for safe operation as outlined in paragraph 610 of this order.

e. The FAA requirements for the approval of civil aeronautical products imported to the United States are set forth in the following regulations:

(1) 14 CFR part 21, subpart H, §§ 21.183(c) and 21.185(c) establish the regulatory requirements for U.S. airworthiness certification of new imported aircraft. The primary basis for airworthiness certification of used imported aircraft is 14 CFR § 21.183(d). New imported aircraft, type certificated under a 14 CFR § 21.21 TC and manufactured under license by a PAH bilateral country, are no longer entitled to a standard airworthiness certificate under the provision of 14 CFR § 21.183(d). The basis for airworthiness certification of these aircraft is 14 CFR § 21.183(a) or (b).

(2) 14 CFR part 21, subpart N, Acceptance of Aircraft Engines, Propellers, and Articles for Import, establishes the procedural requirements for acceptance of aircraft engines, propellers, and articles manufactured outside the United States.

(3) 14 CFR part 21, subpart O, Technical Standard Order Approvals, establishes procedures for TSO products. 14 CFR § 21.621 covers "letter of TSO design approval" for import articles.

f. An Export C of A, or another certifying statement, issued by a CAA, assists in FAA airworthiness certification of imported products. This export certificate does not constitute an "airworthiness certificate" within the meaning of 49 U.S.C. § 44704(d) or 49 U.S.C. § 44711(a)(1). However, issuance of an Export C of A or other certifying statement does constitute original certification.

g. Modifications or repairs made to an aircraft or related product subsequent to export certification by another CAA may invalidate that certification unless the modifications or repairs are approved by the FAA.

601.-602. Reserved.

Section 2. Import Aircraft

603. Requirements for U.S. Airworthiness Certification. The FAA regulations concerning issuance of airworthiness certificates for U.S.-registered aircraft (new or used) are contained in 14 CFR part 21, subpart H. Most of the requirements apply equally to aircraft that were manufactured outside the United States. Any additional requirements called out in 14 CFR parts 36, 39, 45, 47, and 91, and 14 CFR part 49, Recording of Aircraft Titles and Security Documents, also must be met before the aircraft can be certificated. These include the following:

a. U.S. Registration. A U.S. registration application must be completed and submitted, and nationality and registration markings must be applied, before a U.S. airworthiness certificate may be issued. Because these are statutory requirements, the FAA cannot issue an exemption from this requirement. U.S. registration and evidence of deregistration from the exporting country are required prior to the issuance of a U.S. airworthiness certificate. The requirements for U.S. registration are in 14 CFR part 47; recording of aircraft titles and security documents are in 14 CFR part 49; and aircraft nationality and registration markings are in 14 CFR part 45, subpart C.

b. Product Identification. Prior to the issuance of a U.S. airworthiness certificate, the aircraft must have an ID plate in accordance with 14 CFR § 21.182, and must meet the requirements of 14 CFR part 45, subpart B, Identification of Aircraft and Related Products.

c. Noise and Emissions Requirements. In addition to meeting the airworthiness standards, an aircraft must meet the noise standards of 14 CFR § 21.93(b), § 21.183(e), or 14 CFR § 21.185(d); or 14 CFR part 36, SFAR 41, or 14 CFR part 91, subpart I, Operating Noise Limits, as applicable.

d. Approved Flight Manuals, Markings, and Placards. The aircraft must be accompanied by an approved flight manual in the English language as identified on the FAA TCDS. Also, the aircraft must have the flight manual, the appropriate markings and placards in the English language as specified in the FAA TCDS, or other approved data, as required by 14 CFR § 91.9.

e. Logbooks and Maintenance Records. Aircraft must be accompanied by the logbooks and maintenance records as specified in 14 CFR § 91.417 to determine the status of required inspections, life limits, and AD compliance for the airframe, engine(s), propeller(s), rotor(s), and articles of an aircraft.

f. Aircraft Location. A U.S. airworthiness certificate will not be issued to an aircraft located outside the United States, unless the FAA finds no undue burden in administering the applicable regulations. Procedures have been established to use the services of the CAA of the State of Manufacture. For issuance of a U.S. standard airworthiness certificate for new aircraft manufactured outside the United States, see appendix A to this order. Applicants for airworthiness certification should consult with the FAA prior to making any firm commitments to determine if certification is possible.

604. Application.

a. Application for a U.S. airworthiness certificate must be made on FAA Form 8130-6 by the registered owner, or an agent who has an LOA from the registered owner.

b. When the applicant has completed and signed the application, it should be submitted to the certification office, along with the CAA's Export C of A.

c. Approved flight manuals, logbooks, and maintenance records will be made available for examination by the FAA, upon request.

605. Airworthiness Determination.

a. In all cases, the FAA is required by 49 U.S.C. to make a finding that the aircraft conforms to an FAA-approved TC and that it is in a condition for safe operation before the FAA issues an airworthiness certificate for that aircraft. The FAA may base its findings, wholly or partially, on the export certification document (for example, an Export C of A) issued by another CAA, provided a bilateral agreement exists that covers the aircraft type (for example, rotorcraft).

b. 14 CFR § 21.183(c) and 21.185(c) provide that an import aircraft type-certificated under the procedures of 14 CFR § 21.29 is entitled to a U.S. airworthiness certificate (standard or special) if the CAA of the State of Manufacture certifies, and the FAA finds, that the aircraft conforms to its approved TC and is found to be in a condition for safe operation.

c. A CAA certification must be made by issuance of an export certification document that contains the certification statement noted on the corresponding FAA TCDS, or that certifies that the aircraft meets its FAA-approved type design and is in a condition for safe operation.

d. The United States has bilateral agreements with certain countries which provide for the import of products from a country other than the State of Manufacture. This is known as a "third country provision." In these instances, the applicant for a U.S. airworthiness certificate may show compliance with the requirements of 14 CFR § 21.183(c) by submitting a statement from the CAA that certifies that the aircraft conforms to the U.S. TC and that it is in a condition for safe operation. The statement must be accompanied by the original or a certified copy of the Export C of A issued by the CAA of the State of Manufacture. Configuration variations, modifications, and major repairs that are not FAA-approved must be identified and approved, or the differences resolved, before the aircraft is accepted by the FAA. The application for a U.S. airworthiness certificate should cite 14 CFR §§ 21.183(d) or 21.185(b) as the basis for certification. The procedures outlined in this paragraph also may apply where the bilateral agreement with the exporting country does not contain a third country provision when the Export C of A issued by the exporting CAA is endorsed by the CAA of the State of Manufacture.

e. Paragraph 600a(2) of this order also may be applied to U.S.-manufactured aircraft being returned to the United States from a registry of another country, provided the bilateral agreements between the United States and the last state of registry contain the third country provision.

f. The bilateral agreements that include a third country provision are summarized in AC 21-23, appendix 4.

g. Applicants should be cautioned that it may be impracticable to obtain a U.S. airworthiness certificate for an aircraft operated under the registry of another country subsequent to the issuance of an Export C of A by the CAA of the State of Manufacture. This includes U.S.-manufactured aircraft being returned to the U.S. registry. Applicants must be able to identify repairs and modifications to the aircraft from the date the export certificate was issued until the date of application for the U.S. airworthiness certificate, as well as be able to document the equipment installed and any maintenance accomplished during that period. The applicant must show that the aircraft has remained in or has been returned to its FAA-approved TC and is in a condition for safe operation. This may involve extensive inspections accomplished by designees, the CAA of the State of Manufacture, the aircraft manufacturer, repair stations, etc., before a U.S. airworthiness certificate can be issued.

h. A non-U.S.-manufactured aircraft originally exported to another country may have an Export C of A issued by the CAA of the State of Manufacture that attests conformance to a design not approved by the FAA. This certificate may be useful in establishing a baseline for showing conformity to the FAA-approved design after modification. In this case, or when the Export C of A may not be available, it is helpful if the applicant obtains a statement from the CAA of the State of Manufacture that certifies that when originally exported from that country, the aircraft met its FAA-approved design and/or notes any differences between the configuration identified in their original export certification and the FAA-approved design. The applicant must obtain the necessary technical data needed to convert the aircraft to its FAA-approved design configuration. This method may involve extensive inspections to be accomplished by designees, the CAA of the State of Manufacture, the aircraft manufacturer, persons authorized under 14 CFR part 43, etc., before the applicant can show conformity to the FAA-approved design. Attempts to obtain a U.S. airworthiness certificate using this method may be in vain; in

some instances the applicant ultimately may be unable to obtain the desired U.S. airworthiness certificate.

i. The FAA normally will not issue a U.S. airworthiness certificate for an aircraft manufactured outside the United States when no export certification is available. To be acceptable, aircraft manufactured outside the United States must be controlled under bilateral procedures with assurance of conformity and condition provided by the CAA in the State of Manufacture. Without assurance in the form of an export certificate or a certifying statement from the CAA of the State of Manufacture, there is no practical way for an applicant to show, or for the FAA to find, that the aircraft conforms with the FAA-approved design and is in a condition for safe operation.

j. Inspections by the FAA should be conducted to determine that no changes or modifications have been made, and that the condition of the aircraft has not deteriorated subsequent to export certification by the CAA. Flight testing may be required prior to issuance of a U.S. airworthiness certificate if the aircraft has been disassembled and reassembled subsequent to export certification by the CAA.

k. When an imported product's export certification document lists exceptions to the FAA type design (for example, modifications, alterations, and major repairs that have had no prior FAA approval), the U.S. importer must resolve the exceptions before final airworthiness certification by either having the exceptions formally approved by the FAA or removing the nonconforming items to establish full conformity to the FAA type design. All exceptions on imported aircraft for which the importer is seeking a standard airworthiness certificate, or a special airworthiness certificate in the primary or restricted category, as applicable, must be resolved by the applicant before final airworthiness certification. For products being imported from a country with which the FAA has a bilateral agreement, any exceptions must be coordinated and resolved with the FAA by the exporting CAA before export. This will usually involve coordination between the CAA and the FAA in accordance with the procedures found in the export airworthiness section of the bilateral agreement's implementation procedures. In addition, the FAA should obtain a letter of commitment from the importer to clear the nonconformities before FAA acceptance of any export certification documentation. These coordination actions are necessary to ensure the exceptions are understood and will be resolved before the final U.S. airworthiness certification of the aircraft as applicable. For aircraft engines and propellers, the exceptions are resolved before their subsequent installation and use. However, when no prior coordination between authorities has been undertaken, the MIO of the product cognizant directorate for the imported product will normally be contacted by the importer for guidance and assistance in processing the exceptions for FAA approval.

606. Airworthiness Certification of Aircraft with Mandatory Continuing Airworthiness Information.

a. When an unsafe condition is found to exist in a U.S. type-certificated product that is not currently on the U.S. register, ACOs may use an alternate procedure concerning the issuance of ADs. Under this alternate procedure each MCAI received will be reviewed to determine whether it meets established criteria for required corrective action. No further action will be taken for an MCAI that does not meet this criteria. An AD will be issued for an MCAI that

meets this criteria if there is one or more aircraft of the affected design currently certificated in the United States. If no aircraft of the affected design currently has a U.S. airworthiness certificate, the geographically responsible directorate may elect to defer publishing any ADs on the MCAIs that meet those criteria until an application for airworthiness certificate is made for an aircraft of that design. A list of each MCAI that is deferred will be maintained by the geographically responsible directorate. A statement similar to the following will be found in the Serial Nos. Eligible Product section of the TCDS for an aircraft design on which ADs have not been issued for some or all of the required MCAIs:

"For issuance of an airworthiness certificate in accordance with 14 CFR § 21.183(c), [airworthiness authority of the state of design] must certify that the aircraft conforms to the type design and is in a condition for safe operation. In that regard, [airworthiness authority of the State of Design] will certify that the aircraft complies with all applicable MCAIs it has issued. For issuance of an airworthiness certificate in accordance with 14 CFR § 21.183(d), the FAA certificating ASI, or other authorized person, must find that the product conforms to type design and is in a condition for safe operation. In order to make that finding, the FAA certificating ASI or other authorized person should contact [appropriate office within the cognizant directorate] prior to issuance to determine whether showing compliance with certain MCAI is necessary to support a finding that the airplane is in a condition for safe operation."

b. In some instances, the TCDS also will indicate that certain ADs have been issued for the affected model. Compliance with any applicable AD is required, in addition to compliance with the MCAI.

c. After the first aircraft is U.S.-certificated, the geographically responsible directorate will amend the TCDS to list the required MCAIs (formerly found on the responsible directorate's listing). Compliance must be shown before subsequent aircraft can be found to be in a condition for safe operation and issued an airworthiness certificate. The directorate will issue ADs for any subsequent MCAIs that meet the required criteria for corrective action and will not issue any retroactive ADs for any MCAIs listed as effective prior to the first aircraft being certificated.

d. This alternative procedure also may be used when an aircraft of the affected model previously was certificated in the United States, but currently is not.

> **Note:** This procedure is not considered appropriate at this time for other products, for example, engines and propellers, because presently there is no reliable means to ensure that none of these products has been imported and installed in U.S.-registered aircraft.

607. Certification Procedures. The procedures identified above generally are common to issuance of all classifications of airworthiness certificates and are consistent with the procedures identified in chapters 3 and 4 of this order. See appendix B of this order for additional guidance on airworthiness certification of used aircraft.

608-609. Reserved.

Section 3. Aircraft Engines, Propellers, and Articles

610. Airworthiness Determination.

a. 14 CFR § 21.500 provides for the acceptance of aircraft engines or propellers manufactured outside the United States for which a U.S. TC has been issued. These aircraft engines and propellers are considered approved for installation on a U.S.-registered aircraft when a current Export C of A has been issued by the CAA of the State of Manufacture. The Export C of A certifies that the engine or propeller:

(1) Conforms to its U.S. TC and is found to be in a condition for safe operation.

(2) Has been subjected to a final operational check by the manufacturer.

b. 14 CFR § 21.502 provides for the airworthiness acceptance of articles (essentially replacement/modification articles) manufactured outside the United States under the terms of the specific bilateral agreement. The United States will consider articles imported to the United States for installation on U.S.-registered aircraft to meet all applicable approval requirements when:

(1) The imported articles are covered under the scope of the agreement with that country;

(2) The articles are accompanied by a completed airworthiness document (for example, EASA Form 1) from the exporting CAA;

(3) The airworthiness document certifies that the articles meet the requirements of 14 CFR (for example, 14 CFR §§ 21.29 or 21.621); and

(4) The airworthiness document certifies that the articles are eligible for installation on the bilateral country's product exported to the United States.

c. 14 CFR § 21.621 addresses articles that are covered by an FAA letter of TSO design approval for imports. Neither the FAA letter of TSO design approval, nor the Export C of A issued by the CAA of the State of Manufacture, conveys installation approval. If not already accomplished, installation approval for a TSO article must be obtained, in a manner acceptable to the FAA, at the time of installation. Approval for return to service must be performed by a person authorized in 14 CFR part 43.

d. The various types of export certification documents used by the CAAs include official CAA certificates or authorized release tags, and forms that may be signed by private persons, when so authorized by the CAA. The FAA will accept the various types of certifications, provided they represent a certification from the appropriate CAA attesting that the aircraft engine, propeller, or article being exported conforms to the U.S. type design and is in a condition for safe operation, and they are appropriately endorsed by the CAA or an authorized designee. The CAA of the exporting country must confirm a designee's scope of authority when so requested by the FAA. These certifications serve to comply with the requirements for an export airworthiness approval for the purpose of 14 CFR § 21.500 or § 21.502. In those instances in

which the certifying language differs from that stated in this paragraph, the FAA should request a letter from the CAA stating that the language used meets the intent of 14 CFR § 21.500 or § 21.502, as appropriate. The CAA's airworthiness certification documentation is essential for the FAA to determine that the aircraft engine, propeller, or article is acceptable for installation on U.S.-registered aircraft.

611. Identification and Marking.

a. Aircraft engines or propellers to be installed on U.S.-registered aircraft must be identified in a manner specified in 14 CFR § 45.11 with the information specified in 14 CFR § 45.13.

b. Critical articles to be used as spare, replacement, or modification articles on U.S.-registered aircraft, or on engines or propellers to be installed on U.S.-registered aircraft, must be identified with a part number and serial number.

c. Articles approved by an FAA letter of TSO design approval must be marked in accordance with 14 CFR § 45.15, and any additional marking requirements specified in the particular TSO.

d. Articles to be used as spare, replacement, or modification articles on U.S.-registered aircraft must be identified by a part number and the manufacturer's name or trademark. Products manufactured pursuant to 14 CFR part 21, subpart O, are not subject to this requirement, because model eligibility is established at the time of installation.

e. Products must be accompanied by maintenance records equivalent to those specified in 14 CFR § 91.417 that reflect the status of required inspections, life limits, etc.

612. Return to Service. Regardless of the existence of an export airworthiness approval, the person authorized to return to service the aircraft, airframe, engine, propeller, or article in accordance with 14 CFR § 43.5 is responsible for determining that the imported product-

a. Has not been modified, changed, or damaged subsequent to the time of export airworthiness approval.

b. Complies with all applicable ADs issued under 14 CFR part 39.

c. Is installed in accordance with FAA-approved design data.

d. Is in a condition for safe operation.

e. Has all of the necessary maintenance documentation available.

613. Special Maintenance Records Consideration. U.S. operators, such as air carriers, air travel clubs, and operators for compensation or hire, certificated by the FAA for operation under 14 CFR parts 121, 125, and 135, are required to have sufficient maintenance data on the aircraft or related product. This enables the operator to integrate the aircraft or related product into its own FAA-approved maintenance program. U.S. operators will have difficulty doing this unless the records are complete and are in the English language, or can be translated into the English language. It is vitally important for operators and potential U.S. operators of imported aircraft, including U.S.-manufactured aircraft, to realize that an FAA airworthiness certificate does not automatically render the aircraft or product eligible for operation. FAA operating requirements may specify the need for maintenance records, additional inspections, tests, and installation of instruments and equipment which are over and above the basic airworthiness certification requirements.

Chapter 7. Special Flight Authorizations (SFA) for Non-U.S.-Registered Civil Aircraft

700. General.

a. The navigation of non-U.S.-registered civil aircraft in the United States is permitted under 49 U.S.C. § 41703(a). This section is implemented by 14 CFR part 375, Navigation of Foreign Civil Aircraft Within the United States, which sets forth the rules, conditions, and limitations governing the navigation of non-U.S. civil aircraft in the United States 14 CFR part 375 also specifies that non-U.S. civil aircraft being operated in the United States must carry current and effective airworthiness and registration certificates issued or rendered valid by the state of registry. Subject in some cases to prior U.S. Department of Transportation (DOT) approval, 14 CFR part 375 also allows the operation in U.S. airspace of aircraft that do not carry current airworthiness certificates, but that have been issued an SFA by the FAA.

> **Note:** An SFA may be issued for any purpose, but may not be issued when there is any evidence of intent to circumvent any CFR provisions, for example, 14 CFR §21.183(c) or § 21.185(c), or 14 CFR part 129, Operations: Foreign Air Carriers and Foreign Operators of U.S.-Registered Aircraft Engaged in Common Carriage.

b. A non-U.S. civil aircraft that does not have a current airworthiness certificate issued by the state of registry requires an SFA issued by the FAA in accordance with 14 CFR § 91.715(a). An aircraft registered in a country that is not a member of the ICAO ALWAYS requires an authorization from the DOT and an SFA issued by the FAA if it will be operated in the United States.

> **Note:** A listing of ICAO member states is contained on the ICAO website.

701. Eligibility.

a. General. 14 CFR § 91.715 is applicable to a non-U.S. civil aircraft that does not have a current airworthiness certificate, or an equivalent to a U.S. standard airworthiness certificate, that indicates that the aircraft complies with a detailed and comprehensive airworthiness code as provided by Annex 8 to the Convention on International Civil Aviation. An SFA is required for an aircraft carrying an airworthiness certificate, flight permit, or similar document issued by the state of registry that is equivalent to a U.S. special airworthiness certificate. See 14 CFR § 375.10 for details concerning aircraft manufactured in a country before that country became a member of the ICAO.

b. Basic Eligibility. An SFA will be issued when the following conditions exist:

(1) The aircraft is registered in an ICAO member state but does not have an airworthiness certificate attesting that the aircraft complies with Annex 8 to the Convention on International Civil Aviation requirements, or it has an invalid airworthiness certificate. An aircraft with an invalid airworthiness certificate issued by the aircraft's state of registry may have been repaired, altered, or modified at a U.S.-located facility and requires flight testing.

(2) The aircraft is registered in a non-ICAO member state regardless of the type of airworthiness certificate issued or its planned operation. An SFA also is required and may be issued for such aircraft; however, the issuing ASI should be aware that the airworthiness requirements of the state of registry may be unknown.

(3) If a DOT authorization is required and is being obtained concurrently with the SFA, the SFA should include a limitation stating that a copy of the DOT authorization must be carried in the aircraft when operating under the SFA. Inquiries regarding DOT authorization may be referred to:

Department of Transportation
Office of International Aviation
Foreign Carrier Licensing Division
400 7th St. SW.
Washington, DC 20590

c. Basic Ineligibility. An SFA must not be issued when the following conditions exist:

(1) If the aircraft is of foreign military registry (non-civil) and an SFA is requested, the applicant should be referred to the U.S. Department of State. Such aircraft may enter the United States only with a diplomatic clearance that would be issued solely on a government-to-government, non-commercial basis.

(2) The aircraft is registered in a country that has special overflight approval requirements under the U.S. Department of State Special Interest Flight (SIF) program. For requests involving aircraft identified under the SIF program, the non-U.S. owner/operator, or a U.S. individual or firm acting on behalf of the owner/operator, must request overflight clearance from the U.S. Department of State. The request must include the complete itinerary, schedule, and proposed routing through U.S. airspace. For further information, contact FAA, Air Traffic System Operation, AJR-2.

702. Blanket SFAs. An SFA may be requested for an operation that will be conducted many times during a given period or for a number of aircraft engaged in the same operation, for example, a ferry flight. Therefore, a blanket SFA may be issued when deemed appropriate by the issuing office manager. If it appears the applicant is trying to circumvent U.S. registration and certification requirements, for example, experimental exhibition, the SFA should not be issued.

703. Application.

a. General. The application for an SFA may be in the form of a letter, telegram, or fax from the non-U.S. owner/operator, or from a U.S. individual or firm authorized to act on behalf of the registered owner/operator. The application should be addressed to the Flight Standards Service division manager or Aircraft Certification Service directorate manager of the FAA region in which the applicant is located, or the region within which the U.S. point of entry is located. If the aircraft is coming into the United States for original certification, the SFA should be issued by the supporting MIDO.

b. Individual Aircraft Authorizations. An application for an SFA must contain the following information, as applicable, and any other information deemed appropriate by the cognizant FAA field office:

(1) The name and address of the applicant, if different from that of the registered owner. If the applicant is not the registered owner, a letter from the owner appointing the applicant as agent also will be submitted.

(2) The name and address of the registered owner of the aircraft.

(3) The operating purpose for which the SFA is requested.

(4) The type of airworthiness document, if any, issued for the aircraft by the state of registry.

(5) Information such as total aircraft time, maintenance status, date of last inspection, type of inspection, and the name and title of the person performing the inspection. This information is necessary to establish that the requested flight(s) will not adversely affect safety.

(6) The make, model, and serial number of the aircraft.

(7) The assigned non-U.S. nationality and registration marks, and a valid copy of the registration document issued by the state of registry and translated into the English language.

(8) The base of operations for the proposed flights and the areas where the flights will be conducted.

(9) The proposed U.S. port of entry and the itinerary while operating in the United States.

(10) For aircraft being exported, the route to the U.S. border and to the ultimate destination.

(11) Whether the aircraft is to be modified in accordance with FAA-approved STC data, and will require maintenance flight testing in the United States.

(12) Whether the aircraft will be used as a test aircraft in the development of a U.S. STC, and will require flight testing for the purpose of "showing compliance with the regulations."

(13) If a damaged aircraft is involved, the operating limitations, if any, assigned by the state of registry after its inspection.

(14) The duration for which the SFA is requested.

c. Blanket Authorizations. An application for a blanket SFA should contain the following information:

(1) The name and address of the promotion sponsor; or the name and address of the manufacturer, when the purpose is for export.

(2) The purpose(s) for which the blanket SFA is requested and the number of signed copies required to meet operating needs.

(3) Enough information to establish that the flights will not adversely affect safety.

(4) For airshows, etc., the name and address of the registered owner/operator (or the pilot if not the owner), make, model, serial number, registration number, type of airworthiness certificate carried, reason why the aircraft does not comply with standard airworthiness requirements, and aircraft maintenance provisions. The listing of owners, pilots, and aircraft participating may be provided separately.

(5) Any other information deemed appropriate by the FAA certificating ASI.

704. Issuance.

a. General. If the applicant is a U.S. firm or individual acting on behalf of a non-U.S. applicant, the local FAA managing office is responsible for processing the SFA. If the non-U.S. owner/operator is applying on its own behalf from its country, the region or directorate having jurisdiction over FAA matters in that country is the office responsible for processing the application.

b. Format. The various formats shown in figures 7-1 through 7-9 of this order must be followed during the preparation of an SFA.

c. Numbering. Each SFA issued must be assigned a number beginning with "01" and prefixed by the appropriate location identifier code of the FAA office, for example, CE-39-01 or SW-41-01, as required by the latest version of FAA Order 1370.66, Aviation Safety Analysis System: Location Identifier Codes. If an SFA is extended, based on valid reasons provided by the applicant, a new SFA must be issued using the number assigned to the original followed by the letter "A," for example, CE-39-01A. In some cases an SFA may require extension more than once. The second extension would still use the original number followed by the letter "B."

d. Control. The FAA issuing office must establish a permanent file for record and must keep at least one copy of each SFA issued. This file serves as a control in assigning sequential numbers to new issuances. An alternate system for control may be used at the region's or directorate's discretion. The transmittal letter should advise that the applicant is accountable for each signed copy. When authorized to make copies for export purposes, a file should be maintained containing the following information:

(1) Name and address of the aircraft owner;

(2) Nationality and registration marks displayed on the aircraft;

(3) Make, model, and serial number of the aircraft;

(4) Date the copy is issued for the aircraft; and

(5) Signature of authorized representative.

e. Aircraft Inspection. The aircraft should be inspected prior to issuance of the SFA to ensure that it is capable of safe flight. The ASI may make, or require the applicant to make, appropriate inspections or tests considered necessary for safety.

705. Duration. Discretion should be used by the issuing directorate/region when determining the duration of an SFA issued for an individual aircraft. For example, if the purpose is one for which delays may be expected, such as in STC projects or extended ferry flights, the region or directorate may establish a longer duration than was requested to preclude the need for extensions. In general, the duration of the SFA is as requested by the applicant.

706. Operating Limitations. Because an SFA is issued to cover operation of an aircraft that may not meet the airworthiness standards established by ICAO, appropriate limitations must be prescribed to minimize hazards to persons or property. Certain limitations would be applicable for all SFAs issued under 14 CFR § 91.715(b). The special operating limitations for specific operations are not intended to be all-inclusive, and the issuing directorate/region may prescribe any additional limitations deemed necessary in the interest of safety. The following provides examples of minimum and special limitations for specific operations:

a. Minimum Operating Limitations. The following are applicable to all SFAs issued unless otherwise noted. "An authorized representative of the FAA may prescribe additional operating restrictions and limitations necessary for safe operation" must be stated on all SFAs before the following operating limitations:

(1) A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

(2) The ID markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

(3) Persons or property must not be carried for compensation or hire.

(4) No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this authorization and of the airworthiness status of the aircraft.

(5) This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry. [The pilot qualification limitation (paragraphs 4104b(17), 4113b(24), and 4128b(8) of this order) must be prescribed for TPA, piston-powered aircraft over 800 horsepower, aircraft over 12,500 pounds, rotorcraft, and any other aircraft when deemed necessary.]

(6) All flights must be conducted in compliance with the applicable general operating and flight rules of 14 CFR § 91.711.

(7) All flights must be conducted under VFR, day only, unless otherwise authorized (for example, IFR operations may be authorized for aircraft whose operating altitudes require IFR operations).

(8) Except when otherwise directed by air traffic control, or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other area where flights might create hazardous exposure to persons or property.

(9) The operator of the aircraft must advise air traffic control of the nature of the flight when establishing communications.

(10) Permission for flights over or into countries other than the United States must be obtained by the owner/operator of the aircraft from the CAA of that country.

(11) This authorization will remain in effect until [insert expiration date] unless superseded or rescinded.

b. Damaged Aircraft. The minimum operating limitations apply to any aircraft operated under this section. Additional limitations may be prescribed as individual conditions warrant.

(1) Aircraft Located in the United States. The determination that the aircraft has been damaged to the extent that the airworthiness certificate is invalid is the responsibility of the state of registry. Under Annex 8 to the Convention on International Civil Aviation, the state of registry may either prohibit further flights of the aircraft until it is restored to an airworthy condition, or may prescribe limitations under which the aircraft would be safe to fly to a base, either inside or outside of the United States where repairs can be made. The appropriate directorate or region must contact the CAA of the state of registry to determine the course of action to be pursued.

(a) Should the state of registry choose to inspect the aircraft, any limitations it prescribes must be considered special limitations and made part of the SFA in addition to all of the applicable U.S. limitations.

(b) In the event the state of registry requests the FAA to inspect the aircraft on its behalf, the regional office or directorate should arrange for inspection of the aircraft by personnel from the nearest FSDO or MIDO. Any limitations considered necessary because of the inspection must be prescribed as special limitations in addition to the minimum limitations.

(2) Aircraft Located Outside the United States. An applicant with a non-U.S.-registered aircraft needing repair, who wants the repair to be accomplished at a manufacturer or repair facility in the United States, may do so regardless of the country in which the damage was sustained. The state of registry remains responsible for inspection of the aircraft and for establishing any necessary special operating conditions and limitations. The responsible FAA office would issue the SFA, including any limitations provided by the state of registry. The

applicant must be notified in writing that approval for flights over or into countries other than the United States must be obtained from the CAA of the countries involved.

c. Change in Nationality. This paragraph applies when the C of A for an aircraft has been invalidated by the new state of registry. If the aircraft complies with U.S. and/or ICAO airworthiness requirements, except for the invalid airworthiness certificate, it may not be necessary to prescribe the limitations specified in paragraph 706a(4), (7), (8), or (9) of this order, as individual circumstances warrant. The minimum number of operating limitations must be prescribed, including a limitation establishing a flight itinerary by the most practical direct route.

d. U.S.-Manufactured Aircraft. This paragraph applies whenever the title to a U.S.-manufactured aircraft passes to a non-U.S. buyer for which no airworthiness certificate has been issued. It should be noted that a U.S.-manufactured aircraft need not have a registration certificate issued by the country of the non-U.S. buyer, but must bear the ID marks issued by the state of registry or intended registry. The procedures in this paragraph also are applicable to a non-U.S. civil aircraft of U.S. manufacture brought to the United States for alterations that invalidate its non-U.S. airworthiness certificate. The various purposes are described below:

(1) Flight Testing. The region or directorate must carefully evaluate the reasons why the flight test must be conducted in the United States, the qualifications of the individual or company in the United States who will be primarily responsible for the flight test operations, and the nature of the flight tests. The conclusions reached from that evaluation are an important factor in determining the special operating limitations that must be prescribed in addition to the minimum limitations. The following special operating limitations generally would be applicable, but may be altered or added to as deemed appropriate:

(a) All flight tests must be conducted in compliance with 14 CFR § 91.305. (If the flight tests involve the dropping of materials, for example, water drops to test a new forest fire suppression system, 14 CFR § 91.15 also must be cited in this limitation.)

(b) Prior to conducting any flight test, contact the MIDO or FSDO for any additional operating restrictions or limitations necessary for the protection of persons and property.

(c) All maintenance and inspection of the aircraft must be conducted under the direct supervision of qualified personnel holding appropriate licenses issued or rendered valid by the [insert state of registry] CAA and according to [insert state of registry] aircraft maintenance requirements.

(d) Except for flight tests conducted according to the terms of this authorization, additional flights within the United States must be limited to those necessary to proceed from [specify origin] to [insert the name of the airport or other area from which the flight test will be conducted], and return to [specify destination] by the most practical direct route except for deviations necessary to maintain VFR weather conditions.

(e) Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the CAA of that country.

(2) Training of Non-U.S. Buyers, Employees, or Designees. For operations under this purpose, all minimum operating limitations must be applied except for the limitation under paragraph 706a(7) of this order. In most cases, an SFA issued for this purpose would be a blanket authorization issued to an aircraft manufacturer. The following special operating limitations, in addition to the required standard limitations, are worded to indicate that more than one aircraft is involved. If an SFA under this paragraph is issued for a single aircraft, an appropriate change must be made.

(a) Each aircraft operated for customer crew training flights must carry this SFA attached to a statement that includes the name and address of the aircraft owner, the aircraft's assigned nationality and registration marks, and the dates on which the customer crew training flights are scheduled to begin and end. This limitation applies only if a blanket authorization has been issued, and should replace the minimum operating limitation listed in paragraph 706a(1) of this order when deemed appropriate.

(b) All customer crew training and aircraft maintenance must be conducted under the direct supervision of [insert name of manufacturer] personnel.

(c) Customer crew training flights on any one aircraft must be conducted during a time interval not to exceed 30 days.

(d) Before beginning customer crew training flights with any one aircraft, [insert name of manufacturer] must submit to the local FAA manufacturing ASI the information specified in paragraph 706d(2)(a) of this order pertaining to that aircraft.

(3) Ferrying an Aircraft for Export Delivery.

(a) Individual Aircraft Authorizations. All minimum operating limitations must be prescribed for an aircraft operated for this purpose. However, the limitations under paragraphs 706a(4), (7), (8), and (9) of this order may be omitted if the aircraft has a valid FAA Form 8130-4 with no major exceptions listed, and/or is not carrying extra fuel or navigational equipment. If temporary fuel system(s)/equipment are installed and/or the aircraft is to be operated in excess of its maximum certificated takeoff weight, the limitations in paragraph 4165 of this order must be included as applicable. The following special limitations must be applied in all cases:

1 Permission for flights over or into countries other than the United States must be obtained by the owner/operator of the aircraft from the CAA of that country.

2 The aircraft must be flown to the U.S. border from the point of departure by the most direct route not in conflict with other operating conditions and limitations of this authorization.

3 The aircraft must not be operated with temporary fuel system(s) or temporary navigation equipment installed, or at a weight in excess of its maximum certificated takeoff weight, unless approved in writing by the CAA of the state of registry.

(b) Blanket Authorization. The limitations applicable to an individual aircraft authorization generally apply to a blanket authorization. Because the manufacturer is authorized to issue copies without individual FAA review, the blanket authorization must be worded so that any possible situation will be covered by each copy issued. A sample blanket authorization has been developed to show all of the operating limitations that should be prescribed (see figure 7-6 of this order).

e. Non-U.S.-Manufactured Aircraft. The procedures provided under paragraph 706d of this order also are applicable to a non-U.S.-manufactured aircraft brought to the United States for alterations which invalidate its airworthiness certificate.

f. Demonstration or Test. The issuing directorate should determine that the applicant for an SFA for demonstration has satisfied, as applicable, the items listed in 14 CFR part 91. Persons having an interest in the demonstration, for example, customers, may be carried in an aircraft issued an SFA for demonstration, and the operating limitations must be revised accordingly. Paragraph 706d(1) of this order applies to testing of the aircraft or article thereof.

g. Airshows. Application is made to the directorate or region in which the airshow is located. Non-U.S.-registered amateur-built experimental aircraft do not require DOT authorization when the purpose is for public demonstration at an airshow in the United States. However, in the case of an aircraft to be operated in the United States for the purpose of demonstration at an airshow, the application may be made to the Flight Standards Service division manager or Aircraft Certification Service directorate manager of the FAA region in which the airshow is located.

707. SFA for Operation of Canadian-Registered Amateur-Built Aircraft in the United States.

a. Operation in the United States of Canadian-registered amateur-built aircraft certificated under the provisions of Canadian Air Regulation 230 (3) and Airworthiness Manual, chapter 549, is permitted by the issuance of an SFA under 14 CFR § 91.715. The SFA must be obtained before operation in the United States is permitted.

b. An SFA may be obtained from the FAA for operation of a Canadian-registered amateur-built aircraft in U.S. airspace by submitting either a written or electronic application. Written applications must be forwarded to the Flight Standards Service division manager or Aircraft Certification Service directorate manager of the FAA region where the event is to take place. Written applications will be reviewed at the regional or directorate level, prepared according to the procedures in this chapter, and the SFA mailed to the applicant when approved. Electronic SFAs may be obtained from any FSDO or directly through the FAA website. Possession of the electronic SFA constitutes a valid authorization for operation in U.S. airspace, provided the operator of the amateur-built aircraft complies with the operating limitations of the SFA.

c. The FAA issuing office will prepare the SFA according to the procedures contained in this chapter. The duration of the authorization must be limited to that requested by the applicant,

and is not to exceed 180 days. Extension of the duration of the authorization may be granted by the issuing FAA office in 180-day increments.

Figure 7-1. Sample SFA for the Flight of an Aircraft to a Place Where Repairs or Alterations are to be Made

U.S. Department
of Transportation

Federal Aviation Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	NE-03-09
Aircraft Make:	Cessna
Aircraft Model:	180H
Serial No.:	18051515
Nationality and Registration Marks:	CF-ABC
Name and address of Registered Owner:	Mr. Richard A. Roe 777 Quebec Street Smithton, Ontario, Canada

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, Mr. Richard A. Roe is hereby authorized to operate the aircraft identified above for the purpose of flying it from Hartford, Connecticut, to Ontario, Canada, for permanent repair of damage incurred during a landing accident at Hartford. A representative of the Canadian Air Transport Administration has inspected the aircraft and found it safe for the intended flight provided that the airspeed does not exceed 130 knots and no passengers are carried aboard the aircraft. In consideration of the foregoing, all operations must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry.

4. All flights must be conducted in compliance with applicable general operating and flight rules of 14 CFR § 91.711.

5. All flights must be conducted under visual flight rules, day only, unless otherwise authorized.

6. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other area where flights might create hazardous exposure to persons or property.

7. Persons or property must not be carried for compensation or hire.

Figure 7-1. Sample SFA for the Flight of an Aircraft to a Place Where Repairs or Alterations are to be Made (Continued)

8. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft.

9. All flights must be conducted at airspeeds not to exceed 130 knots.

10. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the Civil Aviation Authority of that country.

11. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

12. This SFA must remain in effect until March 16, 2000 unless superseded or rescinded.

J.A. Smith, Manager
Flight Standards Division
New England Region

Issued in Burlington, Massachusetts, March 4, 2000.

Figure 7-2. Sample SFA for the Flight of an Aircraft to a New State of Registry (This Format is Generally Applicable to a Single Aircraft Authorization for Ferry Flights)

U.S. Department
of Transportation

Federal Aviation Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	SO-11-01
Aircraft Make:	Beech
Aircraft Model:	D185
Serial No.:	A-23456
Nationality and Registration Marks:	HK-ABC
Name and address of Registered Owner:	Mr. Hernando Restrepo 22 Calle de Presidente Fusagasuga, Colombia

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, Mr. Hernando Restrepo is hereby authorized to operate the aircraft identified above for the purpose of flying from Atlanta, Georgia, to Fusagasuga, Colombia. The aircraft identified above was under Canadian registry and held a current and valid Canadian airworthiness certificate before its sale to Mr. Restrepo. A current and valid Colombian airworthiness certificate will not be issued until after its entry into Colombia. In consideration of the foregoing, all operations of the aircraft must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. Persons or property must not be carried for compensation or hire.

4. This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry.

5. All flights must be conducted in compliance with the applicable general operating and flight rules 14 CFR § 91.711.

6. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the Civil Aviation Authority of that country.

Figure 7-2. Sample SFA for the Flight of an Aircraft to a New State of Registry (This Format is Generally Applicable to a Single Aircraft Authorization for Ferry Flights) (Continued)

7. Flights to the U.S. border from the point of departure must be by the most practical direct route not in conflict with other operating limitations of this SFA.

8. This SFA must remain in effect until March 15, 2000 or unless superseded or rescinded.

J.A. Smith, Manager
Flight Standards Division
Southern Region

Issued in Atlanta, Georgia, on March 2, 2000

Figure 7-3. Sample SFA for the Purpose of Flight Testing

U.S. Department
of Transportation

Federal Aviation
Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	ASW-1
Aircraft Make:	McDonnell Douglas
Aircraft Model:	DC-9-11
Serial No.:	12345
Nationality and Registration Marks:	CF-POH
Name and address of Registered Owner:	Canada Air, Montreal, Canada
Name and Address of Agent:	John Doe Company, 21 Blackfoot Drive San Antonio, Texas 78216

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, the John Doe Company is hereby authorized to operate the aircraft identified above for the purpose of conducting flight test(s) required to obtain a Supplemental Type Certificate (STC) covering the installation in the aircraft of General Electric CGY2 turbofan engines. All operations of the aircraft must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration (FAA) may prescribe additional operating restrictions and limitations necessary for safe operations.

1. A copy of this authorization must be displayed in the aircraft at all times when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. Persons or property must not be carried for compensation or hire.

4. No person may be carried in the aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the contents of this SFA and of the airworthiness status of the aircraft.

5. The aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry. The pilot in command of this aircraft must hold an appropriate category/class rating. If required for the type of aircraft to be flown, the pilot in command must also hold either an appropriate type rating or a letter of authorization issued by an FAA Flight Standards Operations Inspector, or equivalent issued or validated by the state of registry.

Figure 7-3. Sample SFA for the Purpose of Flight Testing (Continued)

6. All flights must be conducted in compliance with the applicable general operating and flight rules of 14 CFR § 91.711.

7. All flight tests must be conducted in compliance with 14 CFR § 91.305.

8. All flights must be conducted under visual flight rules, day only, unless otherwise authorized.

9. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other area where flights might create hazardous exposure to persons or property.

10. Prior to conducting any flight test, contact the FAA Manufacturing Inspection District Office or Flight Standards District Office for any additional operating restrictions or limitations necessary for the protection of persons or property.

11. All maintenance and inspection of the aircraft must be conducted under the direct supervision of qualified personnel holding appropriate licenses issued or rendered valid by the Canadian Department of Transportation and according to Canadian aircraft maintenance requirements.

12. Except for flight tests conducted according to the terms of this SFA, additional flights within the United States must be limited to those necessary to proceed to Montreal, Canada, by the most practical direct route except for deviations necessary to maintain visual flight rules weather conditions.

13. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the Civil Aviation Authority of that country.

14. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

15. This SFA must remain in effect until October 4, 2000, or unless superseded or rescinded.

J.A. Smith, Manager
Manufacturing Inspection Office
Rotorcraft Directorate

Issued in Fort Worth, Texas, on September 29, 2000.

Figure 7-4. Sample Blanket SFA for Customer Crew Training

U.S. Department
of Transportation

Federal Aviation
Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.: NE-02-43

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715 to the Yankee Airplane Company, John Hancock Airport, Boston, Massachusetts 02111, this constitutes authority in lieu of an airworthiness certificate. For the purpose of giving customer crew training to the buyer, its employees, or designees in any aircraft manufactured by the Yankee Airplane Company when the aircraft has been placed under non- U.S. Registry, each aircraft operated under this SFA must be operated according to the following restrictions and limitations. An authorized representative of the Federal Aviation Administration (FAA) may prescribe operating restrictions and limitations necessary for safe operation.

1. Each aircraft operated for customer crew training flights must carry this SFA attached with a statement including the name and address of the aircraft owner, the aircraft's assigned nationality and registration marks, and the dates on which the customer crew training flights are scheduled to begin and end.

2. All customer crew training and aircraft maintenance must be conducted under the direct supervision of qualified Yankee Airplane Company personnel.

3. Customer crew training flights on any one aircraft must be conducted during an interval not to exceed 30 days.

4. Before beginning customer crew training flights with any one aircraft, the Yankee Airplane Company must submit to the local FAA Manufacturing Inspector the information specified in paragraph 1 of this authorization pertaining to that aircraft.

5. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

6. Persons or property must not be carried for compensation or hire.

7. No person may be carried in the aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the contents of this SFA and of the airworthiness status of the aircraft.

8. This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry.

9. All flights must be conducted in compliance with the applicable general operating and flight rules of 14 CFR § 91.711.

Figure 7-4. Sample Blanket SFA for Customer Crew Training (Continued)

10. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other area where flights might create hazardous exposure to persons or property.

11. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

12. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the CAA of that country.

13. This SFA must remain in effect indefinitely unless superseded or rescinded.

J.A. Smith, Manager
Flight Standards Division
New England Region

Issued in Burlington, Massachusetts, on February 29, 2000

Figure 7-5. Sample SFA for Export Delivery

U.S. Department
of Transportation

Federal Aviation
Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	NE-03-59
Aircraft Make:	Piper
Model:	PA 84
Serial No.:	1334
Nationality and Registration Marks:	I-JAB
Registered Owner:	Joseph A. Banco, Via Banco, Rome, Italy

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, Mr. Joseph A. Banco is hereby authorized to operate the aircraft identified above for the purpose of export and delivery from Westfield, Massachusetts, to Rome, Italy. This aircraft is on Italian registry and an airworthiness certificate has not yet been issued. An authorized representative of the Federal Aviation Administration may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft.

4. The aircraft must not be operated with temporary fuel system(s) or temporary navigation equipment installed or at a weight in excess of its maximum certificated takeoff weight, unless approved, by the Civil Aviation Authority (CAA) state of registry in writing.

5. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other areas where the flights might create hazardous exposure to persons or property.

Figure 7-5. Sample SFA for Export Delivery (Continued)

6. This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or state of registry.

7. All flights must be conducted in compliance with the applicable general operating and flight 14 CFR § 91.711.

8. All flights must be conducted under visual flight rules, day only, unless otherwise authorized.

9. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the CAA of that country.

10. The aircraft must be flown to the U.S. border from the point of departure by the most practical direct route not in conflict with the other operating conditions and limitations of this SFA.

11. Persons or property must not be carried for compensation or hire.

12. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

13. This SFA must remain in effect until May 30, 2000, unless superseded or rescinded.

J.A. Smith, Manager
Flight Standards Division
New England Region

Issued in Burlington, Massachusetts, on May 4, 2000.

Figure 7-6. Sample Blanket SFA for Delivering Aircraft for the Purpose of Export Delivery

U.S. Department
of Transportation

Federal Aviation Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.: WP-26-22

This SFA is issued to the John Smith Airplane Company, 711 Water Boulevard, San Diego, California 82101, pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715. A copy of this SFA furnished by the above constitutes authority in lieu of an airworthiness certificate for the purpose of export delivery of aircraft manufactured by that Company. This SFA is applicable to aircraft that are on a non-U.S. Registry and have no airworthiness certificate. An authorized representative of the Federal Aviation Administration (FAA) may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft.

3. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

4. The aircraft must not be operated with temporary fuel system(s) or temporary equipment installed, or at a weight in excess of its maximum certificated takeoff weight, unless approved by the Civil Aviation Authority (CAA) of the state of registry in writing.

5. Persons or property may not be carried in the aircraft for compensation or hire.

6. The aircraft must be flown to the U.S. border from the point of departure by the most direct route not in conflict with the other operating conditions and limitations of this SFA.

7. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the CAA of that country.

8. The aircraft must be operated according to the applicable general operating and flight rules of 14 CFR § 91.711.

Figure 7-6. Sample Blanket SFA for Delivering Aircraft for the Purpose of Export Delivery (Continued)

9. This aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry.

10. All flights must be conducted under visual flight rules, day only, unless otherwise authorized.

11. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other areas where the flights might create hazardous exposure to persons or property.

12. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

13. This SFA must remain in effect until December 31, 2000.

J.A. Smith, Manager
Flight Standards Division
Western-Pacific Region

Issued in Los Angeles, California, on January 4, 2000.

Figure 7-7. Sample SFA for the Purpose of Demonstration

U.S. Department
of Transportation

Federal Aviation
Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	NE-01-31
Aircraft Make:	Hansa
Model:	HFB-320
Serial No.:	1024
Nationality and Registration Marks:	D-CARO
Name and Address of Registered Owner:	Hamburger Flugzeugbau G.M.B.H. 2103 Hamburg Finkenwerder Postfact 109, Germany

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, Hamburger Flugzeugbau G.M.B.H. is hereby authorized to operate the aircraft identified above for the purpose of conducting demonstration flights in the United States. The aircraft has been issued a provisional certificate of airworthiness by the Luftfahrt-Bundesamt and has been shown to meet standards equivalent to those required for provisional certification of a U.S.-registered civil aircraft. All operations of the aircraft must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration (FAA) may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this SFA must be displayed in the aircraft when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. Persons or property must not be carried for compensation or hire.

4. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft.

5. The aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry. The pilot in command of this aircraft must hold an appropriate category/class rating. If required for the type of aircraft to be flown, the pilot in command must also hold either an appropriate type rating or a letter of authorization issued by an FAA Flight Standards Operations Inspector, or equivalent issued or validated by the state of registry.

Figure 7-7. Sample SFA for the Purpose of Demonstration (Continued)

6. All flights must be conducted in compliance with the applicable general operating and flight rules of 14 CFR § 91.711.

7. All flights must be conducted under visual flight rules, day only, unless otherwise authorized.

8. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas or any other area where flights might create hazardous exposure to persons or property.

9. Except for demonstration flights conducted according to the terms of this SFA, additional flights within the United States must be limited to those necessary to proceed from Hamburg, Germany, to Denver International Airport, and return to Hamburg, Germany by the most practical direct route except for deviations necessary to maintain VFR weather conditions.

10. Prior to conducting any demonstration flight, contact the FAA Manufacturing Inspection District Office or Flight Standards District Office for any additional operating restrictions or limitations necessary for the protection of persons and property.

11. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the CAA of that country.

12. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

13. This SFA must remain in effect until April 14, 2000 unless superseded or rescinded.

J.A. Smith, Manager
Flight Standards Division
New England Region

Issued in Burlington, Massachusetts, on February 16, 2000.

Figure 7-8. Sample SFA for Canadian-Registered Amateur-Built Aircraft (Example: Authorization for the Purpose of Attending an Airshow)

U.S. Department
of Transportation

Federal Aviation Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.:	NE-26-75
Aircraft Make:	Taylor
Model:	125
Serial No.:	560
Nationality and Registration Marks:	CF-APB
Name and Address of Registered Owner:	John Doe 241 Blue Hill Road Montreal, Canada
Name and Address of Agent:	Jack D. Jones 13 Water Street New York City, New York

Pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.715, Mr. John Doe of Montreal, Canada, is hereby authorized to operate the aircraft identified above for the purpose of attending the airshow at Seattle, Washington, July 3 through July 5, 2000. The aircraft has been issued a Canadian flight permit. All operations of the aircraft must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration (FAA) may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

3. Persons or property must not be carried for compensation or hire on board the aircraft.

4. The aircraft must be operated under visual flight rules, day only.

5. Except when otherwise directed by air traffic control (ATC), or in the event of an emergency, all flights must be conducted to avoid areas having heavy air traffic, cities, towns, villages, congested areas, or any other area where the flights might create hazardous exposure to persons or property.

6. The operator of the aircraft must advise ATC of the nature of the flight when establishing communications.

Figure 7-8. Sample SFA for Canadian-Registered Amateur-Built Aircraft (Example: Authorization for the Purpose of Attending an Airshow) (Continued)

7. The aircraft must be operated according to restrictions imposed by Transport Canada Aviation provided those restrictions do not limit or change the conditions herein imposed.

8. All flights must be conducted in compliance with the general operating and flight rules of 14 CFR § 91.711.

9. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft

10. The aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry. The pilot in command of this aircraft must hold an appropriate category/class rating. If required for the type of aircraft to be flown, the pilot in command must also hold either an appropriate type rating or a letter of authorization issued by an FAA Flight Standards Operations Inspector, or equivalent issued or validated by the state of registry.

11. This SFA is effective July 1, 2000, and terminates on July 7, 2000, unless superseded or rescinded.

J.A. Smith, Manager
Manufacturing Inspection Office
Engine and Propeller Directorate

Issued in Burlington, Massachusetts, on June 23, 2000.

Figure 7-9. Sample Blanket SFA for Glider Meet

U.S. Department
of Transportation

Federal Aviation
Administration

NON-U.S. CIVIL AIRCRAFT SPECIAL FLIGHT AUTHORIZATION (SFA)

Authorization No.: SW-42-55

This authorization is issued pursuant to Title 14 of the Code of Federal Regulations (14 CFR) § 91.309 to the Soaring Society of America (SSA) and to each of the participants in the meet authorized by it to permit operation of non-U.S.-registered aircraft in the United States. In consideration of the foregoing, all operations must be in accordance with the following restrictions and limitations. An authorized representative of the Federal Aviation Administration (FAA) may prescribe additional operating restrictions and limitations necessary for safe operation.

1. A copy of this authorization must be displayed in the aircraft when operating under the terms of this SFA.

2. A current airworthiness certificate issued by the state of registry must be carried on board each aircraft being operated under this SFA and must be produced upon request for inspection by the FAA.

3. The operator of the aircraft must advise air traffic control (ATC) of the nature of the flight when establishing communications.

4. Except when otherwise directed by ATC, or in the case of emergency all flights must be conducted to avoid congested areas, or any other area where flights might create hazardous exposure to persons or property.

5. All flights must be conducted in compliance with the applicable general operating and flight 14 CFR § 91.711.

6. The identification markings assigned to the aircraft by the state of registry must be displayed on the aircraft according to that country's applicable requirements.

7. Persons or property must not be carried for compensation or hire on board the aircraft.

8. No person may be carried in this aircraft during flight unless that person is essential to the purpose of the flight and has been advised of the content of this SFA and of the airworthiness status of the aircraft

9. The aircraft must be operated only by airmen holding appropriate certificates or licenses issued or validated by the United States or the state of registry. The pilot in command of this aircraft must hold an appropriate category/class rating. If required for the type of aircraft to be flown, the pilot in command must also hold either an appropriate type rating or a letter of authorization issued by an FAA Flight Standards Operations Inspector, or equivalent issued or validated by the state of registry.

Figure 7-9. Sample Blanket SFA for Glider Meet (Continued)

10. The aircraft must be operated under Visual Flight Rules, day only.

11. Permission for flights over or into countries other than the United States must be obtained by the owner or operator of the aircraft from the Civil Aviation Authority of that country.

12. A copy of the U.S. Department of Transportation Authorization for non-U.S. civil aircraft participating in the meet must be carried aboard each applicable aircraft operating under this SFA.

13. This SFA must remain in effect until July 10, 2000 unless superseded or rescinded.

J.A. Smith, Manager
Manufacturing Inspection Office
Rotorcraft Directorate

Issued in Fort Worth, Texas, on June 10, 2000

Chapter 8. Processing Forms, Reports, and Certification Files

800. General.

a. This chapter describes the requirements for completion and processing of the various forms and certificates used for airworthiness certification. Information entered on these documents should be typewritten when possible. The use of pencil, erasures, strikeovers, etc., on airworthiness forms other than applications and Aeronautical Center Form 8050-72 is not permitted. Application forms may be corrected by the applicant or the FAA, provided the person making the changes initials beside the area of correction.

b. The signature of the ASI or designee on any FAA certificate or form must be made in permanent blue or black ink on the original and required copies. When the reverse side of the certificate is used, the statement "See Reverse Side" must be typed on the face of the certificate. Below the last line of information on a certificate, type the word "END" in the center of the page.

801. Review and Completion of FAA Form 8130-6. FAA Form 8130-6 is required whenever an airworthiness certificate is requested, including any request for amendment or modification to a current airworthiness certificate, including operating limitations. AC 21-12 provides instructions to applicants for completion of FAA Form 8130-6.

a. The applicant or authorized agent must complete the following sections:

Note: An authorized agent is someone who has a notarized LOA from the registered owner.

(1) For a standard airworthiness certificate, complete sections I, II, and III. Also, complete section IV if the application is for a standard airworthiness certificate—

(a) In accordance with 14 CFR § 21.183(d), or

(b) In accordance with 14 CFR §§ 21.183(h) and 21.6(b)).

(2) For a special airworthiness certificate, complete sections I, II, and III.

(3) For a special flight permit only, complete—

(a) Sections I, II, and VI for production flight testing; or

(b) Sections I, II, and VII for purposes other than production flight testing.

(4) For production flight testing of light-sport category aircraft, complete sections I and II, and items A and C of section VI.

(5) For unmanned aircraft, complete sections I, II, and III (blocks A, B (when applicable), C, and D).

b. Instruction for Completing FAA Form 8130-6 (Figure 8-1 of this order).

(1) Section I. Aircraft Description. The FAA must verify the applicant's entries from the aircraft registration certificate, aircraft ID plate, TCDS, and/or aircraft specification sheet.

Note: This section is not completed when an application is being made for a special flight permit.

(a) #1 Registration Mark. The U.S. nationality designator (the letter "N") followed by the registration marks as shown on the aircraft registration certificate is entered.

(b) #2 Aircraft Builder's Name (Make). The name of the builder or manufacturer as it appears on the aircraft ID plate in accordance with 14 CFR § 45.13(a)(1) is entered.

1 For amateur-built aircraft, the aircraft make is the name of the builder. When two or more persons are involved, enter only the name of the individual listed first on the aircraft ID plate.

2 For LSA assembled from an LSA manufacturer's kit, the builder's name is that of the manufacturer who is identified on the FAA Form 8130-15.

3 For aircraft built from spare and/or surplus articles, the builder's name is the person who assembled the aircraft, not the TC owner/manufacturer who builds the same model of aircraft. When two or more persons are involved, only the name of the individual listed first on the aircraft ID plate is entered.

4 For surplus military aircraft (not assembled from spare and/or surplus articles), the builder's name must be as listed on the TCDS.

5 For unmanned aircraft, the builder's name is the manufacturer's company name.

(c) #3 Aircraft Model Designation. The model designation as shown on the aircraft ID plate in accordance with 14 CFR § 45.13(a)(2) is entered. Trade names must not be used.

1 If the application is for a surplus military aircraft, the civil model designation is entered and the military model designation is entered in parentheses. If the TC was issued under 14 CFR § 21.27, the military model designation becomes the civil model designation.

2 For aircraft built from spare and/or surplus articles, the model designation is the aircraft type design to which the applicant shows conformity.

3 For surplus military aircraft type-certificated under 14 CFR § 21.25(a)(2) in the restricted category, only the military designation will be used.

4 For amateur-built aircraft, the model may be any arbitrary designation as selected by the builder. If the aircraft was purchased as a kit, the model designation assigned by the kit manufacturer should be used.

5 For unmanned aircraft, the model may be any designation selected by the manufacturer.

(d) #4 Year of Manufacture. The year of manufacture if shown on the aircraft ID plate or as reflected in the aircraft's records is entered.

1 For aircraft eligible for standard airworthiness certificates, the year of manufacture is the date (entered by the manufacturer) in the inspection records that reflect when the aircraft was completed and met the FAA-approved type design data.

2 For aircraft other than those in paragraph 801b(1)(d)*1* of this order, the year of manufacture is the date entered by the builder in the inspection records or logbook establishing that the aircraft is airworthy and eligible for the certificate requested.

3 For LSA, the year of manufacture is the date entered by the manufacturer in the SOC or by the builder in the inspection records or logbook establishing that the aircraft is eligible for the certificate requested.

(e) #5 Aircraft Serial Number. The serial number as shown on the aircraft ID plate in accordance with 14 CFR § 45.13(a)(3) is entered.

1 For surplus military aircraft, the manufacturer's civil serial number. The military serial number must be placed in parentheses following the civil serial number. If no civil serial number exists, the military number is used.

2 For aircraft built from spare and/or surplus parts, the serial number may be assigned by the builder. That number should not be confused with the serial number assigned by an original manufacturer who builds the same type of aircraft under a PC. It is suggested that a letter prefix or suffix, such as the builder's name or initials, be used with the serial number to provide for positive ID.

3 For amateur-built aircraft, fabricated and assembled from plans or the builder's own design, the serial number may be any arbitrary number assigned by the builder. For any aircraft fabricated and assembled from a kit, the aircraft should be identified by the serial number assigned by the kit manufacturer or supplier.

(f) #6 Engine Builder's Name (Make). For TC'd engines, the engine make is the name of the manufacturer as it appears on the engine ID plate in accordance with 14 CFR § 45.13(a)(1). Abbreviations may be used, for example, "P&W," "GE," "CMC," etc. For non-TC engines, enter the engine manufacturer's name as it is known. "N/A" is entered when no engines are installed, as in the case of the glider or balloon.

(g) #7 Engine Model Designation. When engine(s) are installed, the complete designation as shown on the engine ID plate is entered; for example, "O-320-A1B," "PT6A-20A," or "CFM-56-3C-1," in accordance with 14 CFR § 45.13(a)(2). For non-TC engines, enter the engine manufacturer's engine model designation as it is known.

Note: For LSA, the engine serial number is required in block #7.

(h) #8 Number of Engines. When applicable, the number of engines installed on the aircraft is entered.

(i) #9 Propeller Builder's Name (Make). The name of the manufacturer as shown on the propeller identification marking is entered. "N/A" is entered if propellers are not installed. (See 14 CFR § 45.13(a)(1).)

(j) #10 Propeller Model Designation. When applicable, the model designation as shown on the propeller identification marking is entered.

(k) #11 Aircraft Is Import. This block must be checked only if the aircraft was manufactured outside the United States and certificated under 14 CFR § 21.29, and the applicant is seeking airworthiness certification under 14 CFR § 21.183(c).

(2) Section II. Certification Requested. The following paragraphs list the applicable 14 CFR references for standard and special airworthiness certificates and aid in the completion of FAA Form 8130-6:

(a) Item A. Standard Airworthiness Certificate. This certificate is issued to type-certificated aircraft in the normal, utility, acrobatic, transport, commuter, and manned free balloon categories; and for special classes of aircraft. Special class aircraft include gliders, airships, and other non-conventional aircraft. Special class application would be indicated by marking the "Standard" and Other blocks (section II A), and entering the type, (for example, glider, VLA, airship, etc.) in the blank space directly above the category blocks. For aircraft type-certificated before the adoption of categories, in the open space above the category blocks the basis is entered for certification as shown in that aircraft's aircraft listing, specification sheet, or TCDS (for example, Category "N/A"-Certification basis CAR 04 A (Civil Air Regulations part 4a)). Applicable regulations are as follows:

1 14 CFR § 21.183(a), New aircraft manufactured under a production certificate;

2 14 CFR § 21.183(b), New aircraft manufactured under a type certificate;

3 14 CFR § 21.183(c), Import aircraft;

4 14 CFR § 21.183(d), Used aircraft and surplus aircraft of the U.S. Armed Forces; and

5 14 CFR § 21.183(h), New aircraft manufactured under the provisions of 14 CFR § 21.6(b).

(b) Item B. Special Airworthiness Certificate. This certificate is issued to aircraft that do not meet the requirements for a standard airworthiness certificate. Special airworthiness certificates are identified as primary, limited, provisional, restricted, experimental, special flight permit, and unmanned aircraft. Applicable regulations are as follows:

1 Primary Airworthiness Certificate.

(aa) 14 CFR § 21.184(a), New primary category aircraft manufactured under a production certificate;

(bb) 14 CFR § 21.184(b), Imported aircraft;

(cc) 14 CFR § 21.184(c), Aircraft having a current standard airworthiness certificate; and

(dd) 14 CFR § 21.184(d), Other aircraft.

2 Light-Sport Airworthiness Certificate. 14 CFR § 21.190, Issue of airworthiness certificate for LSA category.

3 Limited Airworthiness Certificate. 14 CFR § 21.189, Issue of airworthiness certificate for limited category aircraft.

4 Provisional Airworthiness Certificate.

(aa) 14 CFR § 21.221, Class I provisional airworthiness certificates (may be issued for all categories); and

(bb) 14 CFR § 21.223, Class II provisional airworthiness certificates (transport category only).

5 Restricted Airworthiness Certificate.

(aa) 14 CFR § 21.185(a), Aircraft manufactured under a production certificate or type certificate;

(bb) 14 CFR § 21.185(b), Other aircraft (surplus U.S. military aircraft or one previously type-certificated in another category); and

(cc) 14 CFR § 21.185(c), Import aircraft (type-certificated in the restricted category in accordance with 14 CFR § 21.29).

6 Experimental Certificate.

(aa) 14 CFR § 21.191(a), Research and development;

(bb) 14 CFR § 21.191(b), Showing compliance with regulations;

(cc) 14 CFR § 21.191(c), Crew training;

(dd) 14 CFR § 21.191(d), Exhibition;

(ee) 14 CFR § 21.191(e), Air racing;

(ff) 14 CFR § 21.191(f), Market surveys;

(gg) 14 CFR § 21.191(g), Operating amateur-built aircraft;

(hh) 14 CFR § 21.191(h), Operating kit-built aircraft (primary category aircraft assembled by a person(s) without the supervision and quality system of the PC holder);

(ii) 14 CFR § 21.191(i), Operating LSA purpose under 14 CFR § 21.191(i)(1), (i)(2), or (i)(3); and

(jj) Unmanned aircraft—Research and development, crew training, and/or market survey.

7 Special Flight Permit.

(aa) 14 CFR § 21.197(a)(1), Flying the aircraft to a base where repairs, alterations, or maintenance are to be performed, or to a point of storage;

(bb) 14 CFR § 21.197(a)(2), Delivering or exporting the aircraft;

(cc) 14 CFR § 21.197(a)(3), Production flight testing new production aircraft;

(dd) 14 CFR § 21.197(a)(4), Evacuating aircraft from areas of impending danger;

(ee) 14 CFR § 21.197 (a)(5), Conducting customer demonstration flights in new production aircraft that have satisfactorily completed production flight tests; and

(ff) 14 CFR § 21.197(b), Operation of an aircraft at a weight in excess of its maximum certificated takeoff weight.

(c) Item C. Multiple Airworthiness Certificates. These certificates are issued to an applicant in the restricted category and one or more other categories except the primary category. 14 CFR § 21.187 identifies the requirements an applicant must comply with before multiple airworthiness certificates are issued.

(3) Section III. Owner's Certification.

Note: This section is not completed when application is being made for a special flight permit.

(a) Registered Owner. The name and address is entered exactly as shown on the aircraft registration certificate. 14 CFR part 47 prescribes the requirements for registering aircraft.

(b) If Dealer, this block is checked. This block must be checked ONLY if the aircraft is registered under a dealer's aircraft registration certificate.

(c) Aircraft Certification Basis (Aircraft Specification or TCDS and/or Aircraft Listing Block, or Applicable Consensus Standard). This item must be completed when application is being made for a standard, primary, light-sport, provisional, limited, restricted, or multiple airworthiness certificate.

1 When application is being made for a multiple airworthiness certificate, the certification basis for each certificate being requested is entered.

2 If the TCDS or specification for a new aircraft or model has been approved, but not yet published, the date of approval, the TC or specification number, and the word "Preliminary" is entered.

3 When application is being made for an LSA airworthiness certificate, the applicable consensus standard for design and performance from the SOC is entered. If no SOC exists for the aircraft, enter "N/A."

4 "N/A" is entered when the application is being made for an experimental certificate.

(d) Airworthiness Directives. This block must be completed to indicate compliance with all applicable ADs in accordance with 14 CFR part 39 and 14 CFR § 21.99, regardless of the type of airworthiness certificate being requested.

1 The number of the last biweekly supplement to the summary of ADs available as of the date of application is entered, for example, Biweekly 97-06, published on March 24, 1997. When an LSA or amateur-built is equipped with certificated equipment or appliances, use the applicable ADs for the certificated equipment and/or appliances.

2 For LSA, all applicable manufacturer safety directives available as of the date of application are entered. If there are not any manufacturer safety directives, "NONE." is entered.

(e) Aircraft Listing. This may apply to older aircraft listing ID is entered as appropriate. If no listing ID exists, "N/A" is entered.

(f) Supplemental Type Certificate. This block is applicable to all standard airworthiness certifications and special airworthiness certifications in the restricted, limited, provisional, and primary categories for aircraft with one or more STCs installed, and must be filled out at the time of application. The STC number of each STC installed must be entered. An attachment may be used if more space is required by the applicant.

Note: "N/A" is entered when the application is being made for an experimental certificate.

(g) Aircraft Operation and Maintenance Records.

1 Check If Records Are in Compliance With 14 CFR § 91.417. This block applies to all aircraft covered by this section and must be checked to indicate that the recordkeeping requirements of 14 CFR § 91.417 have been met. For example, to comply with 14 CFR § 91.417(a)(2)(i), the aircraft maintenance record must include the total time-in-service of the airframe, engines, propellers, and rotor; and to comply with 14 CFR § 91.417(a)(2)(ii), the record must include the current status of the life-limited articles of the airframe, engines, propellers, rotor, and articles. All record entries must be in English.

2 Total Airframe Hours. This block applies to all aircraft covered by this section. The total time-in-service of the aircraft, including production flight test time, should be entered.

3 Experimental Only. When submitting an application for the renewal of an experimental certificate, when requesting a change back to a standard certificate, or when requesting a change back to LSA category certificate, the hours flown since the previous certificate was issued or renewed must be entered. If the application is for an original issuance of an experimental certificate and the aircraft has no previous operating time, "0" is entered.

(h) Certification. If the signature is by the owner's agent, a notarized letter from the registered owner authorizing the agent to act on the owner's behalf is required.

(4) Section IV. Inspection Agency Verification. This section must be completed only if application is being made for a standard airworthiness certificate in accordance with 14 CFR § 21.183(d). This section must be left blank for all other certification actions.

> **Note:** 14 CFR § 21.183(d)(2) states that an experimentally certificated aircraft that previously had been issued a different airworthiness certificate under 14 CFR § 21.183, and is being returned to the standard airworthiness category, is exempt from the 100-hour inspection set forth in 14 CFR § 43.15.

(5) Section V. FAA Representative Certification. This section must be completed by the ASI or designee that inspects the aircraft and issues the certificate. For unmanned aircraft, ONLY an FAA ASI may complete Section V. Representatives of the FAA authorized under 14 CFR part 183 are not permitted to issue experimental airworthiness certificates for Unmanned aircraft.

(a) Check all applicable blocks in items A and B.

(b) MIDO/FSDO. An ASI must enter the appropriate MIDO or FSDO office designation (that is, the current MIDO/FSDO or branch identifier). Designees and ODA manufacturers must enter the designation of the MIDO or FSDO office geographically responsible for monitoring their activities.

(c) FAA Inspector's Signature or Designee's Signature and Number. The FAA inspector, designee, or ODA unit member who issued the certificate must sign here. For ODA manufacturers, enter "ODA" followed by the ODA number. The DMIR, DAR, or ODA unit member signature must be signed in permanent blue or black ink above the typed or printed name on the original and copy(ies). The ASI's name must be typed or printed with the signature above in permanent blue or black ink; the typed name and signature must be legible and must not obliterate preprinted information on FAA Form 8130-6.

(d) ASI's Certification File Review Signature. After the ASI has completed a final examination and review of the certification file and it is ready to be submitted to AFS-750, the ASI will sign the ASI certification file review block. The ASI's name must be typed or printed in this box with the signature above.

(6) Section VI. Production Flight Testing. This section must be completed only by a manufacturer applying for a special flight permit for the purpose of flight testing production aircraft under the provisions of 14 CFR § 21.197(a)(3). All required entries are self-explanatory.

Note: The requirements in this section for LSA production flight testing are only items A and C, with item B remaining blank.

(7) Section VII. Special Flight Permit Purposes Other Than Production Flight Test.

(a) Item A. Description of Aircraft. The entries in this section must be the same as the corresponding data recorded on the aircraft's registration certificate and, as applicable, on the aircraft's ID plate.

(b) Item B. Description of Flight. The present location of the aircraft is entered in the "From" box and the aircraft's intended destination in the "To" box.

1 The "Via" entry must contain the name of an airport or city at some intermediate point in the flight to provide a general description of the route flown. For example, a flight from Kansas City, Missouri, to Dallas, Texas, may be via Wichita, Kansas, and Oklahoma City, Oklahoma, in accordance with 14 CFR § 21.199(a)(2).

2 The "Duration" entry must reflect the overall duration of the special flight permit and need not be the same as the planned duration of the actual flight. Factors such as fueling stops, weather conditions, overnight stops, or any other reasonable condition must be given consideration when establishing the duration.

(c) Item D. The Aircraft Does Not Meet the Applicable Airworthiness Requirements As Follows. This entry must specifically detail the conditions in which the aircraft does not comply with the applicable airworthiness requirements in accordance with 14 CFR § 21.199(a)(4).

(d) Item E. The Following Restrictions Are Considered Necessary for Safe Operation. This entry must contain in detail the restrictions the applicant considers necessary for safe operation of the aircraft; for example, reduced airspeed or weight, turbulence avoidance, and flightcrew member limitations or qualifications. This item must be carefully reviewed by the FAA to determine that the restriction would ensure safe operation of the aircraft. Any deficiencies must be resolved before issuance of the special flight permit. The FAA also may prescribe additional conditions and limitations deemed necessary for safe operation.

(8) Section VIII. Airworthiness Documentation. This section must be completed by the ASI or designee who inspects the aircraft and issues the airworthiness certificate. However, this section is not applicable when a special flight permit is being issued.

(a) Item A. Operating Limitations and Markings in Compliance with 14 CFR § 91.9, as Applicable. This block applies to all aircraft covered by this section. The FAA should check this block when an FAA-approved aircraft flight manual, listing of operation limitation, placards, etc., as applicable to the category of certificate requested, are in the aircraft in accordance with 14 CFR § 91.9.

(b) Item B. Current Operating Limitations Attached. Check this block when operating limitations have been issued and a copy is attached for retention in the permanent record. (This applies to aircraft certificated in categories other than standard.)

(c) Items C, D, and E. Self-explanatory. Check all blocks that are applicable.

(d) Item F. This Inspection Recorded in Aircraft Records. The following is considered a satisfactory statement for the aircraft record entry: "I find that the aircraft meets the requirements for the certification requested and have issued a (standard) (special) airworthiness certificate dated _____. The next inspection is due _____. Signed: John Smith, Aviation Safety Inspector, SW-41."

> **Note 1:** The next inspection date is not necessary when the aircraft is under a continuous maintenance program.
>
> **Note 2:** In the case of aircraft that had a previous due date, the date entered is the same. The aircraft gains no additional time because it was not in the standard category.

(e) Item G. Statement of Conformity, FAA Form 8130-9 (Attach When Required). Check the block to indicate FAA Form 8130-9 or, when LSA, Statement of Compliance, FAA Form 8130-15, and attach when required.

(f) Item H. Foreign Airworthiness Certification for Import Aircraft (Attach When Required). Check the block to indicate that certification of another country is required for the certification action and that a copy is attached for retention in the aircraft's permanent record.

(g) Item I. Previous Airworthiness Certificate Issued in Accordance With 14 CFR or CAR. If applicable, enter the appropriate CFR or CAR under which the previous airworthiness certificate was issued, and check the block to indicate that the original of the certificate is attached. If the previously issued certificate is not available, the FAA should state the reason on an attachment.

(h) Item J. Current Airworthiness Certificate Issuance in Accordance With 14 CFR. Check the box and enter the applicable section of 14 CFR part 21, subpart H, except that a manufacturer with an ODA must:

1 Enter 14 CFR § 21.183(a) or (b) for a standard airworthiness certificate, depending on whether the aircraft had been added to the PC under 14 CFR § 21.267, or under 14 CFR § 21.185 for a restricted category airworthiness certificate.

2 Add "per 14 CFR § 183.49," to indicate the delegation authority.

(i) Item K. Light-Sport Aircraft SOC, FAA Form 8130-15 (Attach When Required). Check the block to indicate that a completed applicable copy of the manufacturer's SOC, FAA Form 8130-15, is attached for retention in the aircraft's permanent record.

c. Instructions for Reviewing Completed FAA Form 8130-6. The FAA must review the form to determine that all applicable entries have been made, and on issuance of the airworthiness certificate, must complete section V. In the event that an airworthiness certificate is denied, sections V and VIII must not be completed. A letter of denial, or a statement of the reason for denial, must be attached to the form and forwarded to AFS-750 as part of the aircraft records.

802. Completion of FAA Form 8100-2. The blocks on FAA Form 8100-2 must be completed using the information obtained with completed FAA Form 8130-6.

a. Nationality and Registration Marks. Enter the capital letter "N" followed by the registration number assigned to the aircraft.

b. Manufacturer and Model. Example: Beech-C33.

c. Aircraft Serial Number. Self-explanatory.

d. Category. Enter the appropriate category as defined in paragraph 801 of this order. If there is no category, as in the case of aircraft certificated prior to adoption of the regulations that established categories, enter the aircraft specification, TCDS, or listing number as applicable. For example, "CAR 4a" for a Bellanca 14-13; "ATC 614" for an Aeronca LC.

e. Authority and Basis for Issuance. Under Exceptions, enter the exemption number and a brief description of any exemptions from the applicable airworthiness standards (CAR 3, 4b, 5, 6, 7, or equivalent CFR) that have been granted for the aircraft (see aircraft specification or TCDS). If no exemptions exist, enter "None."

f. Date of Issuance. For an original or recurrent certificate, enter the date the certificate is issued. For a replacement or exchanged certificate, enter the date of the original certificate and insert the letter "R" or "E," respectively, before this date. When the certificate is being amended, insert the letter "A" before the new issuance date, which is the current date. (See paragraph 215 of this order for additional information.)

g. FAA Representative. The typed name and signature of the ASI or designee issuing the certificate must be legible. The signature must be in permanent blue or black ink on the original and copies.

h. Designation Number. Depending on who issues the certificate, enter the following applicable information:

(1) ASI. The office identifier can be the current MIDO/FSDO or branch identifier, for example, SW-MIDO-41 or ANM-108;

(2) DMIR or DAR. The designee's number (for example, DMIR-123456-SW, DAR-123456-NM, etc.);

(3) ODA. The letters "ODA" followed by the ODA number.

803. Completion of FAA Form 8130-7. The blocks on FAA Form 8130-7 must be completed using all applicable information obtained from completed FAA Form 8130-6.

a. Section A. This section is applicable to all categories of special airworthiness certificates.

(1) Category/Designation. Enter the category of special airworthiness certificate being issued, as outlined under paragraph 801 of this order, for example, restricted, limited, or light-sport. For experimentally certificated manned free balloons or gliders, the words "Manned Free Balloon" or "Glider" are to be put in parentheses after the word "Experimental" for the respective type of aircraft. For experimentally certificated LSA, put in "Experimental."

(2) Purpose. Enter the operating purpose for which the special airworthiness certificate is being issued, as shown by the blocks checked by the applicant under section II, block B, on FAA Form 8130-6. If the application is for a limited category airworthiness certificate, the Purpose entry must be "N/A." For LSA category aircraft, enter one of the five classes of LSA: airplanes, gliders, powered parachutes, weight-shift-control aircraft, and lighter-than-air aircraft (balloons and airships). There are six classes of LSA experimental purposes: airplanes, gliders, powered parachutes, weight-shift-control aircraft, lighter-than-air aircraft (balloons and airships), and gyroplanes. For example, an LSA glider will be listed in the purpose as "light-sport (glider)." Because of the limited space available on the purpose line, the following abbreviations will be used: "PPC" for powered parachute and "WSC" for weight shift control.

b. Section B. Enter the name and address of the manufacturer only if the application is for a special flight permit for the purpose of production flight testing. In all other cases, enter "N/A" in both spaces under this section.

c. Section C.

(1) This section is applicable for a special flight permit for purposes other than production flight testing.

(a) For purposes other than production flight testing, the flight "From" and flight "To" spaces must be the same as that shown on FAA Form 8130-6, section VII, item B.

(b) For production flight testing, enter "N/A" in both spaces.

(c) For all purposes listed in 14 CFR § 21.25(b)(1) through (7), see paragraph 4012 of this order.

(d) For all other special categories, enter "N/A" in both spaces.

(2) When the aircraft is to be flown outside the United States, enter "Subject to D(2) on reverse side" in section C on the face side of the special airworthiness certificate.

d. Section D. This section is applicable to all categories and purposes except production flight testing. If the purpose is production flight testing of other than light-sport category aircraft, enter "N/A" in all spaces. For production flight testing of light-sport category aircraft, section D should include the registration number, aircraft serial number, and aircraft model. For all other categories and purposes, information to complete the entries in this section would be contained in section I of the application for airworthiness certificate.

e. Section E.

(1) Date of Issuance. Enter the date the certificate is issued. However, in those cases where a certificate is being exchanged or replaced, enter the date of the original certificate and insert the letter "E" or "R".

(2) Expiry. Enter the date of expiry if the application is for an experimental or special flight permit. An experimental certificate for R&D, showing compliance with regulations, crew training, or market surveys is effective for 1 year after the date of issue or renewal, unless a shorter period is deemed necessary. The duration of light-sport, amateur-built, exhibition, and air racing experimental certificates is unlimited unless good cause exists to establish a specific period. Additionally, LSA that have been grandfathered into LSA experimental purpose by rule exception and that have preexisting exemptions have an expiration date. For a provisional certificate, the entry should be in accordance with 14 CFR § 21.217.

(3) Operating Limitations Dated _____ Are a Part of This Certificate. Enter the date of the operating limitations. Do not repeat or paraphrase limitations printed on the back of the certificate. Enter "N/A" if the limitations on the reverse side of the certificate are adequate for the purpose.

(4) Signature of FAA Representative: Designation or Office No. Complete this space for ALL categories and purposes. Entries are the same as those explained in paragraphs 802g and h of this order.

804. Instructions for Reviewing a Completed FAA Form 8130-15. This form is used for manufactured and kit-built LSA. All information listed below applies to both, unless otherwise indicated.

a. Light-Sport SOC. The manufacturer or authorized agent must complete and sign this form. Authorization for an agent's signature must be either in writing from the manufacturer or as specified in the company's quality program.

(1) Section I. Aircraft Identification. This section must contain the aircraft information as shown on the aircraft ID plate, and/or aircraft or kit documentation and records. For light-sport kit-built aircraft, the date of manufacture is the date the light-sport kit was completed by the manufacturer.

(2) Section II. Applicable User Manuals.

(a) Consensus Standard(s). The FAA-accepted consensus standard for the design and performance of the aircraft must be listed in this block. For example, the entry would be "ASTM F2245-04 (design and performance)." Any other applicable consensus standards not referenced elsewhere on this form also must be listed here. For example, if the engine required a standard, the entry would be "ASTM F2339-04 (engine)." If an airframe emergency parachute is installed, the entry would be "ASTM F2316-03 (airframe emergency parachute)." The title of the standard also may be included. For kit-built aircraft, this block also must contain the manufacturer-provided assembly instructions and the consensus standard for the design and performance and assembly instructions.

> **Note:** On FAA Form 8130-15 and all LSA documentation where FAA-accepted consensus standards are identified, the FAA-accepted consensus standard applicable at the time the aircraft was manufactured/built should be listed. There is a period where previous revisions are acceptable. Either the previous revision or the later revision may be used for the initial certification of special LSA during this period. This will allow aircraft that have started the initial certification process using the previous revision level to complete that process. To find applicable revision dates, see the FAA-accepted consensus standards matrix and NOA information located on the FAA website under LSA, standards. The same consensus standards can cover more than one topic and may be listed more than once.

(b) Aircraft operating instructions (AOI). This block must list the specific title or company identifier for the AOI provided with the LSA or light-sport kit, including the revision level, if applicable. The block also must contain the FAA-accepted consensus standard used to develop the AOI.

(c) Aircraft Maintenance and Inspection Procedures. This block must list the specific title or company identifier for the Aircraft Maintenance and Inspection Procedures provided with the LSA or light-sport kit, including the revision level, if applicable. The block also must contain the FAA-accepted consensus standard used to develop the maintenance and inspection procedures.

(d) Aircraft Flight Training Supplement. This block must list the specific title or company identifier for the Aircraft Flight Training Supplement provided with the LSA or light-sport kit, including the revision level, if applicable. The block also must contain the FAA-accepted consensus standard used to develop the supplement. The manufacturer may choose to include the Aircraft Flight Training Supplement as a part of, or a section within, the AOI. If so, a statement to that effect must be entered in this block.

(3) Section III. Manufacturer's Process Documents.

(a) Comments. This block must provide any additional information not contained elsewhere on the form. It may be used to expand on the information in the Consensus Standard(s) block in Section II or to provide other information the manufacturer deems necessary. For kit-built LSA, it may be used to provide evidence that an aircraft of the same make and model was issued a special airworthiness certificate in the light-sport category.

(b) Manufacturer's Quality Assurance System. This block must provide the specific title or company identifier for the company's quality assurance system used in the production of the LSA or light-sport kit, including the revision level, if applicable. The block also must contain the FAA-accepted consensus standard used to develop the quality assurance system.

(c) Manufacturer's Continued Airworthiness System. This block must provide the specific title or company identifier for the company's continued airworthiness system used by the company to support the aircraft, including the revision level, if applicable. The block also must contain the FAA-accepted consensus standard used to develop the continued airworthiness system. This block is not applicable for kit-built LSA; therefore, for a kit-built LSA, the block must show "N/A."

(4) Section IV. Manufacturer's Certification.

(a) This section must list the—

1 Aircraft or kit serial number in the blank provided. For kit-built light-sport aircraft, the word "aircraft" (right before "serial number") must be lined through and the word "kit" should be inserted.

2 Name and title of the manufacturer or authorized agent signing the form, and the date the form was signed.

Note: In some cases for manufactured aircraft, the manufacturer's quality assurance system will require two signatures, one at the production facility and one for any reassembly after transport or shipment.

(b) For a kit-built LSA, the following words will be lined through: "and that the Manufacturer's Continued Airworthiness System will be adhered to support the aircraft throughout its life"; "Manufacturer's Quality Assurance System identified on this statement"; and "(3) was ground and flight tested successfully, and (4) is in a condition for safe operation." (See figure 4-20 of this order, Sample FAA Form 8130-15)

805. Completion of FAA Form 8130-4.

a. FAA Form 8130-4 must be filled out in duplicate. The original remains with the product and the duplicate is forwarded to AFS-750.

b. Place the Export Certificate Number Assignment Card number in the No. block at the top right corner of the form.

c. In the space provided in the certifying statement, enter the information identified in accordance with note (1) at the bottom of FAA Form 8130-4.

d. Product, manufacturer, model, etc., items are self-explanatory.

e. In the Exceptions block enter any noncompliance(s) to type design, requirements for the importing country, and the addition of any temporary installations required for delivery. If there are no exceptions, enter the word "None."

f. If other information is deemed necessary, enter "Additional Information" in the Exceptions block. For example, some importing countries want a statement that the product complies with a type design approved by their country's CAA.

g. The rest of the items are self-explanatory.

h. Lost FAA Form 8130-4.

(1) When FAA Form 8130-4 has been declared lost, the following information is required:

(a) A written statement from the importer stating the tag has been lost; and

(b) Evidence of previous export, traceable by invoice to model and serial number from the exporter.

(2) When these actions have been taken, a copy of the original form can be provided, if available. The replacement approval or a copy of the original lost approval must have an original signature and the same data as the lost FAA Form 8130-4.

806. Completion of FAA Form 8130-1. The applicant must complete part I of the application for aircraft. The applicant may complete part II of the application for aircraft engines, propellers, and articles but these applications may also be made orally. Part III is for FAA use only. All items are self-explanatory except as noted. Instructions for completion of parts I and II are used to help the FAA review the form as submitted by the applicant. A copy of the completed FAA Form 8130-1 must be filed in the district office and retained for a minimum of 2 years, then destroyed in accordance with standard agency procedures. Chapter 5 of this order contains further information on the use of this form.

a. Export Certificate No. This block is left blank by the applicant. The FAA must enter the serial number from Aeronautical Center Form 8050-72.

b. Part I (For Aircraft).

(1) Item Nos. 1-4. Self-explanatory.

(2) Item No. 5. Description of Product(s). Self-explanatory, except as follows:

(a) For an aircraft not under U.S. registry, insert in the Identification No. block the nationality and registration marks supplied by the state of registry or intended registry that are displayed on the aircraft. For U.S.-registered aircraft, insert the ID marks as assigned under 14 CFR part 47. Any questions concerning the marking requirements of the importing country/jurisdiction must be resolved between the exporter/importer and the CAA of that country/jurisdiction.

(b) Under FAA Spec. No., enter the pertinent specification number or the TCDS number, as applicable.

(c) For new and used aircraft, enter in the Operating Time (Hours) block the number of operating hours since the annual type inspection, and the total time-in-service. Aircraft engines and propellers are no longer required to be new, as long as the importing country/jurisdiction accepts the aircraft with used engines and propellers. For aircraft, the blocks for engine(s) and propeller(s) must be completed to reflect the required information, as applicable.

(3) Item Nos. 6 and 7. These items are self-explanatory; however, if the No box is checked, explain the deviations in item No. 10 and attach the original or true copy of documents stating that the product will be acceptable with the deviations listed, as received from the CAA of the importing country/jurisdiction.

(4) Item No. 8. This item provides a means of establishing the date the ownership of the stated product is expected to pass to the purchaser.

(5) Item No. 9. This item provides a means of documenting the preservation and packaging methods used to protect against corrosion and damage. It is recommended that all products be appropriately treated for corrosion and damage prevention.

(6) Item No. 10. This space may be used to convey the information required under item Nos. 6 and 7. This space also may be used by the exporter to convey any other information pertinent to the issuance of the export airworthiness approval. Additional sheets may be attached, as necessary, and appropriately cross-referenced. In addition, list the documents that the regulation requires to be submitted with the application under the provisions of 14 CFR § 21.327. After review by the FAA, the documents required to be furnished to the importing country/jurisdiction under 14 CFR § 21.335 will be supplied to the applicant.

(7) Item No. 11. The authorized representative of the exporter must sign this certificate in permanent blue or black ink and ensure it is dated. The typed name, title, and signature must be legible.

c. Part II (For Aircraft Engines, Propellers, and Articles). If not making application orally, complete as follows:

(1) Item Nos. 12-14. Self-explanatory.

(2) Item No. 15. Use the instructions for entering eligibility information from FAA Order 8130.21.

Note: No entry is required in the FAA Spec No. box.

(3) Item No. 16. Self-explanatory.

(4) Item No. 17. This item provides for the description and listing of the aircraft engine, propellers, and articles being exported. Select the first check box and list the aircraft engine, propellers, and articles in the space provided. If the entire list of the aircraft engine, propellers, and articles cannot fit in the space provided, select the second check box and, on the line provided, specifically identify the exporter's shipping document covering the aircraft engine, propellers, and articles concerned. Attach a copy of this document to the form. In either case, if more than one type of aircraft engine, propeller, and article is involved, they are to be listed according to the aircraft engine, propeller, or article for which they are eligible. List the name, part number (or equivalent means of identifying each physical aircraft engine, propeller, or article), and quantity of each article.

(5) Item No. 18. This item is self-explanatory. If the No box is checked, explain the noncompliance in item No. 10 and attach the original, or a true copy, of the documents stating that the product will be acceptable with the deviation(s) listed, as received from the CAA of the importing country/jurisdiction.

(6) Item No. 19. This item provides a means of documenting the preservation and packaging methods used to protect against corrosion and damage. It is recommended that all products be appropriately treated for corrosion and damage prevention.

(7) Item No. 20. The authorized representative of the exporter must date and sign this certification in permanent blue or black ink above the typed or printed name and title.

d. Part III. Approval (For FAA Use Only).

(1) Item No. 21. The ASI or designee's signature must be legible and in permanent blue or black ink above the typed name (a copy, fax, or PDF copy with an original signature may be submitted). The number should be the office identifier or designee designation number. ODA manufacturers must use their authorization number as assigned by the FAA.

(2) Item No. 22. The ASI or authorized designee must enter the quantity of FAA Forms 8130-3 issued for the articles described in part II of the form.

(3) Item No. 23. A completed spot check of the file is indicated by the signature of the supervising ASI in permanent blue or black ink above the typed name. The district or regional office number and date must be entered in the appropriate boxes.

807. Examination, Review, and Routing of Certification Files.

a. It is the responsibility of all ASIs and designees to examine in detail each certification file processed to ensure accuracy, completeness, legibility, and compliance with applicable requirements, including all necessary attachments. The following list represents the primary data that must be retained in the permanent files. For aircraft, these documents must be submitted to AFS-750 no later than 30 days after receipt by the field offices. Do not include any documentation that is not required in support of the certification action.

(1) Airworthiness Certificates.

(a) The original FAA Form 8130-6.

Note: The notarized letter authorizing an agent to sign for the owner, if applicable, must be included in the certification file forwarded to AFS-750.

(b) Applications for special flight permits for operation of overweight aircraft only in accordance with 14 CFR § 21.197(b).

(c) Applications for an experimental airworthiness certificate must include the data required by 14 CFR § 21.193, as applicable.

(d) The original FAA Form 8130-9.

(e) A copy of FAA Form 8130-2 or any other data, drawings, photographs, etc., as applicable.

(f) A copy of FAA Form 337, as applicable. Do not include referenced data forming the basis for approval of the repair or alteration.

(g) A copy of FAA Form 8100-2, or FAA Form 8130-7, as applicable. When FAA Form 8130-7 is issued as a special flight permit, submit only those copies which permit operation of overweight aircraft in accordance with 14 CFR § 21.197(b). Superseded, terminated, or canceled airworthiness certificates must be included if a recurrent certificate is issued.

(h) A copy of operating limitations, if issued.

(i) A copy of the checklist and inspection record for aircraft built from spare and surplus articles.

(j) The foreign airworthiness certificate for imported aircraft, as applicable.

(k) FAA Form 8130-15, Statement of Compliance for light-sport category and kit-built experimental LSA.

(l) FAA Form 8130-12.

(2) Export of an Aircraft.

(a) The original FAA Form 8130-1.

(b) The statement of acceptance from an importing country/jurisdiction listing the specific noncompliance(s), as applicable.

(c) A copy of FAA Form 8130-4, GPO pad only.

(d) The original Aeronautical Center Form 8050-72.

(3) Export of Aircraft Engines, Propellers, and Articles. Retain the following in the district or regional office. DMIRs and ODAs may retain the records at their facility as long as their authorization is valid. DARs shall retain a copy of the records at a location acceptable to the district or regional managing office.

(a) The original application (if made in writing for articles) along with any data showing acceptance of deviations from the CAA of the country/jurisdiction of import.

(b) A copy of FAA Form 8130-3.

(c) The original FAA Form 8100-1.

(4) Import of a Product Manufactured in a Bilateral Country. Retain the following in the district or regional office:

(a) Aircraft. The Export C of A issued by the CAA of the State of Manufacture that states the aircraft conforms to its type design and is in a condition for safe operation.

(b) Aircraft Engine and Propeller. The certification from the aircraft State of Manufacture for engines and propellers that was submitted when deemed they were a part of, or were to be installed on, an aircraft.

Note: A certification may be accepted from a third party country when the acceptance is permitted by the BAA or BASA IPA.

(c) The applicable documents listed in paragraph 807a(1) of this order.

b. In addition to the above-mentioned data, the district or regional offices must maintain copies of any other data they deem appropriate to substantiate the certification of the product and/or article. This includes FAA Form 8100-1, eligibility statements, program letters, etc.

c. The appropriate district or regional office must ensure that all airworthiness actions processed by FAA designees are submitted to the district or regional office for review prior to transmittal to AFS-750.

Figure 8-1. Sample FAA Form 8130-6, Application For U.S. Airworthiness Certificate (Front Side)

Form Approved
O.M.B. No. 2120-0018

U.S. Department of Transportation
Federal Aviation Administration

APPLICATION FOR U.S. AIRWORTHINESS CERTIFICATE

INSTRUCTIONS - Print or type. Do not write in shaded areas; these are for FAA use only. Submit original only to an authorized FAA Representative. If additional space is required, use attachment. For special flight permits, complete Sections II, VI, and VII as applicable.

I. AIRCRAFT DESCRIPTION

1. REGISTRATION MARK	2. AIRCRAFT BUILDER'S NAME *(Make)*	3. AIRCRAFT MODEL DESIGNATION	4. YR. MFR. / FAA CODING
5. AIRCRAFT SERIAL NO.	6. ENGINE BUILDER'S NAME *(Make)*	7. ENGINE MODEL DESIGNATION	
8. NUMBER OF ENGINES	9. PROPELLER BUILDER'S NAME *(Make)*	10. PROPELLER MODEL DESIGNATION	11. AIRCRAFT IS *(Check if applicable)* ☐ IMPORT

II. CERTIFICATION REQUESTED

APPLICATION IS HEREBY MADE FOR: *(Check applicable items)*

- A 1 ☐ STANDARD AIRWORTHINESS CERTIFICATE *(Indicate category)* ☐ NORMAL ☐ UTILITY ☐ ACROBATIC ☐ TRANSPORT ☐ COMMUTER ☐ BALLOON ☐ OTHER
- B ☐ SPECIAL AIRWORTHINESS CERTIFICATE *(Check appropriate items)*
  - 7 ☐ PRIMARY
  - 9 ☐ LIGHT-SPORT *(Indicate Class)* ☐ Airplane ☐ Power-Parachute ☐ Weight-Shift-Control ☐ Glider ☐ Lighter than Air
  - 2 ☐ LIMITED
  - 5 ☐ PROVISIONAL *(Indicate class)*
    - 1 ☐ CLASS I
    - 2 ☐ CLASS II
  - 3 ☐ RESTRICTED *(Indicate operation(s) to be conducted)*
    - 1 ☐ AGRICULTURE AND PEST CONTROL; 2 ☐ AERIAL SURVEY; 3 ☐ AERIAL ADVERTISING
    - 4 ☐ FOREST *(Wildlife conservation)*; 5 ☐ PATROLLING; 6 ☐ WEATHER CONTROL
    - 0 ☐ OTHER *(Specify)*
  - 4 ☐ EXPERIMENTAL *(Indicate operation(s) to be conducted)*
    - 1 ☐ RESEARCH AND DEVELOPMENT; 2 ☐ AMATEUR BUILT; 3 ☐ EXHIBITION
    - 4 ☐ AIR RACING; 5 ☐ CREW TRAINING; 6 ☐ MARKET SURVEY
    - 0 ☐ TO SHOW COMPLIANCE WITH THE CFR; 7 ☐ OPERATING *(Primary Category)* KIT BUILT AIRCRAFT
    - 8 ☐ OPERATING LIGHT-SPORT
      - 8A ☐ Existing aircraft without an airworthiness certificate & do not meet § 103.1
      - 8B ☐ Operating Light-Sport Kit-built
      - 8C ☐ Operating light-sport previously issued special light-sport category airworthiness certificate under § 21.190
    - 9 ☐ UNMANNED AIRCRAFT
      - 9A ☐ RESEARCH AND DEVELOPMENT; 9C ☐ CREW TRAINING
      - 9B ☐ MARKET SURVEY
  - 8 ☐ SPECIAL FLIGHT PERMIT *(Indicate operation to be conducted, then complete Section VI or VII as applicable on reverse side)*
    - 1 ☐ FERRY FLIGHT FOR REPAIRS, ALTERATIONS, MAINTENANCE, OR STORAGE
    - 2 ☐ EVACUATE FROM AREA OF IMPENDING DANGER
    - 3 ☐ OPERATION IN EXCESS OF MAXIMUM CERTIFICATED TAKE-OFF WEIGHT
    - 4 ☐ DELIVERING OR EXPORTING; 5 ☐ PRODUCTION FLIGHT TESTING
    - 6 ☐ CUSTOMER DEMONSTRATION FLIGHTS
- C 6 ☐ MULTIPLE AIRWORTHINESS CERTIFICATE *(Check ABOVE "Restricted Operation" and "Standard" or "Limited" as applicable)*

III. OWNER'S CERTIFICATION

A. REGISTERED OWNER *(As shown on certificate of aircraft registration)* — **IF DEALER, CHECK HERE** →

NAME	ADDRESS

B. AIRCRAFT CERTIFICATION BASIS *(Check applicable blocks and complete items as indicated)*

☐	AIRCRAFT SPECIFICATION OR TYPE CERTIFICATE DATA SHEET *(Give No. and Revision No.)*	☐	AIRWORTHINESS DIRECTIVES *(Check if all applicable ADs are complied with and give the number of the last AD SUPPLEMENT available in the biweekly series as of the date of application)*
☐	AIRCRAFT LISTING *(Give page number(s))*	☐	SUPPLEMENTAL TYPE CERTIFICATE *(List number of each STC incorporated)*

C. AIRCRAFT OPERATION AND MAINTENANCE RECORDS

☐	CHECK IF RECORDS IN COMPLIANCE WITH 14 CFR section 91.417	TOTAL AIRFRAME HOURS	3 EXPERIMENTAL ONLY *(Enter hours flown since last certificate issued or renewed)*

D. CERTIFICATION - I hereby certify that I am the registered owner (or his agent) of the aircraft described above, that the aircraft is registered with the Federal Aviation Administration in accordance with Title 49 of the United States Code 44101 et seq. and applicable Federal Aviation Regulations, and that the aircraft has been inspected and is airworthy and eligible for the airworthiness certificate requested.

DATE OF APPLICATION	NAME AND TITLE *(Print or type)*	SIGNATURE

IV. INSPECTION AGENCY VERIFICATION

A. THE AIRCRAFT DESCRIBED ABOVE HAS BEEN INSPECTED AND FOUND AIRWORTHY BY: *(Complete the section only if 14 CFR part 21.183(d) applies)*

- 2 ☐ 14 CFR part 121 CERTIFICATE HOLDER *(Give Certificate No.)*
- 3 ☐ CERTIFICATED MECHANIC *(Give Certificate No.)*
- 6 ☐ CERTIFICATED REPAIR STATION *(Give Certificate No.)*
- 5 ☐ AIRCRAFT MANUFACTURER *(Give name or firm)*

DATE	TITLE	SIGNATURE

V. FAA REPRESENTATIVE CERTIFICATION

(Check ALL applicable block items A and B)

A. I find that the aircraft described in Section I or VII meets requirements for
- ☐ THE CERTIFICATE REQUESTED
- 4 ☐ AMENDMENT OR MODIFICATION OF CURRENT AIRWORTHINESS CERTIFICATE

B. Inspection for a special flight permit under Section VII was conducted by:
- ☐ FAA INSPECTOR
- ☐ FAA DESIGNEE
- ☐ CERTIFICATE HOLDER UNDER
- ☐ 14 CFR part 65
- ☐ 14 CFR part 121 OR 135
- ☐ 14 CFR part 145

DATE	MIDO/FSDO OFFICE	4 FAA INSPECTOR'S SIGNATURE or DESIGNEE'S SIGNATURE AND NO.	1 FAA INSPECTOR'S CERTIFICATION FILE REVIEW SIGNATURE

FAA Form 8130-6 (04-11) All Previous Editions Superseded

Figure 8-1. Sample FAA Form 8130-6, Application For U.S. Airworthiness Certificate (Back Side)

VI. PRODUCTION FLIGHT TESTING

A. MANUFACTURER

NAME | ADDRESS

B. PRODUCTION BASIS *(Check applicable item)*

- ☐ PRODUCTION CERTIFICATE *(Give production certificate number)* →
- ☐ TYPE CERTIFICATE
- ☐ OTHER:

C. GIVE QUANTITY OF CERTIFICATES REQUIRED FOR OPERATING NEEDS

DATE OF APPLICATION | NAME AND TITLE *(Print or type)* | SIGNATURE

VII. SPECIAL FLIGHT PERMIT PURPOSES OTHER THAN PRODUCTION FLIGHT TEST

A. DESCRIPTION OF AIRCRAFT

REGISTERED OWNER | ADDRESS

BUILDER *(Make)* | MODEL

SERIAL NUMBER | REGISTRATION MARK

B. DESCRIPTION OF FLIGHT — CUSTOMER DEMONSTRATION FLIGHTS ☐ *(Check if applicable)*

FROM | TO

VIA | DEPARTURE DATE | DURATION

C. CREW REQUIRED TO OPERATE THE AIRCRAFT AND ITS EQUIPMENT

☐ PILOT ☐ CO-PILOT ☐ FLIGHT ENGINEER ☐ OTHER *(Specify)*

D. THE AIRCRAFT DOES NOT MEET THE APPLICABLE AIRWORTHINESS REQUIREMENTS AS FOLLOWS:

E. THE FOLLOWING RESTRICTIONS ARE CONSIDERED NECESSARY FOR SAFE OPERATION: *(Use attachment if necessary)*

F. CERTIFICATION - I hereby certify that I am the registered owner (or his agent) of the aircraft described above; that the aircraft is registered with the Federal Aviation Administration in accordance with Title 49 of the United States Code 44101 et seq. and applicable Federal Aviation Regulations; and that the aircraft has been inspected and is safe for the flight described.

DATE | NAME AND TITLE *(Print or type)* | SIGNATURE

VIII. AIRWORTHINESS DOCUMENTATION (FAA/DESIGNEE use only)

- ☐ A. Operating Limitations and Markings in Compliance With 14 CFR Section 91.9, As Applicable
- ☐ B. Current Operating Limitations Attached
- ☐ C. Data, Drawings, Photographs, etc. *(Attach when required)*
- ☐ D. Current Weight and Balance Information Available in Aircraft
- ☐ E. Major Repair and Alteration, FAA Form 337 *(Attach when required)*
- ☐ F. This inspection Recorded in Aircraft Records
- ☐ G. Statement of Conformity, FAA Form 8130-9 *(Attach when required)*
- ☐ H. Foreign Airworthiness Certification for Import Aircraft *(Attach when required)*
- ☐ I. Previous Airworthiness Certificate Issued in Accordance With 14 CFR Section _____ CAR _____ *(Original attached)*
- ☐ J. Current Airworthiness Certificate Issued in Accordance With 14 CFR Section *(Copy attached)*
- ☐ K. Light-Sport Aircraft Statement of Compliance, FAA Form 8130-15 *(Attach when required)*

FAA Form 8130-6 (04-11) All Previous Editions Superseded

Appendix A. Issuance of U.S. Standard Airworthiness Certificates for New Aircraft Manufactured outside the United States

1. Purpose. This appendix describes the procedures for issuance of FAA Form 8100-2, Standard Airworthiness Certificate, for new aircraft manufactured in other countries that are to be placed on the U.S. registry. This procedure is intended primarily for guidance to the U.S. aircraft owner, the civil aviation authority (CAA), the manufacturer, and FAA Flight Standards Service, Aircraft Registration Branch (AFS-750). For the purpose of this procedure, a U.S. aircraft owner may be represented by an agent as indicated in 14 CFR § 21.173.

Note: This procedure does not relieve persons involved in the standard airworthiness certification process from any responsibilities or legal requirements of 14 CFR part 21.

2. Applicability.

a. The FAA will at times seek assistance from bilateral CAAs in the final processing, dating, and delivery of FAA Form 8100-2 for newly manufactured aircraft destined for export to the United States.. The FAA issues the form and the CAA provides assistance with specific process steps. The certificate issuing office only may apply the procedure identified in this appendix when approved by AIR-200 and the directorate with responsibility for importing the aircraft. Furthermore, the use of this procedure is only allowed if no conflict exists with the bilateral agreement of the State of Manufacture.

b. Upon request from the U.S. aircraft owner, or the CAA of the State of Manufacture, the FAA may, at its discretion, authorize the CAA to act on its behalf. If authorized, this activity will be performed in accordance with the detailed procedures identified in this appendix, and only for aircraft that are—

(1) Newly manufactured in that country/jurisdiction,

(2) Properly placed on the U.S. registry,

(3) Fully compliant with the requirements of the applicable FAA type certificate (TC),

(4) Presently in a condition for safe operation, and

(5) Accompanied by an Export Certificate of Airworthiness (C of A) from the exporting CAA.

3. Procedure.

a. The aircraft manufacturer notifies the CAA of the State of Manufacture that an aircraft, identified in appendix A, paragraph 3b of this order, has been sold to a named U.S. owner and is to be placed on the U.S. registry. As a result, the U.S. aircraft owner will be requesting issuance of FAA Form 8100-2 at the point of manufacture.

b. The U.S. owner submits to AFS-750 a request for assignment of a U.S. identification number for the particular aircraft. This request will include the following information:

(1) U.S. owner's name,

(2) Manufacturer's name,

(3) Aircraft type,

(4) Aircraft model number, and

(5) Aircraft serial number.

c. The U.S. owner, upon receipt of the ID number, supplies it to the aircraft manufacturer for permanent marking of the aircraft (see 14 CFR part 45). The U.S. owner also provides this information to the CAA for its use. This ID number will become the final registration number.

d. The U.S. owner notifies the manager of the FAA office that issues standard airworthiness certificates for import aircraft of the desire to have FAA Form 8100-2 issued at the point of manufacture. The U.S. owner also provides the FAA office with FAA Form 8130-6, sections I, II, and III completed as applicable. All entries should be typed or printed legibly. Certain items in section III are to be left blank until the final application is completed, because the information for these items is not known until the aircraft's final delivery. FAA Form 8130-6 is considered to be "initial" until the items are completed. The items to be left blank are—

(1) The status of compliance up to the most current and applicable airworthiness directives (AD) as indicated in the airworthiness directives block of subsection B, Aircraft Certification Basis;

(2) The recording of total airframe hours (including production flight test time) in the applicable block of subsection C, Total Airframe Hours; and

(3) The date of the application as indicated in subsection D, Certification.

Note: The FAA Form 8130-6 should be filled out in accordance with paragraph 801 of this order. Applicants should see AC 21-12, Application for U.S. Airworthiness Certificate, FAA Form 8130-6, for guidance on completion of this form.

e. The CAA notifies the manager of the FAA certificate issuing office of its desire to act on behalf of the FAA in the delivery of FAA Form 8100-2 for the particular aircraft. The following information is to be supplied by the CAA:

(1) ID number of the aircraft,

(2) Name of the U.S. aircraft owner, and

(3) Scheduled aircraft delivery date.

Note: A letter of ongoing support from an individual CAA can be the method of FAA notification upon acceptance by the FAA certificate issuing office.

f. The FAA certificate issuing office prepares FAA Form 8100-2, including two copies.

(1) List in block No. 5 any existing exemptions granted by the FAA that are applicable to the aircraft, as cited on the type certificate data sheet (TCDS) or other official correspondence.

(2) Leave the Date of Issuance block blank.

(3) Sign the original and two copies in permanent blue ink only and forward them to the designated CAA point of contact. Blue ink is used so that the original signature can be easily identified.

(4) The following sentence must be included in the transmittal letter from the FAA certificate issuing office: "Do not deliver this standard airworthiness certificate, issued for the subject aircraft above, until AFS-750 or this office has notified you that the aircraft has been properly registered."

Note: If AFS-750 notifies the CAA directly, they also are to notify the FAA certificate issuing office that the aircraft is registered and that the CAA has been notified.

g. Data plate preparation and installation.

(1) The aircraft manufacturer installs an ID plate on the aircraft that meets the requirements of 14 CFR § 45.11 upon completion of all necessary flight tests and inspections. The aircraft manufacturer also applies the nationality and registration marks to the aircraft in accordance with 14 CFR § 45.21.

(2) For aircraft manufactured outside the United States pursuant to a 14 CFR § 21.29 TC, the TC number on the data plate may be either the U.S. TC number or the TC number of the State of Manufacture. The data plate information should provide a means to determine the applicable U.S. TC number if the State of Manufacture's TC number is used. Also, not all countries use the term "production certificate," and even within the United States, not all aircraft are manufactured under a production certificate (PC). With regard to PC number entries, the current FAA regulations require an FAA PC number, if any. However, aircraft that are produced outside the United States to the requirements of a 14 CFR § 21.29 TC will not have an associated FAA PC number. The data plate may include the associated production approval number issued by the CAA of the State of Manufacture.

(3) The FAA has, in some cases, granted regulatory exemptions permitting alternate mounting locations of aircraft ID plates for certain aircraft of qualifying air carriers. Any aircraft whose ID plate is mounted in a location other than that which is required in 14 CFR § 45.11 must be covered by the provisions of a current regulatory exemption for alternate ID plate location.

h. The CAA issues an Export C of A for the aircraft after completing all tasks and inspections necessary to determine that the aircraft conforms to the FAA-approved type design and is in a condition for safe operation. This certificate must contain the certification statement prescribed in the applicable FAA TCDS under the Import Requirements heading.

> **Note:** If any nonconformities, deviations, or exceptions exist, the CAA must obtain written concurrence and acceptance of these conditions from the FAA certificate issuing office prior to delivering FAA Form 8100-2 for the subject aircraft. Conditions of this nature may disqualify the aircraft from receiving the intended FAA Form 8100-2 due to its inability to fully meet the requirements of the U.S. TC.

i. Additional work performed after issuance of the CAA's Export C of A.

(1) If any additional work (for example, modifications, alterations, repairs, etc.) is performed on the aircraft by the manufacturer after issuance of the CAA's Export C of A, and prior to receipt of the U.S. standard airworthiness certificate, the following must be accomplished:

(a) The work must be controlled, documented, and completed by the manufacturer under its CAA-approved production quality system and associated procedures.

(b) The exporting CAA will review the manufacturer's additional completed work to ensure that the aircraft continues to remain in full compliance with its FAA-approved type design and is in a condition for safe operation. If the CAA is satisfied that these requirements are fully met, the U.S. standard airworthiness certificate may be released to the registered owner/operator in accordance with appendix A, paragraph 3h of this order.

(2) If any additional work (for example, modifications, alterations, repairs, etc.) is performed on the aircraft by someone other than the manufacturer (which also may include any other persons or organizations under the direct control of the manufacturer), after issuance of the CAA's Export C of A, the aircraft possibly may be disqualified from receipt of the U.S. standard airworthiness certificate issued under this special procedure.

> **Note:** The CAA is not responsible for the review and acceptance of any additional work performed outside of its direct control and oversight. This includes any additional work (as described above) performed on the aircraft directly by the new U.S. owner prior to receiving the U.S. standard airworthiness certificate. The FAA certificate issuing office could not, therefore, be assured of the continued validity of the CAA's Export C of A upon which this special procedure and the issuance of the U.S. standard airworthiness certificate are based.

(3) The new U.S. owner/operator (or his authorized agent) may at times perform the various functions and activities which may be necessary to prepare the newly acquired aircraft for their departure flight from the manufacturer and placement into operation.

(a) These functions and activities (for example, preoperational servicing/maintenance, preflight inspections, aircraft systems functional checks, navigation/communication equipment, and operational software installation) must be properly documented when necessary and may be undertaken after issuance of the CAA's Export C of A and prior to receipt of the U.S. standard airworthiness certificate.

(b) After the completion of these tasks, the new U.S. owner/operator must ensure that the aircraft has remained in full compliance to the FAA-approved type design and continued condition for safe operation. The CAA may, at its discretion, monitor these functions and activities in advance of the release of the U.S. standard airworthiness certificate.

(4) The FAA certificate issuing office should be contacted by the CAA when any problems arise pertaining to these requirements which would preclude the release and delivery of the U.S. standard airworthiness certificate to the new U.S. owner/operator.

j. The aircraft manufacturer and the U.S. owner will request the CAA to supply the U.S. owner with a statement concerning the aircraft's current registration status in its State of Manufacture. The statement concerning the aircraft's current registration must attest that the particular aircraft previously has not been registered or been removed from the foreign registry if previously registered. See 14 CFR §§ 47.15(a)(1) and 47.37(b).

> **Note:** For aircraft manufactured in countries that require domestic registration as a condition for production flight checks, this step may entail some delay in final U.S. registration. Such delay may be minimized by faxing the current registration status statement directly from the CAA to AFS-750 upon completion of the production flight checks and removal of the aircraft from the registry in the State of Manufacture. The faxed statement should identify the aircraft and the name of the U.S. owner as described in appendix A, paragraph 3b of this order.

k. The U.S. owner submits to AFS-750 all information required to obtain aircraft registration. Permanent registration will be received via Aeronautical Center Form 8050-3. If the U.S. aircraft owner desires to receive a temporary registration prior to receiving the permanent one, a request also should be made at this time for Form AFS-750-FAX-4. This form serves as a temporary Certificate of Aircraft Registration. The required information for aircraft registration consists of—

(1) Aeronautical Center Form 8050-1, including the original Aeronautical Center Form 8050-2, or other evidence of ownership as indicated in 14 CFR § 47.11;

(2) The appropriate fee (see 14 CFR §§ 47.17 and 47.31); and

(3) The registration status statement received from the CAA in appendix A, paragraph 3j of this order.

l. The U.S. owner supplies the CAA with a complete FAA Form 8130-6 after receipt of Aeronautical Center Form 8050-3 or Form AFS-750-FAX-4. Sections IV and V, and the entire reverse side of FAA Form 8130-6 are to be left blank. However, the items left blank from the initial form, and one additional item, should now be completed as follows:

(1) The status of compliance up to the most current and applicable ADs as indicated in the Airworthiness Directives block of subsection B.

(2) The recording of total airframe hours (including production flight test time) in the applicable block of subsection C.

(3) The final date entered by the applicant must be the same as or later than the date of the Export C of A issued by the CAA of the State of Manufacture.

(a) The date cannot be later than the date entered on FAA Form 8100-2 for the aircraft.

(b) The signature of the person in subsection D must be that of the registered owner (or an authorized employee of the corporation or company signified as the registered owner) identified under subsection A of section III. If the signature is other than one of these persons, the application must be accompanied with a notarized letter or current power of attorney delegating the authority to act as an agent on the owner's behalf to apply for the airworthiness certificate.

(4) The specific 14 CFR reference listed in section III, subsection C, should be crossed out and changed from 14 CFR § 91.173 to § 91.417.

m. The CAA verifies the following prior to delivery of FAA Form 8100-2:

(1) The registered owner identified on FAA Form 8130-6 is still the same person, company, or corporation confirmed as the final registered owner by AFS-750 on Aeronautical Center Form 8050-3 or Form AFS-750-FAX-4.

(2) The aircraft's N-Numbers painted on the exterior of the fuselage are identical to those assigned to the aircraft by AFS-750 on Aeronautical Center Form 8050-3 or Standard Form 14. In addition, the aircraft markings must meet the requirements of 14 CFR part 45.

(3) The aircraft's nationality and registration marks entered on FAA Form 8100-2, block No. 1, are identical to those assigned to the aircraft by AFS-750 on Aeronautical Center Form 8050-3 or Form AFS-750-FAX-4.

(4) The aircraft's ID plate has all of the required data and proper information, and is mounted in the proper location on the aircraft.

n. The CAA finalizes and installs FAA Form 8100-2 in the aircraft as follows:

(1) The specific date on which the form was issued is entered in the Date of Issuance block on the original and on the two copies. Date entries are to include the month identifier in either a three-letter format or completely spelled out, for example, "Mar" or "March." Date of issuance entries must be made with a typewriter or other appropriate instrument, for example, a mechanical date stamping device for the date entry. No handwritten entries are permitted.

(2) The original FAA Form 8100-2 is then installed in the aircraft and the following statement is entered into the aircraft logbook: "U.S. Standard Airworthiness Certificate, issued [date], has been installed in the aircraft on behalf of [FAA certificate issuing office] on [date]."

> **Note:** The person from the CAA performing the final issuance and installation of FAA Form 8100-2 in the aircraft must sign the aircraft logbook and include a functional title or other evidence of authorization to act on behalf of the CAA.

o. The CAA faxes the documents listed below to the FAA certificate issuing office prior to the first flight of the aircraft under FAA Form 8100-2. This action is necessary because the FAA certificate issuing office must be in possession of legal documentation in the form of on-hand file records of proper airworthiness certification prior to the aircraft's first flight.

(1) A copy of the Export C of A.

(2) A copy of Aeronautical Center Form 8050-3 or Form AFS-750-FAX-4.

(3) A copy of the completed and dated FAA Form 8100-2.

p. The CAA retains one copy of FAA Form 8100-2 and forwards the following documents to the manager of the FAA certificate issuing office:

(1) The remaining copy of the dated FAA Form 8100-2,

(2) The original and one copy of the completed FAA Form 8130-6,

(3) The original and one copy of the Export C of A issued by the CAA of the State of Manufacture, and

(4) A copy of Aeronautical Center Form 8050-3 or Form AFS-750-FAX-4.

q. The U.S. owner takes delivery of the aircraft and installs either Aeronautical Center Form 8050-3 or Standard Form 14 (pending receipt of Aeronautical Center Form 8050-3) in the aircraft. The aircraft may then be flown or otherwise delivered to the Unites States.

r. The FAA certificate issuing office endorses FAA Form 8130-6 by entering a statement on the form in a location that can be read clearly. At a minimum, this statement is required to contain the following information:

(1) A statement indicating that the standard airworthiness certificate was issued on the basis of the C of A for Export No. [insert number where applicable], and

(2) The issuing CAA's name and the Export C of A's date of issuance.

s. The FAA certificate issuing office then forwards the application, along with the copy of FAA Form 8100-2 and the original Export C of A to AFS-750 for permanent filing.

t. The U.S. owner receives Aeronautical Center Form 8050-3 from AFS-750 if one has not already been obtained.

4. Administrative Requirements.

a. The FAA requests that the initial FAA Form 8130-6 be received at least 20 days before the expected delivery date of the aircraft to allow ample time for authority coordination, certificate preparation, and final dispatch and delivery. An application received with less than the 20-day processing requirement will be handled on a case-by-case basis as available FAA resources allow.

b. The FAA normally will not dispatch FAA Form 8100-2 more than 45 days in advance of the expected delivery date unless specific circumstances warrant an earlier dispatch. Certificates will not be dispatched more than 45 days in advance for future production runs, anticipated long-range customer deliveries, etc.

c. In the event that FAA Form 8100-2 is suspected of being lost in the mail, the FAA will prepare a duplicate/replacement form only after a 10-day period has elapsed from the date of the mailing of the original form. All other reasons necessitating the issuance of a duplicate/replacement form will be handled on a case-by-case basis as available FAA resources allow.

d. Any costs of overnight courier services for the dispatch and delivery of forms needing expedited delivery to the CAA point of contact will be paid for by the applicant and/or the manufacturer.

e. The AIR-200 approved certificate issuing office may delegate these functions to Flight Standards ASIs, as necessary.

Appendix B. Acceptance of an Export Certificate of Airworthiness for Used Aircraft Under a Bilateral Agreement

1. Purpose. This appendix describes the concept of a 100-hour inspection requirement under an Export Certificate of Airworthiness (C of A).

2. Responsibilities of ASIs and Designees.

a. All FAA ASIs and designees should be aware that not all bilateral agreements provide for U.S. acceptance of a bilateral country's Export C of A on a used U.S.- or third country-manufactured aircraft. (The term "third country" is used to indicate that an aircraft is being exported to the United States from a country that is not the State of Manufacture.) However, an Export C of A from a bilateral country for its own used aircraft is always acceptable under a bilateral agreement.

b. Table B-1, Example of Bilateral Agreements that Provide for Acceptance of an Export C of A for Used Aircraft (Information is not Current), lists agreements that contain provisions for used aircraft (example only). These agreements are largely the new BASA with Implementation Procedures for Airworthiness (IPA). Updates to these bilateral agreements take place periodically. The most current information can be found on the FAA's website at http://www.faa.gov. When working with bilateral agreements, all FAA ASI and designees must review the FAA's website to ensure they are using the most current information.

c. It is expected that an ASI or designee must give the maximum credit possible to the validity of a bilateral country's Export C of A when determining an aircraft's conformity to its FAA-approved type design. As a minimum, a bilateral country's Export C of A can be used as evidence that at the time of export:

(1) The aircraft's configuration conformed to its FAA-approved type design, as stated on the aircraft's FAA type certificate data sheet (TCDS);

(2) The aircraft was determined to be in a condition for safe operation;

(3) The aircraft's configuration conformed to any incorporated FAA-approved design changes under an STC; and

(4) The aircraft was in compliance with all FAA-issued airworthiness directives (AD) known by the bilateral CAA to be in effect.

3. One Hundred-Hour Inspection Requirement.

a. When the conditions stated below are met, credit for a previously performed aircraft inspection can be given to meet the 100-hour inspection required by 14 CFR § 21.183(d)(2). In addition to the methods stated in paragraph 321(c) of this order, credit for a previously performed aircraft inspection can be given when the following five conditions are met:

(1) The United States has a BASA with IPA in force with the exporting country;

(2) The aircraft is of a type of category included within the scope of section II of the BASA with IPA;

(3) The inspection was performed while the aircraft was operated on the bilateral country's national registry;

(4) The inspection was performed by a repair facility approved by the bilateral country; and

(5) The aircraft's inspection records can demonstrate that the scope of the performed inspection meets the applicable performance rules states in 14 CFR § 43.15.

b. Each ASI or designee should keep in mind that an Export C of A is only as good as the information on which is it based. Countries with which the United States has a bilateral agreement do not issue an Export C of A without first conducting an adequate airworthiness investigation of the aircraft and its historical records. However, the ASI or designee is still required to follow the airworthiness procedures contained in this order, specifically, chapter 3, Standard Airworthiness Certification, and chapter 6, Import Procedures.

c. The ASI or designee should conduct a review of the applicant's evidence (for example, Export C of A, maintenance records, and historical records) used to show the aircraft is entitled to the airworthiness certificate requested. Particular attention should be placed on verifying AD compliance, that any repair data are FAA-approved/accepted, and that all incorporated STCs are FAA-approved/ validated.

4. Special Bilateral Provisions.

a. As stated above, the level of credit that can be given to a bilateral country's Export C of A is associated with the provisions specified within the scope of an individual bilateral agreement. Table B-1 is an example that illustrates how these provisions apply from one bilateral country to another. Of a particular note, the Canadian agreements contain more extensive airworthiness provisions than other bilateral agreements regarding airworthiness and maintenance.

b. In addition to the regulatory provisions stated in 14 CFR § 43.17, the U.S./Canada bilateral agreements includes:

(1) U.S. acceptance of a Canadian Export C of A on a used U.S.- or third country-manufactured aircraft.

(2) U.S. acceptance of a Canadian-approved design change under an STC on any aircraft, after the FAA has validated the design change.

(3) U.S. acceptance of the incorporation of the FAA-validated STC, on a U.S.-registered aircraft when accomplished by a Canadian-approved repair facility.

(4) U.S. acceptance of the article(s) associated with an FAA-validated STC, for installation on a U.S.-registered aircraft, when fabricated by a manufacturer holding a production approval issued by Transport Canada Civil Aviation (TCCA).

(5) U.S. acceptance of Canadian-approved repair data on a U.S. aircraft.

(6) U.S. acceptance of a 100-hour inspection on a U.S.-registered aircraft when accomplished by a Canadian-approved maintenance facility.

> **Note:** The term "validated" used in appendix B, paragraphs 4b(2) through (4) of this order simply mean that the FAA has conducted an engineering review of the TCCA-approved design change and has issued a corresponding FAA STC. A Canadian STC alone is not adequate.

c. The FAA's approval/validation of a bilateral country's approved design change under an STC, in accordance with the provisions of a BASA with IPA, may not be readily apparent while reviewing the aircraft's records. The aircraft's records may at times only reference the bilateral country's design approval. Therefore, the ASI or designee should verify that any incorporated STC modifications are traceable to an FAA STC design approval.

d. When the FAA-validated STC is incorporated on a U.S.-registered aircraft, it must have been done in accordance with the applicable 14 CFR. When the FAA-validated STC is incorporated on a non-U.S.-registered aircraft, the incorporation would only be considered acceptable when the following three conditions are met:

(1) The modification was incorporated while an aircraft was operated on the bilateral country's national registry;

(2) The article(s) associated with the FAA-validated STC were fabricated by a manufacturer holding a production approval issued by the bilateral CAA; and

(3) The modification was incorporated by a repair facility approved by the bilateral country.

e. The amount of credit that may be given to any specific bilateral country's Export C of A is governed by the airworthiness provisions contained in that country's bilateral agreement with the United States. All airworthiness-related bilateral agreements can be found on the FAA's website.

Table B-1. Example of Bilateral Agreements that Provide for Acceptance of an Export C of A for Used Aircraft (Information is not Current)

Bilateral Countries	BAA or BASA IPA	Acceptance of Export C of A for Used U.S. Aircraft (*See notes 1 & 2.*)	Acceptance of Repair Data on Used U.S. Products	Acceptance of Maintenance Activities on U.S.-Registered Aircraft Performed in a Non-FAA-Approved Repair Facility (*See notes 4 & 5.*)	Acceptance of an Export C of A for Third Country Manufactured Used Aircraft (*See note 6.*)
Argentina	BAA	NO	NO	NO	YES
Australia	BASA IPA	YES	YES (*See note 7.*)	NO	YES
Belgium	BAA	NO	NO	NO	YES
Brazil	BASA IPA	YES	NO	NO	YES
Canada	BASA IPA	YES	YES (*See note 3.*)	YES	YES
Denmark	BAA	NO	NO	NO	YES
France	BASA IPA	YES	YES (*See note 9.*)	NO	YES
Germany	BASA IPA	YES	YES (*See notes 8 & 9.*)	NO	YES
Israel	BASA IPA	YES	NO	NO	YES
Italy	BASA IPA	YES	YES (*See note 9.*)	NO	YES
Japan	BAA	NO	NO	NO	YES
Netherlands	BASA IPA	YES	YES (*See note 9.*)	NO	YES
New Zealand	BASA IPA	YES	YES (*See note 7.*)	NO	YES
Romania	BASA IPA	YES	NO	NO	YES
Singapore	BASA IPA	NO	NO	NO	YES
Sweden	BASA IPA	YES	YES (*See note 9.*)	NO	YES
United Kingdom	BASA IPA	YES	YES (*See notes 8 & 9.*)	NO	YES

Note 1: The bilateral country's Export C of A can be used as evidence that at the time of export—
1. The aircraft's configuration conformed to its FAA-approved type design, as stated on the aircraft's FAA type certificate data sheet;
2. The aircraft was determined to be in a condition for safe operation;
3. The aircraft configuration conformed to any incorporated FAA-approved design changes under an STC; and
4. The aircraft was in compliance with all FAA-issued ADs known by the bilateral CAA to be in effect.

Note 2: Please review the applicable bilateral agreement for the country in question because it may have limitations on the type or category of used U.S. aircraft acceptable under the bilateral agreement. For example, the U.S. acceptance of a Romanian Export C of A on a used U.S. aircraft is limited to a sailplane, power sailplane, or a very light airplane certificated to JAR-VLA.

Note 3: The U.S./Canada BASA IPA, BASA Maintenance Implementation Procedure (MIP), and Memorandum of Understanding contain provisions for FAA acceptance of certain repair data. The following documents provide a better understanding of these provisions:
1. BASA IPA dated October 2000.
2. BASA MIP dated August 31, 2006. See AC 43-10, United States-Canadian BASA/MIP Maintenance, for information related to the provisions of the MIP.
3. The Memorandum of Understanding between TCCA and the FAA dated October 2003, or any later revision approved by TCCA and the FAA. (See http://www.tc.gc.ca/CivilAviation/certification/Int/Memoranda/usa.htm.) See FAA Order 8110.53, Reciprocal Acceptance of Repair Design Data Approvals.

Note 4: The U.S./Canadian MIP contains provisions for acceptance of certain maintenance, alterations, or modifications, and those persons or organizations authorized to perform such functions on U.S. products. The acceptable maintenance activities include the accomplishment of a 100-hour inspection. Also 14 CFR § 43.17 provides additional provisions related to maintenance functions performed on U.S. products. The following documents provide a better understanding of these provisions:

1. 14 CFR § 43.17, Maintenance, preventive maintenance, and alterations performed on U.S. aeronautical products by certain Canadian persons.
2. BASA IPA dated October 2000.
3. BASA MIP dated August 31, 2006. See AC 43-10 for information related to the provisions of the MIP.
4. The Memorandum of Understanding between TCCA and the FAA dated October 2003, or any later approved revisions. See FAA Order 8110.53.

Note 5: Please take into consideration that the FAA has certificated repair stations located in other countries that also hold a certification from their national CAA, thereby giving the repair station the ability to make a compliance statement to their national regulations and the U.S. regulations. In particular, the FAA has concluded BASA MIPs with France, Germany, and Ireland.

Note 6: There bilateral agreements (for example, BAA or BASA IPA) contain a third-country provision that allows the United States to accept an Export C of A issued by the bilateral country for certain aircraft. Please review the bilateral agreement for the country in agreement. When allowed by the bilateral agreement, the bilateral country's Export C of A may be used as evidence that at the time of export—

1. The aircraft's configuration conformed to its FAA-approved type design, as stated on the aircraft's FAA type certificate data sheet;
2. The aircraft was determined to be in a condition for safe operation;
3. The aircraft configuration conformed to any incorporated FAA-approved design changes under an STC; and
4. The aircraft was in compliance with all FAA-issued ADs known by the bilateral CAA to be in effect.

Note 7: The BASA IPAs between the United States and the countries of Australia and New Zealand contain specific provisions for FAA acceptance of repair design data related to certain categories of airplanes or aircraft. The BASA IPAs require a specific certifying statement be made by the appropriate CAA related to the acceptance of the repair design data.

Note 8: Under the Special Arrangements provisions of the BASA IPAs between the United States and the countries of Germany and the United Kingdom, the FAA has agreed to accept repair design data and alteration data when specific conditions have been met. The conditions or limitations for FAA acceptance of repair design data or alteration data is as follows:

1. U.S. State of Design Transport Category Airplanes moving from the bilateral country's civil aircraft registry to the U.S. registry.
2. The data has been approved by the U.K. CAA, for airplanes on the U.K. registry, or the Luftfahrt-Bundesamt (LBA), for airplanes on the German registry, or by an approved design organization in the United Kingdom or Germany.
3. The repairs or alterations made to specific airplanes do not constitute a major change rising to the level of an amended type certificate or supplemental type certificate.
4. The repair design data or alteration data is accompanied by the following certifying statement from the appropriate CAA (that is, U.K. CAA or LBA): "The data identified in this document have been examined and were approved under the authority of the [Civil Aviation Authority of the United Kingdom or Luftfahrt-Bundesamt of the Federal Republic of Germany, as appropriate]. Additional maintenance requirements that must be incorporated into the aircraft maintenance program are identified within the approved data."

The information in note 8 was originally published in a July 2003 issued Flight Standards Handbook Bulletin for Airworthiness number 03-05 (HBAW 03-05).

Note 9: Review paragraph 320b of this order for the conditions and limitations under which the FAA has agreed to accept specific design data from EASA, and the countries of France, Germany, Italy, The Netherlands, Sweden, and the United Kingdom.

Appendix C. Forms Listing and Availability

1. The following forms are available through normal distribution channels. Not all FAA forms are available for download on the FAA website. Those FAA forms not available for download must be ordered from the FAA Logistics Center, AML-8000, P.O. Box 25082, Oklahoma City, Oklahoma, 73125. The primary method of ordering forms from the Logistics Center is by using the Logistics Inventory System (LIS), available in most FAA field offices. If unable to order by computer, call the Logistics Center at 405-954-8900 (ask for the FAA Forms Inventory Manager). You may also order forms by calling the FAA Logistics Center Customer Care Center, AML-30, at 405-954-3793 or toll free at 1-888-322-9824.

Form Number, *Title*, National Stock Number (if applicable), Availability

a. FAA Form 337, *Major Repair and Alteration*, N/A, FAA website

b. FAA Form 8100-1, *Conformity Inspection Record*, N/A, FAA website.

c. FAA Form 8100-2, *Standard Airworthiness Certificate*, 0052-00-040-8001, order.

d. FAA Form 8130-1, *Application for Export Certificate of Airworthiness*, N/A, FAA website.

e. FAA Form 8130-3, *Authorized Release Certificate, Airworthiness Approval Tag*, 0052-00-012-9005, FAA website..

f. FAA Form 8130-4, *Export Certificate of Airworthiness*, 0052-00-010-3001, order.

g. FAA Form 8130-6, *Application for U.S. Airworthiness Certificate*, N/A, FAA website.

h. FAA Form 8130-7, *Special Airworthiness Certificate*, 0052-00-693-4000, order.

i. FAA Form 8130-9, *Statement of Conformity*, N/A, FAA website.

j. FAA Form 8130-10, *Surplus Military Aircraft Inspection Record*, N/A, FAA website.

k. FAA Form 8130-11, *Checklist and Inspection Record*, N/A, FAA website.

l. FAA Form 8130-12, *Eligibility Statement, Amateur-Built Aircraft*, N/A, FAA website.

m. FAA Form 8130-15, *Light-Sport Aircraft Statement of Compliance*, N/A, FAA website.

n. FAA Form 8130-31, *Statement of Conformity - Military Aircraft*, N/A, FAA website.

2. The following forms are not available through normal distribution channels.

a. Aeronautical Center Form 8050-64, *Assignment of Special Registration Numbers*, available from the FAA Aircraft Registry.

b. Aeronautical Center Form 8050-72, *Export Certificate Number Assignment Card*, available from the FAA Aircraft Registry.

Appendix D. Acronyms

AC	Advisory Circular
ACO	Aircraft Certification Office
AD	Airworthiness Directive
AEG	Aircraft Evaluation Group
AOI	Aircraft Operating Instructions
ASI	Aviation Safety Inspector
ATF	Bureau of Alcohol, Tobacco, and Firearms
BAA	Bilateral Airworthiness Agreement
BASA	Bilateral Aviation Safety Agreement
CAA	Civil Aviation Authority
CAGE	Commercial and Government Entity
CAM	Civil Aeronautics Manual
CAMP	Continuous Airworthiness Maintenance Program
CAR	Civil Air Regulation
CFR	Code of Federal Regulations
14 CFR	Title 14 of the Code of Federal Regulations
CG	Center of Gravity
CHDO	Certificate Holding District Office
CMACO	Certificate Management Aircraft Certification Office
CMO	Certificate Management Office
CMU	Certificate Management Unit
C of A	Certificate of Airworthiness
CO	Certificating Office
DA	Department of the Army
DAR	Designated Airworthiness Representative
DD 1427	DOD Form 1427, Notice of Award, Statement, and Release Document
DER	Designated Engineering Representative
DGAC	Direction Générale de l'Aviation Civile
DMIR	Designated Manufacturing Inspection Representative
DOD	Department of Defense
DOT	Department of Transportation
DRMO	Defense Reutilization Marketing Office
EAA	Experimental Aircraft Association
EASA	European Aviation Safety Agency
ELSA	Experimental Light-Sport Aircraft
ELT	Emergency Locator Transmitter
FAA	Federal Aviation Administration
FSIMS	Flight Standards Information Management System
FSCAP	Flight Safety-Critical Aircraft Part
FSDO	Flight Standards District Office
GPO	Government Printing Office
ICAO	International Civil Aviation Organization
ICAW	Instructions for Continued Airworthiness
ID	Identification

IFO	International Field Office
IFR	Instrument Flight Rules
IPA	Implementation Procedures for Airworthiness
IPC	Illustrated Parts Catalog
JAR	Joint Aviation Requirements
LBA	Luftfahrt-Bundesamt
LOA	Letter of Authorization
LSA	Light-Sport Aircraft
MCAI	Mandatory Continuing Airworthiness Information
MIDO	Manufacturing Inspection District Office
MIO	Manufacturing Inspection Office
MIP	Maintenance Implementation Procedure
MISO	Manufacturing Inspection Satellite Office
NOA	Notice of Availability
NTSB	National Transportation Safety Board
ODA	Organization Designation Authorization
OMT	Organization Management Team
PAH	Production Approval Holder
PC	Production Certificate
PCA	Primary Category Aircraft
PI	Principal Inspector
PMA	Parts Manufacturer Approval
R&D	Research and Development
RPM	Revolutions Per Minute
SFA	Special Flight Authorization
SIF	Special Interest Flight
SFAR	Special Federal Aviation Regulation
SOC	Statement of Compliance
STC	Supplemental Type Certificate
49 U.S.C.	Title 49, United States Code
TC	Type Certificate
TCCA	Transport Canada Civil Aviation
TCDS	Type Certificate Data Sheet
TPA	Turbine-Powered Aircraft
TSO	Technical Standard Order
U.S.	United States
VFR	Visual Flight Rules
VLA	Very Light Aircraft

Appendix E. Definitions

a. Aircraft Category. The term "category," as used with respect to the certification of aircraft, means a grouping of aircraft based on their intended use or operating limitations, for example, normal, utility, acrobatic, or primary. For purposes of this order, gliders and balloons will be referred to as categories rather than classifications.

b. Aircraft Classification. The term "classification," as used with respect to the certification of aircraft, means a broad grouping of aircraft having similar characteristics of propulsion, flight, or landing, that is, airplane, rotorcraft, glider, or balloon.

c. Amateur-Built Aircraft. Sometimes referred to as home-built aircraft. These aircraft have been issued an experimental certificate under Title 14 of the Code of Federal Regulations (14 CFR) § 21.191(g).

d. Authorized Instructor. A person who holds a valid ground instructor certificate under 14 CFR part 61 or part 142, or a person who holds a current flight instructor certificate issued under 14 CFR part 61.

e. Bilateral Agreement. The term "bilateral agreement" means an executive agreement between the U.S. Government and the government of another country which facilitates the airworthiness approval or acceptance of civil aeronautical products exported from one country (contracting state) to the other. These agreements are not trade agreements, but rather technical cooperation agreements. These agreements are intended to provide a framework for the airworthiness authority of the importing country to give maximum practicable credit to airworthiness certification functions performed by the airworthiness authority of the exporting country using its own certification system.

f. Category of Special Airworthiness Certificates. The term "category" also is used to identify the six specific certification processes and the seven types of special airworthiness certificates issued.

g. Certification Office. The FAA certification office at which the applicant applies for airworthiness certification or related approval: Manufacturing Inspection District Office (MIDO), Manufacturing Inspection Satellite Office (MISO), Flight Standards District Office (FSDO), International Field Office (IFO), Certificate Management Office (CMO), or Certificate Management Unit (CMU).

h. Classification of Airworthiness Certificates. The term "classification" also is used to distinguish between the standard and special airworthiness certification processes and certificates.

i. Consensus Standard. For the purpose of certificating Light-Sport Aircraft (LSA), an industry-developed consensus standard that applies to aircraft design, production, and airworthiness. It includes, but is not limited to, standards for aircraft design and performance, required equipment, manufacturer quality assurance systems, production acceptance test procedures, operating instructions, maintenance and inspection procedures, identification and recording of major repairs and major alterations, and continued airworthiness.

j. Critical Characteristic. Any feature throughout the life cycle of a Flight-Safety Critical Aircraft Part (FSCAP) which, if nonconforming, missing, or degraded, could cause a catastrophic failure resulting in loss or serious damage to the aircraft or an uncommanded engine shutdown resulting in an unsafe condition. A characteristic can be critical in terms of dimension, tolerance, finish, or material; an assembly, manufacturing, or inspection process; or an operation, field maintenance, or depot overhaul requirement. A manufacturing-critical characteristic is produced during the manufacturing process. An installation-critical characteristic, such as torque, is critical in terms of assembly or installation.

k. U.S. Department of Defense (DOD) Commercial and Government Entity (CAGE) Code. The DOD CAGE code identifies the manufacturer of the article or product produced under government contract.

l. Dual-Use Product or Article. Any product or article manufactured for civil application by a production approval holder (PAH) authorized by the FAA and produced under a U.S. military contract. The military product (or article thereof) has the same part number and configuration as its civil counterpart and is manufactured using the same FAA-approved design, materials, and manufacturing processes. This could also include any product or article originally produced for the military which currently holds a normal, utility, acrobatic, or transport type certificate (TC) issued under 14 CFR part 21, Certification Procedures for Products and Parts.

m. Exception. A case in which a rule, general principle, etc., does not apply.

n. Exemption. Approval to be free from current regulations in 14 CFR.

o. Experimental Light-Sport Aircraft. An aircraft issued an experimental operating light-sport category aircraft airworthiness certificate. Experimental Light-Sport Aircraft (ELSA) applies to those aircraft for which the certificate is issued regardless of the purpose within 14 CFR § 21.191(i).

p. Export. When a product or article is found to be airworthy, meets the special conditions of the importing country/jurisdiction, and is transferred from one civil aviation authority's (CAA) regulatory authority to another CAA's regulatory authority.

q. Flight Safety-Critical Aircraft Part. Any article containing a critical characteristic whose failure, malfunction, or absence could cause (1) a catastrophic failure resulting in loss or serious damage to the aircraft, or (2) an uncommanded engine shutdown resulting in an unsafe condition.

r. Heavy Ultralight. An ultralight vehicle that does not meet 14 CFR part 103 requirements because of its weight, speed, or fuel capacity. It also may not meet the requirements for an experimental operating amateur-built airworthiness certificate as described in 14 CFR § 21.191(g).

s. Light-Sport Aircraft (LSA). A category of simple, very basic, small, lightweight, low-performance aircraft. It is an aircraft other than a helicopter or powered-lift. Also see definition in 14 CFR § 1.1.

t. Light-Sport Category. With respect to aircraft certification, the light-sport category adds a new group of aircraft based on the definition in 14 CFR § 1.1, limiting size, weight, and speed, and how the aircraft is equipped. This category contains four classes of aircraft: airplanes and gliders, powered parachutes, weight-shift-control, and lighter-than-air aircraft.

u. Light-Sport Eligible Kit. An eligible kit is one that is of the same make and model aircraft that has been issued a light-sport category airworthiness certificate by the FAA. The kit is manufactured by the same entity that built the aircraft, and that aircraft has been issued the Light-Sport Aircraft (LSA) airworthiness certificate. Once built, the owner-assembled kit aircraft is eligible for the experimental, operating LSA certificate.

v. Manufacturer. A person who causes a product or article thereof to be produced.

w. Military Surplus Product or Article. A product or article that originally was released as surplus by the U.S. military, even if subsequently resold by a manufacturer, owner/operator, repair facility, or any other parts supplier.

x. Military-Unique Flight Safety-Critical Aircraft Part (FSCAP). Any FSCAP specifically and uniquely designed and manufactured for the U.S. military, for which there is no corresponding FAA-approved type design or production approval holder (PAH) engine, propeller, or article produced for civilian application. Breakout products or articles produced specifically for military use by a manufacturer other than an FAA PAH using military-provided designs, drawings, and specifications also are considered military-unique.

y. Part Out. To remove an article from or disassemble an aircraft, engine, propeller, or article(s).

z. Powered Parachute. A powered aircraft comprised of a flexible or semi-rigid wing connected to a fuselage so that the wing is not in position for flight until the aircraft is in motion. The fuselage of a powered parachute contains the aircraft engine and a seat for each occupant, and is attached to the aircraft's landing gear.

aa. Previously Manufactured Aircraft. Existing aircraft-like vehicles meeting the definition of Light-Sport Aircraft (LSA) that do not meet the provisions of 14 CFR part 103, Ultralight vehicles, and are in a ready-to-fly condition.

bb. Production Approval Holder (PAH). A holder of a production certificate (PC), a parts manufacturer approval (PMA), or a technical standard order (TSO) authorization who controls the design and quality of a product or article thereof.

cc. Light-Sport Aircraft (LSA) Statement of Compliance. A signed statement made by the aircraft manufacturer stating that the aircraft (specific by serial number) was designed, manufactured, and is supported with a monitoring and correction of safety-of-flight within a continued airworthiness system, in accordance with the appropriate consensus standards.

dd. Two-Place Ultralight Training Vehicle. This is a two-place, noncertificated vehicle operated under a valid training exemption to 14 CFR part 103.

ee. Ultralight-like Vehicle. A vehicle that is similar to an ultralight but does not meet the definition or requirements of 14 CFR § 103.1.

ff. Ultralight Vehicle. As defined in 14 CFR part 103, an ultralight vehicle is a vehicle that—

(1) Is used or intended to be used for manned operation in the air by a single occupant;

(2) Is used or intended to be used for recreation or sport purposes only;

(3) Does not have a U.S. or foreign airworthiness certificate; and

(4) If un-powered weighs less than 155 pounds; or

(5) If powered, weighs less than 254 pounds empty weight, excluding floats and safety devices intended for deployment in a potentially catastrophic situation; has a fuel capacity not exceeding 5 U.S. gallons; is not capable of more than 55 knots calibrated airspeed at full power in level flight; and has a power-off stall speed that does not exceed 24 knots calibrated airspeed.

gg. Weight-Shift Control Aircraft. A powered aircraft with a framed pivoting wing and a fuselage controllable only in pitch and roll by the pilot's ability to change the aircraft's center of gravity with respect to the wing. Flight control of the aircraft depends on the wing's ability to flexibly deform rather than the use of control surfaces.

Appendix F. Administrative Information

1. Distribution. This order is distributed to the Washington Headquarters division and office levels of the Aircraft Certification Service and Flight Standards Service; to the branch levels of the Aircraft Certification Service; to the branch levels in the regional Flight Standards Divisions and Aircraft Certification Directorates; to all Flight Standards District Offices and International Field Offices; to all Aircraft Certification Offices; to all Certificate Management Offices and all Manufacturing Inspection District and Satellite Offices; to the Aircraft Certification and Airworthiness Branches; and to the Flight Standards International Field Offices.

2. Authority to Change This Order. The issuance, revision, or cancellation of the material in this order is the responsibility of the Aircraft Certification Service, Production and Airworthiness Division (AIR-200).

3. Deviations. Adherence to the procedures in this order is necessary for uniform administration of this directive material. Any deviations from this guidance material must be coordinated and approved by AIR-200. If a deviation is necessary, the FAA employee involved should ensure that the deviations are substantiated, documented, and concurred with by the appropriate supervisor. The deviation must be submitted to AIR-200 for review and approval. The limits of Federal protection for FAA employees are defined in Title 28 of the United States Code (28 U.S.C.) § 2679.

4. Suggestions for Improvements. Please forward all comments on deficiencies, clarifications, or improvements regarding the contents of this order to:

Aircraft Certification Service
Administrative Services Branch, AIR-510
ATTN: Directives Management Officer
800 Independence Ave., SW
Washington, DC 20591

Your suggestions are welcome. FAA Form 1320-19, Directive Feedback Information, is located in appendix G to this order for your convenience. If you require an immediate interpretation, please contact AIR-200 at (202) 385-6346; however, you should also complete FAA Form 1320-19 as a follow-up to the conversation.

5. Records Management. See FAA Orders 0000.1, FAA Standard Subject Classification System; 1350.14, Records Management; and 1350.15, Records Organization, Transfer, and Destruction Standards; and FAA IR-04-01, Records Management Requirements Manual, or see your office Records Management Officer (RMO)/Directives Management Officer (DMO) for guidance regarding retention or disposition of records.

U.S. Department
of Transportation
Federal Aviation Administration

Appendix G. Directive Feedback Information

Please submit any written comments or recommendations for improving this directive, or suggest new items or subjects to be added to it. Also, if you find an error, please tell us about it.

Subject: Order 8130.2G

To: Administrative Services Branch, AIR-510

(Please check all appropriate line items)

☐ An error (procedural or typographical) has been noted in paragraph _____ on page _____.

☐ Recommend paragraph _____ on page _____ be changed as follows:
(attach separate sheet if necessary)

☐ In a future change to this directive, please include coverage on the following subject:
(briefly describe what you want added)

☐ Other comments:

☐ I would like to discuss the above. Please contact me.

Submitted by: _____ Date: _____

Telephone Number: _____ Routing Symbol: _____

FAA Form 1320-19 (10-98)